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European Commission
Taxation and Customs Union DG

SUBJECT:

# EU Customs Functional Requirements BPM Report for Automated Export System (AES)

SC22-QTM008

FRAMEWORK CONTRACT:
TAXUD/2013/CC/124

STATUS: SfA

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# 1. INTRODUCTION

## 1.1. Document Purpose

This document presents the L4 Functional Requirements Processes for the Automated Export System. This includes L4 processes that show the human interaction tasks and the automated tasks, the data of the information exchanges, functional requirements and non-functional requirements, input and output data and services. To enhance the readability of this document, the following parts are included in annexes: the glossary of terms, the data of the information exchanges, the rules and conditions, the code lists and the services. In addition, there is an annex containing graphic representations of the L4 Business Process Diagrams. This report is generated from ARIS and only contains information present in ARIS.

## 1.2. Deviation From TEMPO

The following TEMPO sections are not part of this document:

- Sign Off list;
- Distribution List;
- Scope;
- Applicable Documents;
- List of Tables;
- List of Figures.

The following TEMPO sections are added to this document:

- Project Ownership;
- Intended Audience.

## 1.3. Assumption and Constraints

The reader should have a basic knowledge of the EU BPM methodology.

## 1.4. Project Ownership

The unit DG TAXUD/A3 is the project owner of this EU Customs Functional Requirements BPM Report.

## 1.5. Intended Audience

The purpose of this document is to establish a common understanding between readers that are familiar with the applicable documents and may belong to the following categories:

- Any person responsible for the Export - AES business / system processes, requirements, functional specifications or conformance testing;
- Any person of the development team of DG TAXUD/R5 who will use this document as a reference during the development cycle;
- Any person from the DG TAXUD units who has to verify whether the current document is consistent with the applicable documents and depicts the solution to be followed;
- Any Member State (MS) or Trade representatives who have to identify the actions, implications and consequences on their national domain, including both business people and people having an IT background.

## 1.6. Structure of Document

This document is organised as follows:

- Introduction;

- References, containing a list of acronyms and abbreviations and the documents referenced in this functional requirements document;
- Document History, listing the different versions and submission dates of this functional requirements document;
- High Level System Overview, providing an overview of the system and the actors involved in this functional requirements document;
- Process Models, visualising in separate sections information from all L4 BPMs relating to the system covered in this functional requirements document.

In separate documents, the following related annexes may be found:

- Annex 1: Model Graphics, providing all L4 BPM models;
- Annex 2: Glossary, providing a list of basic terms with their meanings applicable across all domains / levels within the EU Customs Business Processes;
- Annex 3: Requirements, providing a list of all requirements for the system covered in this functional requirements document;
- Annex 4: Code Lists, providing all code lists for data used in the information exchanges of the system covered in this functional requirements document;
- Annex 5: Information Exchange, providing all information exchanges and their content for the system covered in this functional requirements document;
- Annex 6: Rules & Conditions, containing lists of all conditions and rules that are related to data in the information exchanges.

# 2. REFERENCES

## 2.1. Acronyms and abbreviations

| ACRONYM | DESCRIPTION |
|---|---|
| AAR | Anticipated Arrival Record |
| ACC | Access Control and Connectivity Requirement |
| AEO | Authorised Economic Operator |
| AES | Automated Export System |
| AER | Anticipated Export Record |
| ARC | Administrative Reference Code |
| BPM | Business Process Modelling |
| BUS | Business Requirement |
| CO | Customs Office |
| eAD | Electronic Administrative Document |
| EC | European Commission |
| EO | Economic Operator |
| EORI | Economic Operators Registration and Identification |
| EU | European Union |
| EXS | Exit Summary Declaration |
| FUR | Functional Requirement |
| ID | Identifier |
| IMP | Implementation Requirement |
| IP | Inward Processing |
| IT | Information Technology |
| ITF | Interface Requirement |
| L | Level |
| LOG | Logging Requirement |
| Manifest | The customs goods manifest is used to prove that the goods have the customs status of Union goods. Each customs goods manifest shall be attributed one MRN. Such a manifest may only be attributed a MRN where it covers goods having the customs status of Union goods loaded on the vessel in a Union port. |
| MRN | Master Reference Number |
| MCC | Modernized Customs Code |
| MON | Monitoring Requirement |

| | |
|---|---|
| MSA | Member State Administration |
| MS | Member State(s) |
| N/A | Not Applicable |
| NFR | Non-Functional Requirement |
| OOA | Ownership of Assets Requirement |
| P&R | Prohibitions and Restrictions |
| PCO | Presentation Customs Office |
| PER | Performance Requirements |
| SCA | Scalability Requirement |
| SEC | Security and Data Protection Requirement |
| TES | Testing Requirement |
| TIN | Trader Identification Number |
| USE | Usability Requirement |
| VOL | Volumetric Requirement |

Table 2.1: Acronyms and abbreviations

## 2.2. Reference Documents

The following documents are of interest to this document and its annexes:

| REF. | TITLE | ORIGINATOR | VERSION | DATE |
|---|---|---|---|---|
| R01 | EU CUSTOMS BPM METHODOLOGY DOCUMENT | DG TAXUD | 6.00 | 10/11/2015 |
| R02 | CUST-DEV3 SC06-QTM001-DLV-001-5.3-0-2-1.0 - EU Customs Global and Interaction BPM Report | DG TAXUD | 4.10 | 21/01/2015 |
| R03 | Union Customs Code: Regulation (EU) 952/2013 | DG TAXUD | 952/2013 | 09/10/2013 |
| R04 | Union Customs Code Implementing Act: 2015/2447 | DG TAXUD | 2015 | 23/12/2015 |

Table 2.2: Reference Documents

# 3. DOCUMENT HISTORY

| ED. | REV. | DATE | DESCRIPTION | ACTION * | PAGES |
|---|---|---|---|---|---|
| 0 | 01 | 05/10/2012 | First draft | I | All |
| 0 | 02 | 04/02/2013 | Implementation of Maintenance on EU Customs ARIS Reports | U | All |
| 0 | 10 | 18/02/2013 | Updated content of general chapters to cater for AES specificities | U | All |
| 0 | 10 | 27/03/2013 | Submit for Review | U | All |
| 1 | 00 | 07/05/2013 | Submit for Acceptance | - | All |
| 1 | 10 | 24/03/2015 | Submit for Review | U | All |
| 2 | 00 | 24/04/2015 | Submit for Acceptance | - | All |
| 2 | 10 | 18/08/2015 | Submit for Review | U | All |
| 3 | 00 | 25/09/2015 | Submit for Acceptance | - | All |
| 3 | 10 | 02/10/2015 | Re-Submit for Acceptance | - | All |
| 3 | 20 | 03/06/2016 | Submit for Review | - | All |
| 4 | 00 | 23/08/2016 | Submit for Acceptance | - | All |
| 4 | 10 | | Submit for Acceptance to DG TAXUD | | |
| 4 | 20 | | Submit for Review to DG TAXUD | | |
| 5 | 00 | | Submit for Acceptance to DG TAXUD | | |
| 5 | 10 | | Submit for Review | | |
| 5 | 20 | | Submit for Review to DG TAXUD | | |
| 6 | 00 | | Submit for Acceptance to DG TAXUD | | |
| 6 | 10 | | Submit for Review to DG TAXUD | | |
| 7 | 00 | | Submitted for Acceptance to DG TAXUD | | |
| | undefined | | Re-Submitted for Acceptance to DG TAXUD | | |
| | undefined | | Submitted for Acceptance (MSC) | | |
| 7 | 20 | | Submitted for Review to DG TAXUD | | |
| 8 | 00 | | Submitted for Acceptance to DG TAXUD | | |

| | | | | | |
|---|---|---|---|---|---|
| 8 | 00 | | Submitted for Acceptance (MSC) to DG TAXUD | | |
| 8 | 10 | 10/03/2021 | Submitted for Review (SfR) to DG TAXUD | - | - |
| 9 | 00 | 12/04/2021 | Submitted for Acceptance (SfA) to DG TAXUD | - | - |
| 9 | 10 | 05/07/2021 | Submitted for Review (SfR) to DG TAXUD | - | - |
| 10 | 00 | 13/07/2021 | Submitted for Acceptance (SfA) to DG TAXUD | - | - |

Table 3.1: Document History

*I = Insert, R = Replace, U = Update

# 4. HIGH LEVEL SYSTEM OVERVIEW

## 4.1. System Overview

This document contains the functional specifications for the Automated Export System. It describes AES as it is envisaged by the UCC and the UCC DA and UCC IA. Consequently, new concepts like Centralised Clearance are incorporated in these specifications. These functional specifications are to be regarded as the basis for the development of the technical specifications (e.g. DDNA – 'Design Document for National Application' and 'Scope' document). It is important to note that the complete functional specifications can be found in ARIS and that this document is envisaged to be supporting documentation to the diagrams and information in ARIS. If the legal baseline of this document would change, the functional specifications will need to be updated and aligned.

These functional specifications build further on the specifications from ECS Phase 2, which already covers the handling of export movements and exit summary declarations, enables electronic lodgement of the declarations. The full AES specifications as defined in the Multi-Annual Strategic Plan contains the necessary interfaces and updates to cater for the provisions of the UCC. This includes:
- Addition of missing functionality (simplified procedures, re-export advice, ...);
- Alignment of the export and exit processes to the legal provisions of UCC;
- Alignment of the information exchanges to the data requirements of UCC;
- Development of the necessary interfaces with supporting systems;
- Development of the Centralised clearance for export;
- Development of a harmonised interface with EMCS.

Since the actual implementation of the system is under national responsibility, the division between user interface and automated tasks included in this document should be considered as a proposal from DG TAXUD. MS are free to deviate and introduce more or less automation. However, as with all Trans-European Systems, compliance with the data of the common domain information exchanges will be mandatory to ensure automated communication between Customs Offices.

## 4.2. Actors

| ACTORS | DESCRIPTION |
|---|---|
| Customs Office of Export | The customs office designated by the customs authorities in accordance with the customs legislation where for goods leaving the customs territory of the Union the formalities for placing them under the export procedure are to be carried out. |
| Customs Authority | Customs Office responsible for executing each particular process depending on the status of the movement. |
| Customs Office of Exit (Actual) | |
| Customs Office of Exit or Lodgement | Customs Office of Exit: The customs office competent for the place from where the goods will leave the customs territory of the Union to a destination outside the customs territory of the Union.<br>Customs Office of Lodgement: The customs office authorised to receive the lodged Exit<br>Summary Declaration and to forward it to the Office of Exit. |
| Requesting Customs Office | The Customs Office that requests to check an operation's status |

| | |
|---|---|
| | or any operation information. |
| Customs Officer | |
| Customs Office of Departure | The Customs Office where the customs declaration placing goods under a transit procedure is accepted. |
| PCO - Presentation Customs Office | The customs office designated by the customs authorities where goods have been presented for the purpose of placing them under an export procedure in the case of centralised clearance. |
| SCO - Supervising Customs Office | The customs office indicated in the respective authorisation (simplified declaration and/or centralised clearance) to supervise the placing of goods under the customs procedure concerned. |
| AES | Automated Export System |
| Customs Office of Exit | The customs office competent for the place from where the goods will leave the customs territory of the Union to a destination outside the customs territory of the Union. |
| Trader at Exit | Generic term used to indicate either the carrier which in the context of exit, is the person who takes the goods, or who assumes responsibility for the carriage of the goods, out of the customs territory of the Union, or the person presenting the goods at exit |
| Customs Office of Export | The customs office designated by the customs authorities in accordance with the customs legislation where for goods leaving the customs territory of the Union the formalities for placing them under the export procedure are to be carried out or where a re-export declaration is to be lodged, where applicable. |
| Customs Officer | The Customs Officer responsible for the Customs Declaration handling. |
| Customs Office of Exit (Declared) | |
| MSA of Export | The Administration in the Member State where the Office of Export is located, which is responsible for generating an EMCS Report of Export, based on exit results forwarded by the Office of Export to EMCS. |
| Customs Officer | Member of the Customs Authorities entitled to interact with the Automated Export System. |
| Customs Office of Export | The customs office where for goods being taken out of the customs territory of the European Union the formalities concerning the export customs declaration are to be carried out or where a re-export declaration is to be lodged. |
| Customs Office of Lodgement | The customs office authorised to receive the lodged Exit Summary Declaration and to forward it to the Office of Exit. |
| Declarant or Representative | The Declarant or Representative can be the person presenting the goods or the holder of the temporary storage facility or the holder of a storage facility in a free zone or any other person able to present the goods where the person presenting the goods has been informed, and given its consent under a contractual arrangement, that this person lodges the declaration. |

Actors

# 5. PROCESS MODELS

## 5.1. Process L4-EXP-01-00-AES Master Process

### 5.1.1. Objective of Process

The purpose of this diagram is to present in one diagram the main flow of declarations concerning Export, the Export Declaration, the Exit Summary Declaration and the Re-Export Notification. This diagram mainly focuses on the successful path.For more details please check the respective L4 BPMN diagrams.

### 5.1.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-00 |
| Full Name | AES Master Process |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | N/A |
| Existing Specifications | DDNXA-v5.13 |

Table 5.1: Process Details

### 5.1.3. Tasks and Events

#### Task Customs Formalities at Office of Export-Acceptance and Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office of Export-Acceptance and Controls |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Which Type of Information is To Be Lodged?<br>Amend Export Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.2: Task Customs Formalities at Office of Export-Acceptance and Controls

### Event Invalidation Request Received and state is 'Accepted'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Request Received and state is 'Accepted' |
| Event Type | Conditional intermediate event |
| Description | |
| Previous Objects | Customs Formalities at Office of Export-Acceptance and Controls |

Table 5.3: Event Invalidation Request Received and state is 'Accepted'

### Event Amendment Requested and state is 'Accepted'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Amendment Requested and state is 'Accepted' |
| Event Type | Conditional intermediate event |
| Description | AES at the Customs Office of Export receives an amendment request (IE513) from the Declarant/Representative when the state of the export declaration is set to 'Accepted'. Following the completion of process L4-EXP-01-05-Amendment of the Export Declaration, the flow may continue either with process L4-EXP-01-01-02-Register and Record Control Decision or with process L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance (in case the movement is under Centralised Clearance for Export) or may enter again the process L4-EXP-01-05-Amendment of the Export Declaration (in case a new amendment request (IE513) is received while the movement is still in the 'Accepted' state. |
| Previous Objects | Customs Formalities at Office of Export-Acceptance and Controls |

Table 5.4: Event Amendment Requested and state is 'Accepted'

### Task Amend Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend Export Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-05 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |

| | |
|---|---|
| Previous Objects | Amendment Requested and state is 'Accepted' |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.5: Task Amend Export Declaration

### Task Customs Formalities at Office of Export-Release

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office of Export-Release |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-02 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Customs Formalities at Office of Export-Acceptance and Controls |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.6: Task Customs Formalities at Office of Export-Release

### Event Export Declaration not Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration not Invalidated |
| Event Type | End event |
| Description | |
| Previous Objects | Movement is in a final state? |

Table 5.7: Event Export Declaration not Invalidated

### Event Declaration or Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Declaration or Notification Received |
| Event Type | Conditional start event |
| Description | An Export Declaration or a Exit Summary Declaration or a Re-Export Notification is received. |
| Appears also in the following processes | L4-TRA-01-00-Transit Core Business Master Process |
| Previous Objects | |

Table 5.8: Event Declaration or Notification Received

### Task Exit Summary Declaration-Process Registration and Arrival

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Exit Summary Declaration-Process Registration and Arrival |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-10 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Amend Exit Summary Declaration<br>Which Type of Information is To Be Lodged? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.9: Task Exit Summary Declaration-Process Registration and Arrival

### Event Amendment Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Amendment Requested |
| Event Type | Conditional intermediate event |
| Description | Amendments on declarations can be sent only before the goods are released for exit. This means that the amendments can only be accepted when the movement state is either “Registered” or “Goods Presented at Exit” or “Goods Ready to be Released”. Following the completion of process L4-EXP-01-12-Amendment of Exit Summary Declaration, the flow will |

| | |
|---|---|
| | continue with process L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival. |
| Previous Objects | Exit Summary Declaration-Process Registration and Arrival |

Table 5.10: Event Amendment Requested

### Event Invalidation Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Requested |
| Event Type | Conditional intermediate event |
| Description | The invalidation process will be initiated by an invalidation request while the movement state is at one of the following states:<br>- Registered;<br>- Goods Ready to be Released;<br>- Goods Held for Storing;<br>- Goods Released for Immediate Leave;<br>- Goods Presented at Exit. |
| Previous Objects | Exit Summary Declaration-Process Registration and Arrival |

Table 5.11: Event Invalidation Requested

### Event Time Limit to Receive Exit Notification Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Exit Notification Expired |
| Event Type | Timer intermediate event |
| Description | The time limit (150 days) to:<br>● receive the Exit Notification/Information for all the movement goods, or;<br>● receive an indication of rejection of exit release due to unsatisfactory controls (in EXS only), or;<br>● receive a successful invalidation request,<br><br>expires and the invalidation procedure is initiated. |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Exit Summary Declaration-Process Registration and Arrival |

Table 5.12: Event Time Limit to Receive Exit Notification Expired

### Task Exit Summary Declaration-Process Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Exit Summary Declaration-Process Exit |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-11 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Exit Summary Declaration-Process Registration and Arrival |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.13: Task Exit Summary Declaration-Process Exit

### Task Amend Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-12 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Amendment Requested |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.14: Task Amend Exit Summary Declaration

### Event Invalidation Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Invalidation Requested |
| Event Type | Conditional intermediate event |
| Description | The invalidation process will be initiated by an invalidation request while the movement state is at one of the following states:<br>- Goods Released for Immediate Leave;<br>- Goods Released for Immediate Leave(Partial). |
| Previous Objects | Exit Summary Declaration-Process Exit |

Table 5.15: Event Invalidation Requested

### Task Exit Summary Declaration/Re-Export Notification Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Exit Summary Declaration/Re-Export Notification Invalidation |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-22 |
| Actor | Customs Authority |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Input Data | |
| Output Data | |
| Previous Objects | Time Limit to Receive Exit Notification Expired<br>Invalidation Requested<br>Time Limit to Receive Exit Notification Expired<br>Invalidation Requested |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.16: Task Exit Summary Declaration/Re-Export Notification Invalidation

### Event Time Limit to Receive Exit Notification Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Exit Notification Expired |
| Event Type | Timer intermediate event |
| Description | The time limit (150 days) to:<br>● receive the Exit Notification/Information for all the movement |

| | |
|---|---|
| | goods, or;<br>● receive an indication of rejection of exit release due to unsatisfactory controls (in EXS only), or;<br>● receive a successful invalidation request,<br><br>expires and the invalidation procedure is initiated. |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Exit Summary Declaration-Process Exit |

Table 5.17: Event Time Limit to Receive Exit Notification Expired

### Task Export Declaration Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Export Declaration Invalidation |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-26 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Invalidation Request Received and state is 'Accepted'<br>Time Limit to Receive Alternative Evidence Expired<br>Time Limit to Receive Alternative Evidence Expired<br>Export Declaration to be Invalidated? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.18: Task Export Declaration Invalidation

### Task Process Transit Release Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Process Transit Release Notification |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-25 |
| Actor | Customs Authority |

| | |
|---|---|
| Input Data | |
| Output Data | |
| Previous Objects | Arrival at Exit OR Transit Presentation Notification? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.19: Task Process Transit Release Notification

### Event Goods Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Exited |
| Event Type | End event |
| Description | |
| Previous Objects | Exit Summary Declaration-Process Exit |

Table 5.20: Event Goods Exited

### Task Customs Formalities at Office(s) of Exit-Process Arrival Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-24 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Arrival at Exit OR Transit Presentation Notification?<br>Handle Diversion |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.21: Task Customs Formalities at Office(s) of Exit-Process Arrival Notification

### Task Re-Export Notification-Process Registration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Re-Export Notification-Process Registration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-13 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Amend Re-Export Notification<br>Which Type of Information is To Be Lodged? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.22: Task Re-Export Notification-Process Registration

### Event Time Limit to Receive Alternative Evidence Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Alternative Evidence Expired |
| Event Type | Timer intermediate event |
| Description | The time limit to receive information from the exporter or the declarant from the moment the request for information has been sent to the exporter or the declarant expires. |
| Appears also in the following processes | L3-EXP-01-09-Enquiry Procedure<br>L4-EXP-01-00-AES Master Process |
| Previous Objects | Process Transit Release Notification |

Table 5.23: Event Time Limit to Receive Alternative Evidence Expired

### Event Exit Summary Declaration Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Invalidated |

| | |
|---|---|
| Event Type | End event |
| Description | |
| Previous Objects | Exit Summary Declaration/Re-Export Notification Invalidation |

Table 5.24: Event Exit Summary Declaration Invalidated

### Task Enquiry Procedure

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Enquiry Procedure |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-08 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Time Limit to Receive Exit Results Expired OR Trader sent Enquiry Information on his/her own initiative? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.25: Task Enquiry Procedure

### Event Time Limit to Receive Alternative Evidence Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Alternative Evidence Expired |
| Event Type | Timer intermediate event |
| Description | The time limit to receive information from the exporter or the declarant from the moment the request for information has been sent to the exporter or the declarant expires. |
| Appears also in the following processes | L3-EXP-01-09-Enquiry Procedure<br>L4-EXP-01-00-AES Master Process |
| Previous Objects | Enquiry Procedure |

Table 5.26: Event Time Limit to Receive Alternative Evidence Expired

### Event Amendment Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Amendment Requested |
| Event Type | Conditional intermediate event |
| Description | Amendments on re-export notifications can be sent only before the goods are released for exit. This means that the amendments can only be accepted when the movement state is either “Registered” or “Goods Ready to be Released”. Following the completion of process L4-EXP-01-15 Amend Re-Export Notification, the flow will continue with process L4-EXP-01-13 Re-Export Notification Process Registration. |
| Previous Objects | Re-Export Notification-Process Registration |

Table 5.27: Event Amendment Requested

### Event Invalidation Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Requested |
| Event Type | Conditional intermediate event |
| Description | The invalidation process will be initiated by an invalidation request while the movement state is at one of the following states:<br>- Registered;<br>- Goods Ready to be Released;<br>- Goods Held for Storing;<br>- Goods Released for Immediate Leave. |
| Previous Objects | Re-Export Notification-Process Registration |

Table 5.28: Event Invalidation Requested

### Event Time Limit to Receive Exit Notification Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Exit Notification Expired |
| Event Type | Timer intermediate event |
| Description | The time limit (150 days) to:<br>● receive the Exit Notification/Information for all the movement goods, or;<br>● receive an indication of rejection of exit release due to unsatisfactory controls (in EXS only), or;<br>● receive a successful invalidation request,<br><br>expires and the invalidation procedure is initiated. |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Re-Export Notification-Process Registration |

Table 5.29: Event Time Limit to Receive Exit Notification Expired

### Task Re-Export Notification-Process Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Re-Export Notification-Process Exit |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-14 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Re-Export Notification-Process Registration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.30: Task Re-Export Notification-Process Exit

### Event Invalidation Requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Requested |
| Event Type | Conditional intermediate event |
| Description | The invalidation process will be initiated by an invalidation request while the movement state is at one of the following states:<br>- Goods Released for Immediate Leave;<br>- Goods Released for Immediate Leave(Partial). |
| Previous Objects | Re-Export Notification-Process Exit |

Table 5.31: Event Invalidation Requested

### Task Handle Diversion

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Task Name | Handle Diversion |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-19. |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.32: Task Handle Diversion

### Task Amend Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend Re-Export Notification |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-15 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Amendment Requested |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.33: Task Amend Re-Export Notification

### Event Time Limit to Receive Exit Notification Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Exit Notification Expired |

| | |
|---|---|
| Event Type | Timer intermediate event |
| Description | The time limit (150 days) to:<br>● receive the Exit Notification/Information for all the movement goods, or;<br>● receive an indication of rejection of exit release due to unsatisfactory controls (in EXS only), or;<br>● receive a successful invalidation request,<br><br>expires and the invalidation procedure is initiated. |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Re-Export Notification-Process Exit |

Table 5.34: Event Time Limit to Receive Exit Notification Expired

### Task Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-21 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Customs Formalities at Office(s) of Exit-Process Arrival Notification Handle Diversion |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.35: Task Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods

### Task Exit Summary Declaration/Re-Export Notification Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Exit Summary Declaration/Re-Export Notification Invalidation |
| Task Type | Call activity (collapsed) |

| | |
|---|---|
| Description | This call-activity is modelled in a separate process: L4-EXP-01-22 |
| Actor | Customs Authority |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Input Data | |
| Output Data | |
| Previous Objects | Time Limit to Receive Exit Notification Expired Invalidation Requested<br>Time Limit to Receive Exit Notification Expired Invalidation Requested |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.36: Task Exit Summary Declaration/Re-Export Notification Invalidation

### Event Goods Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Exited |
| Event Type | End event |
| Description | The goods have exited the customs territory. |
| Appears also in the following processes | L4-EXP-01-14-Re-Export Notification-Process Exit |
| Previous Objects | Re-Export Notification-Process Exit |

Table 5.37: Event Goods Exited

### Event Export Declaration Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Invalidated |
| Event Type | End event |
| Description | The export declaration has been invalidated. This is a final state. |
| Appears also in the following processes | L4-EXP-01-18-Invalidate Export Declaration at Office of Exit [DEPRECATED]<br>L4-EXP-01-26-Export Declaration Invalidation |

| | |
|---|---|
| Previous Objects | Export Declaration Invalidation |

Table 5.38: Event Export Declaration Invalidated

### Event Re-Export Notification Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-Export Notification Invalidated |
| Event Type | End event |
| Description | |
| Previous Objects | Exit Summary Declaration/Re-Export Notification Invalidation |

Table 5.39: Event Re-Export Notification Invalidated

### Task Customs Formalities at Office(s) of Exit-Process Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office(s) of Exit-Process Exit |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-07 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.40: Task Customs Formalities at Office(s) of Exit-Process Exit

### Task Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Supplementary Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-04 |

| | |
|---|---|
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Simplified Declaration and obligation to lodge a supplementary declaration has not been waived? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.41: Task Supplementary Declaration

### Event Handled Elsewhere

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Handled Elsewhere |
| Event Type | End event |
| Description | The diversion at the Declared Customs Office of Exit has been registered and timer to receive arrival at exit has expired. This is a final state. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Handle Diversion |

Table 5.42: Event Handled Elsewhere

### Task Operation Status Check

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Operation Status Check |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-17 |
| Actor | Customs Authority |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-26-Export Declaration Invalidation |
| Input Data | |
| Output Data | |
| Previous Objects | Status Requested? |

| | |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.43: Task Operation Status Check

### Task Operation Information Query

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Operation Information Query |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-16 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Query Operation Information Request? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.44: Task Operation Information Query

### Task Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-23 |
| Actor | Customs Authority |
| Input Data | |
| Output Data | |
| Previous Objects | Time Limit to Receive Exit Results Expired OR Trader sent Enquiry Information on his/her own initiative?<br>Enquiry Procedure<br>Customs Formalities at Office(s) of Exit-Process Exit |

| | Process Transit Release Notification |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.45: Task Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence

### Event Export Formalities Completed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Formalities Completed |
| Event Type | End event |
| Description | Export Formalities completed. |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L3-EXP-01-27-Export and Transit |
| Previous Objects | Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>Operation Status Check<br>Status Requested?<br>Operation Information Query<br>Query Operation Information Request?<br>Supplementary Declaration<br>Simplified Declaration and obligation to lodge a supplementary declaration has not been waived? |

Table 5.46: Event Export Formalities Completed

## 5.2. Process L4-EXP-01-01-01-01-Handle e-AD Request and Cross-Checking

### 5.2.1. Objective of Process

The process is initiated when AES identifies the following condition:

- Export Declaration contains ARC(s) and UBR(s) under Previous document (C651 or C658).

Following the above identification, the flow continues as per the below:

AES at the Customs Office of Export requests the e-AD in electronic format ‘e-AD Request’ (IE532) from EMCS of the Member State of Export. Until the Export Declaration acceptance, AES uses LRN as a key in all external and national domain information exchanges. Goods declared in one Export declaration can refer to several e-ADs, therefore a separate message will need to be sent to the EMCS of the Member State of Export for each e-AD. One of the following cases is fulfilled:

1. AES at the Customs Office of Export receives some (or all) of the requested e-AD(s) from EMCS at the MSA of Export via 'e-AD' (IE801). In the case that in a single Export Declaration, the Goods refer to several e-ADs, the Member State of Export will need to send to AES separate IE801 messages (in case of availability of the e-ADs) for each e-AD. AES identifies whether it has received as many responses as the number of the 'e-AD Request' (IE532) initially sent. At this point, the following may occur:
1.1. The number of the responses received from EMCS at the MSA of Export is equal to the requested e-ADs initially sent. AES at the Customs Office of Export identifies whether all e-ADs that were initially requested are received. The following may occur at this point:
1.1.1. All e-ADs that were initially requested are received. AES performs the cross-check of the e-ADs and the Customs Declaration and records the results of the Cross check. The data elements that must be cross-checked are the ARC, UBR, Combined Nomenclature Code and Net Mass. At this point, the following may occur:
1.1.1.1. The cross-check was successful, and the flow stops here. The process that called this process may now resume.
1.1.1.2. The cross-check result was unsuccessful (or for the case of 1.1.1.2. not all the e-ADs were received, or for the case of 1.2.1. the e-AD is rejected). The MSA of Export is notified via an 'e-AD Negative Cross-Check Result' (IE537) and the flow stops here. The process that called this process may now resume.
1.1.2. The requested e-AD messages were not all received. The flow continues from point 1.1.1.1.2.
1.2. The number of the responses received from EMCS at the MSA of Export is not equal to the requested e-ADs initially sent. The flow continues from point 1, after the request of the e-AD(s).
2. AES at the Customs Office of Export receives some (or all) negative responses from the MSA of Export via IE8xx message. AES identifies whether it has received as many responses as the number of the 'e-AD Request' (IE532) initially sent. At this point, the following may occur:
2.1. The number of the responses received from EMCS at the MSA of Export is equal to the requested e-ADs initially sent. Since AES has received at least one 'e-AD Request Rejection' (IE8xx), the flow continues with the rejection of the e-AD. The flow continues from point 1.1.1.1.2. The initial process that called this process may now resume.
2.2. The number of the responses received from EMCS at the MSA of Export is not equal to the requested e-ADs initially sent. The flow continues from point 1, after the request of the e-AD(s).

Final Situation:
- Cross-Check Result was Successful thus the e-AD(s) is accepted;
- e-AD Rejected/ Cross-Check Result was negative, thus the e-AD is rejected.

### 5.2.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-01-01 |
| Full Name | Handle e-AD Request and Cross-Checking |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration, L3-EXP-01-19-Export of Goods Under Excise Duty Suspension Arrangements, L3-EXP-01-05-Amendment of the Customs Declaration, Re-Export Notification, Exit Summary Declaration |

| | |
|---|---|
| Existing Specifications | DDNXA-v5.13 |

Table 5.47: Process Details

### 5.2.3. Tasks and Events

#### Event Export Declaration Contains ARC(s)

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Contains ARC(s) |
| Event Type | Conditional start event |
| Description | AES initiated an eAD request for a valid customs declaration, connected with valid authorisation(s). |
| Previous Objects | |

Table 5.48: Event Export Declaration Contains ARC(s)

#### Task Request e-AD

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request e-AD |
| Task Type | Send task |
| Description | AES requests e-AD from EMCS of the Member State of Export. Goods declared in one Export declaration can refer to several e-ADs, therefore several request messages might need to be sent to EMCS. |
| Actor | AES |
| Input Data | MSA of Export Information<br>Goods Under Excise Duty Suspension Arrangement<br>E_EXP_DAT |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s) |
| Information Exchange | e-AD Request |
| Requirements | AES_FUR_0089<br>AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.49: Task Request e-AD

#### Event Receive e-AD

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive e-AD |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Customs Office of Export receives an e-AD from EMCS of the Member State of Dispatch in an electronic format. |
| Previous Objects | Are all responses received?<br>Request e-AD<br>Are all responses received?<br>MSA of Export |

Table 5.50: Event Receive e-AD

### Event Receive Rejection of e-AD Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Rejection of e-AD Request |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Customs Office of Export receives the rejection of the e-AD request from EMCS of the Member State of Dispatch in an electronic format. |
| Previous Objects | Are all responses received?<br>Request e-AD<br>Are all responses received?<br>MSA of Export |

Table 5.51: Event Receive Rejection of e-AD Request

### Task Identify whether all responses are received

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether all responses are received |
| Task Type | Service task |
| Description | AES identifies whether the number of responses received (either positive or negative) is the same as the number of 'e-AD Request' message(s) sent to MSA of Export. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-01-Handle e-AD Request and Cross-Checking |
| Input Data | |
| Output Data | Result of e-AD Responses Check |
| Previous Objects | Receive e-AD |

| | |
|---|---|
| Information Exchange | e-AD<br>e-AD Request Rejection |
| Requirements | AES_FUR_0089 |
| Data Set | |
| Events | |
| State | |

Table 5.52: Task Identify whether all responses are received

### Task Identify whether all responses are received

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether all responses are received |
| Task Type | Service task |
| Description | AES identifies whether the number of responses received (either positive or negative) is the same as the number of 'e-AD Request' message(s) sent to MSA of Export. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-01-Handle e-AD Request and Cross-Checking |
| Input Data | |
| Output Data | Result of e-AD Responses Check |
| Previous Objects | Receive Rejection of e-AD Request |
| Information Exchange | e-AD<br>e-AD Request Rejection |
| Requirements | AES_FUR_0089 |
| Data Set | |
| Events | |
| State | |

Table 5.53: Task Identify whether all responses are received

### Task Identify whether all requested e-AD messages are received

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether all requested e-AD messages are received |

| | |
|---|---|
| Task Type | Service task |
| Description | AES identifies whether all requested e-AD(s) are received from the MSA of Export. |
| Actor | AES |
| Input Data | N_EAD_VAL |
| Output Data | Result of e-AD Messages Retrieved Check |
| Previous Objects | Are all responses received? |
| Information Exchange | |
| Requirements | AES_FUR_0089 |
| Data Set | |
| Events | |
| State | |

Table 5.54: Task Identify whether all requested e-AD messages are received

### Task Record e-AD

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record e-AD |
| Task Type | Service task |
| Description | AES records the e-AD(s) it receives from the EMCS. |
| Actor | AES |
| Input Data | LRN<br>N_EAD_VAL |
| Output Data | N_EAD_VAL |
| Previous Objects | Are all requested e-AD messages received? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.55: Task Record e-AD

### Task Cross-Check the e-AD and the Customs Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Cross-Check the e-AD and the Customs Declaration |
| Task Type | Service task |
| Description | AES cross-checks the data of the customs declaration and the e-AD(s). The following data elements have to be cross-checked:<br>- ARC<br>- UBR<br>- Combined Nomenclature code<br>- Net Mass |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-03-Cross-Check e-AD [DEPRECATED] |
| Input Data | E_EXP_DAT<br>N_EAD_VAL |
| Output Data | Cross-Check Result between the e-AD and the Export Declaration |
| Previous Objects | Record e-AD |
| Information Exchange | |
| Requirements | AES_FUR_0009 |
| Data Set | |
| Events | |
| State | |

Table 5.56: Task Cross-Check the e-AD and the Customs Declaration

### Task Record Result of Cross-Check

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Result of Cross-Check |
| Task Type | Service task |
| Description | AES records the result of the cross-check between the customs declaration and e-AD(s). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-03-Cross-Check e-AD [DEPRECATED] |
| Input Data | Cross-Check Result between the e-AD and the Export Declaration |

| | |
|---|---|
| | MRN |
| Output Data | Cross-Check Result between the e-AD and the Export Declaration |
| Previous Objects | Cross-Check the e-AD and the Customs Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.57: Task Record Result of Cross-Check

### Task Reject e-AD Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject e-AD Request |
| Task Type | Service task |
| Description | AES records the e-AD rejection(s) it receives from the EMCS. |
| Actor | AES |
| Input Data | N_EAD_REJ<br>Result of e-AD Responses Check<br>Result of e-AD Messages Retrieved Check |
| Output Data | e-AD Request Rejection Information |
| Previous Objects | Are all requested e-AD messages received?<br>Are all responses received? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.58: Task Reject e-AD Request

### Task Notify MSA of Export of e-AD Negative Cross-Check Result

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Task Name | Notify MSA of Export of e-AD Negative Cross-Check Result |
| Task Type | Send task |
| Description | When AES records the cross-check result, in case it is negative, a notification IE will be sent to the MSA of Export informing about the negative results and indicating the reasons. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>Cross-Check Result between the e-AD and the Export Declaration |
| Output Data | |
| Previous Objects | Was the Cross-Check result successful? |
| Information Exchange | e-AD Negative Cross-Check Result |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.59: Task Notify MSA of Export of e-AD Negative Cross-Check Result

### Event Cross-Check Result was successful

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Cross-Check Result was successful |
| Event Type | End event |
| Description | The process ends because the cross-check result of the e-AD and the Customs Declaration was successful. |
| Previous Objects | Was the Cross-Check result successful? |

Table 5.60: Event Cross-Check Result was successful

### Event e-AD Rejected / Cross-Check Result was Negative

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | e-AD Rejected / Cross-Check Result was Negative |
| Event Type | End event |
| Description | The process ends because either the e-AD was rejected or the cross-check result between the e-AD(s) and the Customs Declaration was negative. |
| Previous Objects | Reject e-AD Request<br>Notify MSA of Export of e-AD Negative Cross-Check Result |

| | |
|---|---|
| | |

Table 5.61: Event e-AD Rejected / Cross-Check Result was Negative

## 5.3. Process L4-EXP-01-01-01-04-Evaluate Export Presentation Notification

### 5.3.1. Objective of Process

AES receives the Export Presentation Notification (IE511) and validation is performed. The following cases may occur:
1. The Export Presentation Notification is invalid, AES informs the Declarant/Representative about the rejection via an IE556. The process which initiated this process can now continue.
2. The Export Presentation Notification is valid. AES records the Export Presentation Notification and stops the time limit awaiting an IE511. The process which initiated this process can now continue.

Final situation:
- Export Presentation Notification is accepted;
- Export Presentation Notification is rejected

### 5.3.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-01-04 |
| Full Name | Evaluate Export Presentation Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-04-Acceptance of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.62: Process Details

### 5.3.3. Tasks and Events

#### Event Export Presentation Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Presentation Notification Received |
| Event Type | Conditional start event |
| Description | An Export Presentation Notification (IE511) is received. |
| Previous Objects | |

Table 5.63: Event Export Presentation Notification Received

#### Task Validate Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Presentation Notification |
| Task Type | Service task |
| Description | AES validates the received export presentation notification against the business rules and conditions. If the validation against the business rules and conditions fails, the export presentation notification is rejected. |
| Actor | AES |
| Input Data | E_PRE_NOT |
| Output Data | Export Declaration Validation Result |
| Previous Objects | Export Presentation Notification Received |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.64: Task Validate Export Presentation Notification

### Task Notify Declarant/Representative of Export Presentation Notification Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Presentation Notification Rejection |
| Task Type | Send task |
| Description | When it is identified that the Export Presentation Notification in invalid, a notification IE will automatically be sent to the Declarant or Representative informing about the rejection and indicating its reasons (IE512). |
| Actor | AES |
| Input Data | Declarant<br>E_PRE_NOT |
| Output Data | |
| Previous Objects | Is Export Presentation Notification valid? |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.65: Task Notify Declarant/Representative of Export Presentation Notification Rejection

### Task Record Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Export Presentation Notification |
| Task Type | Service task |
| Description | AES records presentation of goods at the Customs Office of Export. |
| Actor | AES |
| Input Data | |
| Output Data | E_PRE_NOT |
| Previous Objects | Gateway |
| Information Exchange | Export Presentation Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.66: Task Record Export Presentation Notification

### Task Stop Timer Awaiting For Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer Awaiting For Export Presentation Notification |
| Task Type | Service task |
| Description | When AES identifies as valid the Export Presentation Notification (IE511) message sent by the Exporter or Declarant, the 'Timer Awaiting For Export Presentation Notification' is stopped. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-05-Handle Cancellation Request |
| Input Data | E_EXP_DAT<br>MRN |

| | |
|---|---|
| Output Data | Time Limit Awaiting for Export Presentation Notification End Date |
| Previous Objects | Gateway |
| Information Exchange | |
| Requirements | EXP_FUR_0095 |
| Data Set | |
| Events | |
| State | |

Table 5.67: Task Stop Timer Awaiting For Export Presentation Notification

### Event Export Presentation Notification is Invalid

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Presentation Notification is Invalid |
| Event Type | End event |
| Description | The Export Presentation Notification (IE511) is Invalid. |
| Previous Objects | Notify Declarant/Representative of Export Presentation Notification Rejection |

Table 5.68: Event Export Presentation Notification is Invalid

### Event Export Presentation Notification is Valid

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Presentation Notification is Valid |
| Event Type | End event |
| Description | The Export Presentation Notification is Valid. |
| Previous Objects | Gateway |

Table 5.69: Event Export Presentation Notification is Valid

## 5.4. Process L4-EXP-01-01-01-05-Handle Cancellation Request

### 5.4.1. Objective of Process

In case of a pre-lodged declaration and before AES allocates a MRN to the movement, the Declarant/Representative may lodge a Cancellation Request with Export Invalidation Request' (IE514). The term 'Cancellation' is employed so as to emphasise that MRN has not been allocated yet (else Invalidation Request).
AES receives the Cancellation Request with 'Export Invalidation Request' (IE514) and validation is performed. Then, one of the following might occur:

1. If the Cancellation Request is valid, AES records it. The Customs Officer registers his decision to accept the cancellation request and then is recorded to AES. The process continues and the movement state is set to 'Cancelled'. AES notifies the Declarant/Representative of the decision to cancel the movement with 'Export Invalidation Decision' (IE509) and stops the timer 'Awaiting for the Presentation Notification'. If the Export Declaration contains ARC(s) the MSA of Export is also notified with an 'Export Declaration Rejection Notification to MSA of Export' (IE519). The process stops at this point.
2. If the Cancellation Request is invalid, AES notifies the Declarant/Representative of the Cancellation Request rejection with 'Rejection from Office of Export' (IE556). The process which initiated this process can now continue.

Final situation:
- Cancellation request is valid and the Export Declaration is cancelled, thus movement state turns to 'Cancelled';
- Cancellation request is rejected.

### 5.4.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-01-05 |
| Full Name | Handle Cancellation Request |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-04-Acceptance of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.70: Process Details

### 5.4.3. Tasks and Events

#### Task Validate Export Cancellation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Cancellation Request |
| Task Type | Service task |
| Description | AES validates the received export cancellation request against the business rules and conditions. If the validation against the business rules and conditions fails, the export Cancellation request is rejected. |
| Actor | AES |
| Input Data | |
| Output Data | Export Cancellation Validation Result |
| Previous Objects | Export Cancellation Request Received |

| | |
|---|---|
| Information Exchange | Export Invalidation Request |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.71: Task Validate Export Cancellation Request

### Event Export Cancellation Request Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Cancellation Request Received |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.72: Event Export Cancellation Request Received

### Task Register Decision for Cancellation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision for Cancellation |
| Task Type | User task |
| Description | The Customs Officer at the Customs Office of Export registers the decision for cancellation. |
| Actor | Customs Officer |
| Input Data | E_EXP_CAN |
| Output Data | Decision to Cancel Export Declaration |
| Previous Objects | Record Request for Cancellation |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.73: Task Register Decision for Cancellation

### Task Record Request for Cancellation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Request for Cancellation |
| Task Type | Service task |
| Description | AES records the Cancellation Request at the Customs Office of Export. |
| Actor | AES |
| Input Data | E_EXP_CAN |
| Output Data | Export Declaration<br>E_EXP_CAN |
| Previous Objects | Export Invalidation Request Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.74: Task Record Request for Cancellation

### Task Notify Declarant/Representative for Export Cancellation Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative for Export Cancellation Rejection |
| Task Type | Send task |
| Description | AES at the Customs Office of Export notifies the Declarant/ Representative with a 'Rejection from Office of Export' (IE556) message. |
| Actor | AES |
| Input Data | E_EXP_CAN<br>Declarant |
| Output Data | |
| Previous Objects | Export Invalidation Request Valid? |
| Information Exchange | Rejection from Office of Export |

| | |
|---|---|
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.75: Task Notify Declarant/Representative for Export Cancellation Rejection

### Event Export Cancellation Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Cancellation Request Rejected |
| Event Type | End event |
| Description | |
| Previous Objects | Notify Declarant/Representative for Export Cancellation Rejection |

Table 5.76: Event Export Cancellation Request Rejected

### Task Record Decision for Cancellation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision for Cancellation |
| Task Type | Service task |
| Description | AES records the Customs Officer's decision to accept the Cancellation Request. |
| Actor | AES |
| Input Data | Decision to Cancel Export Declaration |
| Output Data | Decision to Cancel Export Declaration |
| Previous Objects | Register Decision for Cancellation |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.77: Task Record Decision for Cancellation

### Task Cancel Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Cancel Export Declaration |
| Task Type | Service task |
| Description | AES cancels the Export Declaration. |
| Actor | AES |
| Input Data | Decision to Cancel Export Declaration |
| Output Data | Export Declaration |
| Previous Objects | Record Decision for Cancellation |
| Information Exchange | |
| Requirements | AES_FUR_0058 |
| Data Set | |
| Events | Export Invalidation Request Valid |
| State | Cancelled |

Table 5.78: Task Cancel Export Declaration

### Task Notify Declarant/Representative for Cancellation of Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative for Cancellation of Export Declaration |
| Task Type | Send task |
| Description | AES notifies the Declarant/Representative of the Export Declaration Cancellation. |
| Actor | AES |
| Input Data | E_EXP_DEC |
| Output Data | |
| Previous Objects | Cancel Export Declaration |
| Information Exchange | Export Invalidation Decision |
| Requirements | AES_FUR_0023 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.79: Task Notify Declarant/Representative for Cancellation of Export Declaration

### Task Stop Timer Awaiting For Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer Awaiting For Export Presentation Notification |
| Task Type | Service task |
| Description | When AES identifies as valid the Export Presentation Notification (IE511) message sent by the Exporter or Declarant, the 'Timer Awaiting For Export Presentation Notification' is stopped. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-04-Evaluate Export Presentation Notification |
| Input Data | E_EXP_DAT<br>MRN |
| Output Data | Time Limit Awaiting for Export Presentation Notification End Date |
| Previous Objects | Notify Declarant/Representative for Cancellation of Export Declaration |
| Information Exchange | |
| Requirements | EXP_FUR_0095 |
| Data Set | |
| Events | |
| State | |

Table 5.80: Task Stop Timer Awaiting For Export Presentation Notification

### Task Identify Whether Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether the customs declaration contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following | L4-EXP-01-01-01-Acceptance of Export Declaration |

| | |
|---|---|
| processes | L4-EXP-01-05-Amendment of the Export Declaration |
| Input Data | Export Declaration<br>LRN |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Stop Timer Awaiting For Export Presentation Notification |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.81: Task Identify Whether Export Declaration Contains ARC(s)

### Task Notify MSA of Export of Export Declaration Cancellation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Export Declaration Cancellation |
| Task Type | Send task |
| Description | AES notifies the MSA of Export of the Export Declaration Cancellation. |
| Actor | AES |
| Input Data | E_EXP_CAN |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | Export Declaration Rejection Notification to MSA of Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.82: Task Notify MSA of Export of Export Declaration Cancellation

### Event Cancelled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Cancelled |
| Event Type | End event |
| Description | The movement state turns to 'Cancelled'. This is a final state. |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Previous Objects | Export Declaration Contains ARC(s)?<br>Notify MSA of Export of Export Declaration Cancellation |

Table 5.83: Event Cancelled

## 5.5. Process L4-EXP-01-01-01-Acceptance of Export Declaration

### 5.5.1. Objective of Process

This process is initiated when the Export Declaration data is submitted to the Office of Export.
1. Validation of the Export Declaration is performed by AES.
1.1. If the Export Declaration is invalid, AES rejects the Export Declaration and notifies the Declarant/Representative about the 'Rejection from Office of Export' (IE556), giving the reason for the rejection. The movement state is set to 'Rejected'. The process stops at this point.
1.2. If the Export Declaration is valid, AES automatically records the Export Declaration. AES checks the Authorisations of the Declarant/Representative.
1.2.1. If Authorisations are invalid, then the process continues from point 1.1.
1.2.2. If Authorisations are valid, AES continues with identifying if the customs declaration contains ARC(s), in order to determine if the declared goods are under excise duty suspension arrangement.
1.2.2.1. If Export Declaration contains ARC(s), then process L4-EXP-01-01-01-01-Handle e-AD Request is executed.
1.2.2.1.1. If all e-ADs are received and Cross-Check Result between the e-AD and the Customs Declaration is successful, then the main process 'L4-EXP-01-01-01-Acceptance of Export Declaration' continues from point 2.
1.2.2.1.2. If the 'e-AD Request' (IE532) is not accepted by the EMCS of the Member State of Export (IE8xx) or the Cross-Check result was negative, AES records the rejection. In the case that the Cross-Check between the e-AD and the Customs Declaration was negative, AES informs the Member State of Export on the 'e-AD Negative Cross-Check Result' (IE537). The process continues from point 1.1.
1.2.2.2. If Export Declaration does not contain ARC(s), then the process continues from point 2.
2. AES identifies if the customs declaration has been lodged prior to the presentation of the goods.
2.1. If AES received the Export Declaration without the Goods being presented (Additional Declaration Type=D, E, F) the state of the movement is set to 'Registered and Waiting for Presentation of Goods'. At this point, the MS may pre-allocate a MRN without communicating it to the Declarant/Representative. AES starts the time limit to receive the Export Presentation Notification and process L4-EXP-01-01-01-02-Request and Record Risk Analysis is executed. The Customs Officer registers his/her control decision and AES records the control decision. If it was decided to perform control and the Declarant/Representative has an AEO certificate, then AES informs the Declarant/Representative of the intention to control the goods with 'Export Control Decision Notification' (IE560). In case the Declarant/Representative hasn't got an AEO certificate then he/she is not informed about the intention to control the goods.
2.1.1. One of the following cases is fulfilled:
2.1.1.1. The 'Timer Awaiting for Export Presentation Notification' expires. AES rejects the Export Declaration and notifies the Exporter about the declaration rejection with 'Rejection from Office of Export'

(IE556), giving the reason for the rejection. The movement state is set to 'Rejected'. The process stops at this point.
2.1.1.2. AES receives an 'Export Presentation Notification' (IE511), then process L4-EXP-01-01-01-04 Evaluate Export Presentation Notification is executed.
2.1.1.2.1. If the Export Presentation Notification is valid the process continues from point 2.1.2.
2.1.1.2.2. If the Export Presentation Notification is invalid, the status of the movement remains to 'Registered and Waiting for presentation of goods', then the process continues from point 2.1.1.
2.1.1.3. AES receives an 'Export Declaration Amendment' as Correction (IE513) and then process L4-EXP-01-05 Amendment of the Export Declaration is executed and the process continues from point 2.1.1.
2.1.1.4. AES receives an 'Export Invalidation Request' (IE514) as Cancellation and then process L4-EXP-01-01-01-05 Handle Cancellation Request is executed.
2.1.1.4.1. If the Cancellation Request is valid the movement state is set to 'Cancelled' and the process stops at this point.
2.1.1.4.2. If the Cancellation Request is invalid the process continues from point 2.1.1.
2.1.2. AES validates the Export Declaration against the business rules and conditions, following the 'Export Presentation Notification' (IE511).
2.1.2.1. If the Export Declaration is invalid, AES rejects the Export Declaration and notifies the Declarant/Representative about the declaration rejection with 'Rejection from Office of Export' (IE556), giving the reason for the rejection. The movement state is set to 'Rejected'. The process stops at this point.
2.1.2.2. If the Export Declaration is valid, AES checks the Authorisations of the Declarant/Representative.
2.1.2.2.1. If the Authorisations are invalid, AES rejects the Export Declaration and notifies the Declarant/Representative about the declaration rejection with 'Rejection from Office of Export' (IE556), giving the reason for the rejection. The movement state is set to 'Rejected'. The process stops at this point.
2.1.2.2.2. If the Authorisations are valid, AES continues with identifying if the customs declaration contains ARC(s), in order to determine if the declared goods are under excise duty suspension arrangement.
2.1.2.2.2.1. If Export Declaration contains ARC(s), then process L4-EXP-01-01-01-01-Handle e-AD Request is executed.
2.1.2.2.2.1.1. If all e-ADs are received and Cross-Check Result between the e-AD and the Customs Declaration is successful, then depending on the MS, AES shall check if MRN has been pre-allocated (or not). The process continues from point 3.
2.1.2.2.2.1.2. If the 'e-AD Request' (IE532) is not accepted by the EMCS of the Member State of Export (IE8xx) or the Cross-Check result was negative, AES records the rejection. In the case that the Cross-Check between the e-AD and the Customs Declaration was negative, AES informs the Member State of Export on the 'e-AD Negative Cross-Check Result' (IE537). AES rejects the Export Declaration and notifies the Declarant/Representative about the declaration rejection with 'Rejection from Office of the Export' (IE556), giving the reason for the rejection. The movement state is set to 'Rejected'. The process stops at this point.
2.2. If AES received the Export Declaration and the Goods were presented, the process continues from point 3.
3. AES accepts the Export Declaration, generates the MRN and assigns it to the movement. The movement state is set to 'Accepted'. The Trader is notified with 'Export MRN Allocated' (IE528) of the Export Declaration acceptance. If the Export Declaration contains ARC(s), then AES informs the MSA of Export of the 'Export Declaration Acceptance Notification to MSA of Export' (IE539).
4. The process L4-EXP-01-01-01-02 Request and Record Risk Analysis is executed. AES interfaces with the national risk analysis systems of the Member States to request a Risk Analysis. When the Risk Analysis results are received, AES records them and the process 'L4-EXP-01-01-01-Acceptance of Export Declaration' ends.

Final situation:
- If the declaration is not valid, it is rejected and the trader is informed. The movement state is set to 'Rejected';
- If the declaration is valid, it is accepted. The Trader is notified of the acceptance of the declaration. The result of the risk analysis is known. The movement state is set to 'Accepted';

● If a Cancellation Request is received via 'Export Invalidation Request' (IE514) and is valid the movement state is set to 'Cancelled' and the process stops at this point.

### 5.5.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-01 |
| Full Name | Acceptance of Export Declaration |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-04-Acceptance of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.84: Process Details

### 5.5.3. Tasks and Events

#### Task Validate Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Declaration |
| Task Type | Service task |
| Description | AES validates the received customs declaration against the business rules and conditions. If the validation against the business rules and conditions fails, the customs declaration is rejected.<br>AES validates the declared Trader Identification Numbers (TIN) against the EOS database. If any of the declared TIN is not valid, the customs declaration is rejected. In case only the TIN is provided, the AES completes the Trader data (name, address) in the customs declaration.<br>AES verifies (based on TARIC data) the existence of the references to any documents required for the declared Commodity Code (TARIC code) in relation to the declared country of destination.<br>If the customs declaration is not valid, AES rejects the declaration and informs the Trader, indicating the reasons for rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | |
| Output Data | Export Declaration Validation Result |
| Previous Objects | Export Declaration Received |

| | |
|---|---|
| Information Exchange | Export Declaration |
| Requirements | AES_FUR_0001<br>AES_FUR_0087<br>AES_FUR_0085<br>AES_FUR_0088<br>AES_FUR_0086 |
| Data Set | |
| Events | |
| State | |

Table 5.85: Task Validate Export Declaration

### Event Export Declaration Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Received |
| Event Type | Conditional start event |
| Description | AES at the Customs Office of Export receives an export declaration from the Trader in an electronic format. |
| Previous Objects | |

Table 5.86: Event Export Declaration Received

### Task Record Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Export Declaration |
| Task Type | Service task |
| Description | If the customs declaration is valid, AES records it in the database. |
| Actor | AES |
| Input Data | Export Declaration Validation Result<br>E_EXP_DAT |
| Output Data | E_EXP_DAT |
| Previous Objects | Export Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.87: Task Record Export Declaration

### Task Check Authorisations for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Check Authorisations for AES |
| Task Type | Service task |
| Description | AES checks EXPORT OPERATION FOR IE515. Additional declaration type to identify if the declaration is standard or simplified and the type of simplified declaration.<br>In case a simplified customs declaration is lodged, AES checks whether the Trader has a valid authorisation for simplified and supplementary declarations.<br>In case the customs declaration is lodged under centralised clearance, AES checks whether the Trader has a valid authorisation for centralised clearance.<br>Results of the authorisation check are recorded in the AES.<br>In case the Trader does not have a required authorisation or any of the required authorisations is not valid, the customs declaration is rejected. The Trader is informed about the reasons for rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | E_EXP_DAT |
| Output Data | Authorisation Validation Result |
| Previous Objects | Record Export Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0092<br>AES_FUR_0008<br>AES_FUR_0012 |
| Data Set | |
| Events | |
| State | |

Table 5.88: Task Check Authorisations for AES

### Task Identify Whether Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether the customs declaration contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-05-Handle Cancellation Request<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration<br>LRN |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Authorisations Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.89: Task Identify Whether Export Declaration Contains ARC(s)

### Task Handle e-AD Request and Cross-Checking

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle e-AD Request and Cross-Checking |
| Task Type | Call activity (collapsed) |
| Description | Process Handle eAD Request is initiated. For details see L4-EXP-01-01-01-01. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration |
| Input Data | |
| Output Data | |

| | |
|---|---|
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.90: Task Handle e-AD Request and Cross-Checking

### Task Notify Declarant/Representative of Export Declaration Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Declaration Rejection |
| Task Type | Send task |
| Description | When it is identified that the Customs Declaration in invalid, a notification IE will automatically be sent to the Declarant/Representative informing him/her about the rejection and indicating the reasons (IE556). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration Validation Result<br>E_EXP_DAT<br>Authorisation Validation Result |
| Output Data | |
| Previous Objects | Gateway |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.91: Task Notify Declarant/Representative of Export Declaration Rejection

### Task Reject Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject Export Declaration |

| | |
|---|---|
| Task Type | Service task |
| Description | AES rejects the export declaration. The movement status is set to 'Rejected'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | LRN |
| Output Data | Export Declaration |
| Previous Objects | Notify Declarant/Representative of Export Declaration Rejection |
| Information Exchange | |
| Requirements | AES_FUR_0098 |
| Data Set | Export Declaration |
| Events | Export Declaration is invalid<br>Export Presentation Notification Valid AND Export Declaration and Export Declaration Amendment Invalid OR Timer Awaiting Export Presentation Notification Expired |
| State | Rejected |

Table 5.92: Task Reject Export Declaration

### Task Identify if Goods are Presented

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods are Presented |
| Task Type | Service task |
| Description | AES identifies if the goods have been presented (export declaration is submitted prior to the goods presentation (additional declaration type is equal to "D", "E", "F")). If the goods are not presented the state of the movement is set to 'Registered and waiting for presentation of goods'. |
| Actor | AES |
| Input Data | E_EXP_DAT |
| Output Data | Identify if Goods are Presented Check<br>Export Declaration |
| Previous Objects | Gateway |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0097 |
| Data Set | |
| Events | Received Export Declaration Validated and no Goods Presented |
| State | Registered and Waiting for Presentation of Goods |

Table 5.93: Task Identify if Goods are Presented

### Event Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Rejected |
| Event Type | End event |
| Description | Customs declaration is rejected. Movement state turns to 'Rejected'. This is a final state. |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Previous Objects | Reject Export Declaration |

Table 5.94: Event Rejected

### Task Pre-allocate MRN for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Pre-allocate MRN for AES |
| Task Type | Service task |
| Description | MRN is pre-allocated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Does the MS pre-allocate the MRN? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.95: Task Pre-allocate MRN for AES

### Task Start Timer Awaiting For Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer Awaiting For Export Presentation Notification |
| Task Type | Service task |
| Description | When the export declaration is submitted prior to the goods presentation (additional declaration type is equal to "D", "E", "F"), a timer is started to receive the export presentation notification within 30 days. |
| Actor | AES |
| Input Data | Awaiting for Presentation Notification Default Time Limit<br>E_EXP_DAT<br>MRN |
| Output Data | Time Limit Awaiting for Presentation Notification Start Date<br>Awaiting for Presentation Notification Expiry Date |
| Previous Objects | Gateway |
| Information Exchange | |
| Requirements | EXP_FUR_0094 |
| Data Set | |
| Events | |
| State | |

Table 5.96: Task Start Timer Awaiting For Export Presentation Notification

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival |

| | |
|---|---|
| | L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Start Timer Awaiting For Export Presentation Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.97: Task Request and Record Risk Analysis for AES

### Task Register Control Intention prior to Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Intention prior to Presentation |
| Task Type | User task |
| Description | This task could be an automated task.<br>Based on the risk analysis result, the ARC cross-check result, the Combined Nomenclature Code Cross-check Result and the Net Mass Cross-check Result, the customs officer decides whether to control the goods or not.<br>The decision is registered in AES. If a decision to control the goods is taken, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check). If the control concerns a supplementary declaration, then only documentary controls can be performed.<br>If the customs officer decides not to control the goods, the control result code is set to 'Considered satisfactory'. |
| Actor | Customs Officer |
| Input Data | Risk Analysis Result |
| Output Data | Decision of Intention to Control |

| | |
|---|---|
| Previous Objects | Request and Record Risk Analysis for AES |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.98: Task Register Control Intention prior to Presentation

### Task Record Control Intention prior to Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Intention prior to Presentation |
| Task Type | Service task |
| Description | When the decision of the customs officer regarding the control of the goods is registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. |
| Actor | AES |
| Input Data | LRN<br>Control Decision |
| Output Data | Control Decision |
| Previous Objects | Register Control Intention prior to Presentation |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.99: Task Record Control Intention prior to Presentation

### Task Notify Declarant/Representative of Control Intention Prior to Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Control Intention Prior to Presentation |

| | |
|---|---|
| Task Type | Send task |
| Description | AES informs the person who is the holder of the AEO status about the intention of control for the export declaration submitted prior to goods presentation. |
| Actor | AES |
| Input Data | LRN<br>E_EXP_DAT<br>Date of Control Notification |
| Output Data | |
| Previous Objects | Controls to be performed and AEO Status Available? |
| Information Exchange | Export Control Decision Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.100: Task Notify Declarant/Representative of Control Intention Prior to Presentation

### Event Time Limit for Export Presentation Notification Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit for Export Presentation Notification Expired |
| Event Type | Timer intermediate event |
| Description | The time limit for Export Presentation Notification expires. |
| Previous Objects | Gateway |

Table 5.101: Event Time Limit for Export Presentation Notification Expired

### Event Receive Export Presentation Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Presentation Notification |
| Event Type | Message intermediate event (catch) |
| Description | An 'Export Presentation Notification' (IE511) is received. |
| Previous Objects | Gateway<br>Declarant/Representative |

Table 5.102: Event Receive Export Presentation Notification

### Task Reject Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject Export Declaration |
| Task Type | Service task |
| Description | AES rejects the export declaration. The movement status is set to 'Rejected'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | LRN |
| Output Data | Export Declaration |
| Previous Objects | Time Limit for Export Presentation Notification Expired |
| Information Exchange | |
| Requirements | AES_FUR_0098 |
| Data Set | Export Declaration |
| Events | Export Declaration is invalid<br>Export Presentation Notification Valid AND Export Declaration and Export Declaration Amendment Invalid OR Timer Awaiting Export Presentation Notification Expired |
| State | Rejected |

Table 5.103: Task Reject Export Declaration

### Event Receive Export Declaration Correction

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Declaration Correction |
| Event Type | Message intermediate event (catch) |
| Description | An 'Export Declaration Correction' (IE513) is received. |
| Previous Objects | Gateway<br>Declarant/Representative |

Table 5.104: Event Receive Export Declaration Correction

### Event Receive Export Declaration Cancellation

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Declaration Cancellation |
| Event Type | Message intermediate event (catch) |
| Description | An 'Export Declaration Cancellation' (IE514) is received. |
| Previous Objects | Declarant/Representative<br>Gateway |

Table 5.105: Event Receive Export Declaration Cancellation

### Task Evaluate Export Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Evaluate Export Presentation Notification |
| Task Type | Call activity (collapsed) |
| Description | L4-EXP-01-01-01-04-Evaluate Export Presentation Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Export Presentation Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.106: Task Evaluate Export Presentation Notification

### Task Notify Declarant/Representative of Export Declaration Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Declaration Rejection |
| Task Type | Send task |
| Description | When it is identified that the Customs Declaration in invalid, a notification IE will automatically be sent to the Declarant/Representative informing him/her about the rejection and indicating the reasons (IE556). |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration Validation Result<br>E_EXP_DAT<br>Authorisation Validation Result |
| Output Data | |
| Previous Objects | Reject Export Declaration |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.107: Task Notify Declarant/Representative of Export Declaration Rejection

### Task Evaluate Correction Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Evaluate Correction Request |
| Task Type | Call activity (collapsed) |
| Description | L4-EXP-01-05-Amendment of the Export Declaration is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Export Declaration Correction |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.108: Task Evaluate Correction Request

### Task Handle Cancellation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Task Name | Handle Cancellation Request |
| Task Type | Call activity |
| Description | L4-EXP-01-01-01-05-Handle Cancellation Request is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Export Declaration Cancellation |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.109: Task Handle Cancellation Request

### Event Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Rejected |
| Event Type | End event |
| Description | Customs declaration is rejected. Movement state turns to 'Rejected'. This is a final state. |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Previous Objects | Notify Declarant/Representative of Export Declaration Rejection |

Table 5.110: Event Rejected

### Task Validate Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Declaration |
| Task Type | Service task |
| Description | AES validates the received customs declaration against the business rules and conditions. If the validation against the business rules and conditions fails, the customs declaration is rejected.<br>AES validates the declared Trader Identification Numbers (TIN) against the EOS database. If any of the declared TIN is not valid, the customs declaration is rejected. In case only the TIN is provided, the AES completes the Trader data (name, address) in the customs declaration. |

| | |
|---|---|
| | AES verifies (based on TARIC data) the existence of the references to any documents required for the declared Commodity Code (TARIC code) in relation to the declared country of destination.<br>If the customs declaration is not valid, AES rejects the declaration and informs the Trader, indicating the reasons for rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | |
| Output Data | Export Declaration Validation Result |
| Previous Objects | Export Presentation Notification Valid? |
| Information Exchange | Export Declaration |
| Requirements | AES_FUR_0001<br>AES_FUR_0087<br>AES_FUR_0085<br>AES_FUR_0088<br>AES_FUR_0086 |
| Data Set | |
| Events | |
| State | |

Table 5.111: Task Validate Export Declaration

### Event Cancelled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Cancelled |
| Event Type | End event |
| Description | The movement state turns to 'Cancelled'. This is a final state. |
| Appears also in the following processes | L4-EXP-01-01-01-05-Handle Cancellation Request |
| Previous Objects | Is Cancellation Request Valid? |

Table 5.112: Event Cancelled

### Task Check Authorisations for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Check Authorisations for AES |

| | |
|---|---|
| Task Type | Service task |
| Description | AES checks EXPORT OPERATION FOR IE515. Additional declaration type to identify if the declaration is standard or simplified and the type of simplified declaration.<br>In case a simplified customs declaration is lodged, AES checks whether the Trader has a valid authorisation for simplified and supplementary declarations.<br>In case the customs declaration is lodged under centralised clearance, AES checks whether the Trader has a valid authorisation for centralised clearance.<br>Results of the authorisation check are recorded in the AES.<br>In case the Trader does not have a required authorisation or any of the required authorisations is not valid, the customs declaration is rejected. The Trader is informed about the reasons for rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | E_EXP_DAT |
| Output Data | Authorisation Validation Result |
| Previous Objects | Export Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0092<br>AES_FUR_0008<br>AES_FUR_0012 |
| Data Set | |
| Events | |
| State | |

Table 5.113: Task Check Authorisations for AES

### Task Identify Whether Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether the customs declaration contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level in order to determine if the declared goods are under excise duty suspension arrangement. |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-05-Handle Cancellation Request<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration |
| Input Data | Export Declaration<br>LRN |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Export Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.114: Task Identify Whether Export Declaration Contains ARC(s)

### Task Handle e-AD Request and Cross-Checking

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle e-AD Request and Cross-Checking |
| Task Type | Call activity (collapsed) |
| Description | Process Handle eAD Request is initiated. For details see L4-EXP-01-01-01-01. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration |
| Input Data | |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.115: Task Handle e-AD Request and Cross-Checking

### Task Reject Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject Export Declaration |
| Task Type | Service task |
| Description | AES rejects the export declaration. The movement status is set to 'Rejected'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | LRN |
| Output Data | Export Declaration |
| Previous Objects | Gateway |
| Information Exchange | |
| Requirements | AES_FUR_0098 |
| Data Set | Export Declaration |
| Events | Export Declaration is invalid<br>Export Presentation Notification Valid AND Export Declaration and Export Declaration Amendment Invalid OR Timer Awaiting Export Presentation Notification Expired |
| State | Rejected |

Table 5.116: Task Reject Export Declaration

### Task Generate MRN for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Generate MRN for AES |
| Task Type | Service task |
| Description | AES generates and allocates the MRN for the customs declaration/summary declaration/re-export notification. The procedure identifier in the MRN (17th digit) has to have the following values:<br>Export declaration without safety and security data - 'A' |

| | |
|---|---|
| | Export declaration with safety and security data - 'B'<br>Exit summary declaration - 'C'<br>Re-export advice - 'D' |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration |
| Input Data | LRN |
| Output Data | MRN |
| Previous Objects | Gateway |
| Information Exchange | |
| Requirements | AES_FUR_0011 |
| Data Set | |
| Events | |
| State | |

Table 5.117: Task Generate MRN for AES

### Task Notify Declarant/Representative of Export Declaration Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Declaration Rejection |
| Task Type | Send task |
| Description | When it is identified that the Customs Declaration in invalid, a notification IE will automatically be sent to the Declarant/Representative informing him/her about the rejection and indicating the reasons (IE556). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration Validation Result<br>E_EXP_DAT<br>Authorisation Validation Result |
| Output Data | |
| Previous Objects | Reject Export Declaration |
| Information Exchange | Rejection from Office of Export |

| | |
|---|---|
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.118: Task Notify Declarant/Representative of Export Declaration Rejection

### Task Accept Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Accept Export Declaration |
| Task Type | Service task |
| Description | This task could be a manual task.<br>AES records the acceptance of the export declaration.<br>The generated MRN is assigned to the movement.<br>Movement state is set to 'Accepted'. |
| Actor | AES |
| Input Data | E_EXP_DAT |
| Output Data | Export Declaration |
| Previous Objects | Gateway |
| Information Exchange | |
| Requirements | AES_FUR_0003 |
| Data Set | Export Declaration |
| Events | Export Presentation Notification Valid<br>Export Declaration Valid and the Goods were Presented |
| State | Accepted |

Table 5.119: Task Accept Export Declaration

### Event Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Rejected |
| Event Type | End event |

| | |
|---|---|
| Description | Customs declaration is rejected. Movement state turns to 'Rejected'. This is a final state. |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Previous Objects | Notify Declarant/Representative of Export Declaration Rejection |

Table 5.120: Event Rejected

### Task Notify Declarant/Representative of Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Acceptance |
| Task Type | Send task |
| Description | When AES records the acceptance of the export declaration, a notification IE (IE528) will automatically be sent to the Exporter or Declarant informing about the acceptance of the customs declaration. |
| Actor | AES |
| Input Data | MRN<br>Customs Office of Export<br>LRN<br>Declarant |
| Output Data | |
| Previous Objects | Accept Export Declaration |
| Information Exchange | Export MRN Allocated |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.121: Task Notify Declarant/Representative of Acceptance

### Task Identify Whether Accepted Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Accepted Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether a customs declaration which has been accepted, contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level; in order to determine if the declared goods are under |

| | |
|---|---|
| | excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-03-Handle Exit Control Results [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Notify Declarant/Representative of Acceptance |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.122: Task Identify Whether Accepted Export Declaration Contains ARC(s)

### Task Notify MSA of Export of Export Declaration Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Export Declaration Acceptance |
| Task Type | Send task |
| Description | AES informs the MSA of Export of the export declaration acceptance. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-05-Amendment of the Export Declaration |
| Input Data | Export Declaration<br>MRN |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | Export Declaration Acceptance Notification to MSA of Export |

| | |
|---|---|
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.123: Task Notify MSA of Export of Export Declaration Acceptance

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)?<br>Notify MSA of Export of Export Declaration Acceptance |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.124: Task Request and Record Risk Analysis for AES

### Event Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Accepted |
| Event Type | End event |
| Description | AES has accepted the export declaration as valid. Movement state turns to "Accepted". |
| Previous Objects | Request and Record Risk Analysis for AES |

Table 5.125: Event Accepted

## 5.6. Process L4-EXP-01-01-02-Register and Record Control Decision

### 5.6.1. Objective of Process

The process starts when AES accepts the Export Declaration in Process L4-EXP-01-01. The Customs Officer compiles all the information he needs (the export declaration information retrieved by AES via the allocated MRN) and checks the risk analysis results (performed either in the end of L4-EXP-01-01-01-Acceptance of Export Declaration or in the end of L4-EXP-01-05-Amendment of the Export Declaration) in order to register control decision. Even if the risk analysis and the cross-checks mentioned above are automatic processes, the final decision to control may be overruled by the Customs Officer.
The Customs Officer registers the control decision:
1. The control decision is recorded in the AES of the Customs Office of Export.
2. Then, one of the following might occur depending on the control decision:
2.1. No control is to be performed on goods and/or documents. The movement state changes to ‘Goods Released for Export’ and process ends with ‘Control Decision Recorded’. Process which initiated this process can now continue.
2.2. If controls are to be performed, then the Declarant/Representative must be notified with an ‘Export Control Decision Notification’ (IE560) of the decision to control. This would allow him to be present during the control (declaration amendments are no longer accepted by the Office of Export). The movement state changes to 'Under Control' and process ends with 'Control Decision Recorded'. Process which initiated this process can now continue.

Final situation:
- Process ends with 'Control Decision Recorded'

### 5.6.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-02 |
| Full Name | Register and Record Control Decision |
| Assumptions | In case of supplementary declaration and decision is to control, only documentary controls can take place |

| | |
|---|---|
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-22-Perform Controls |
| Existing Specifications | DDNXA-v5.13 |

Table 5.126: Process Details

### 5.6.3. Tasks and Events

#### Task Register Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for AES |
| Task Type | User task |
| Description | Based on the risk analysis result, the ARC cross-check result, the Combined Nomenclature Code Cross-check Result and the Net Mass Cross-check Result, the customs officer decides whether to control the goods, not control the goods or not release the goods. The decision is registered in AES. If a decision to control the goods is taken, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check).<br>If the control concerns a supplementary declaration, then only documentary controls can be performed.<br>If the customs officer decides not to control the goods, the control result code is set to 'Considered satisfactory'.<br>If the customs officer decides to not release the goods, the movement state is set to ‘Not Released for Export’. |
| Actor | Customs Officer |
| Input Data | MRN<br>Risk Analysis |
| Output Data | Control Decision |
| Previous Objects | Risk Analysis Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.127: Task Register Control Decision for AES

### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | Conditional start event |
| Description | This call activity is initiated when risk analysis has been performed and the results have been recorded to the AES system of the Customs Office. |
| Appears also in the following processes | L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | |

Table 5.128: Event Risk Analysis Results Recorded

### Task Record Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Decision for AES |
| Task Type | Service task |
| Description | When the decision of the customs officer regarding the control or the non release of the goods is registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. In case the customs officer has decided to control the goods, then AES informs the trader of the control decision. |
| Actor | AES |
| Input Data | MRN<br>Control Decision |
| Output Data | Export Declaration<br>Control Decision |
| Previous Objects | Register Control Decision for AES |
| Information Exchange | |
| Requirements | AES_FUR_0017<br>AES_FUR_0039<br>AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Decision to Control the Goods |
| State | Under Control |

Table 5.129: Task Record Control Decision for AES

### Task Notify Declarant/Representative of Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Controls |
| Task Type | Send task |
| Description | When controls are to be performed, a notification IE will automatically be sent to the Exporter or Declarant informing about the decision of the Customs Office of Export to control the goods. [The information should also contain the type of control to be performed.] If the documents are necessary in order to perform the controls, the trader is requested to provide them to the Customs Office of Export in the format acceptable by that office. |
| Actor | AES |
| Input Data | Control Decision<br>MRN<br>Export Declaration Information |
| Output Data | |
| Previous Objects | Controls to be Performed? |
| Information Exchange | Export Control Decision Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.130: Task Notify Declarant/Representative of Controls

### Event Control Decision Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Control Decision Recorded |
| Event Type | End event |
| Description | AES records the Customs Officer control decision |
| Previous Objects | Notify Declarant/Representative of Controls<br>Controls to be Performed? |

Table 5.131: Event Control Decision Recorded

## 5.7. Process L4-EXP-01-01-03-01-Register Documentary Controls at Supervising Customs Office

### 5.7.1. Objective of Process

Process L4-EXP-01-01-03-01 is initiated in case Documentary Controls are to be performed from the SCO-Supervising Customs Office. The Customs Officer in the Supervising Customs Office registers the results of the documentary controls that were performed. AES in the Supervising Customs Office records the control results and the process ends with 'Documentary Control Results recorded'.

### 5.7.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-03-01 |
| Full Name | Register Documentary Controls at Supervising Customs Office |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-15-02-Perform Documentary Controls at SCO |
| Existing Specifications | DDNXA-v5.13 |

Table 5.132: Process Details

### 5.7.3. Tasks and Events

#### Event Documentary Controls to be Performed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Documentary Controls to be Performed |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.133: Event Documentary Controls to be Performed

#### Task Register Documentary Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Documentary Control Results |
| Task Type | User task |
| Description | The Customs Officer in the SCO-Supervising Customs Office registers the results of the documentary controls that were performed. |
| Actor | Customs Officer |
| Input Data | Identify Documents needed Decision Check |

| | |
|---|---|
| | Identify Control Decision Check<br>Control Result<br>MRN<br>Extra Documents Needed |
| Output Data | Control Result |
| Previous Objects | Documentary Controls to be Performed |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.134: Task Register Documentary Control Results

### Task Record Documentary Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Documentary Control Results |
| Task Type | Service task |
| Description | When the results of the documentary controls are registered, the data elements following this registration are automatically recorded in AES, under Control Details data group. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Control Result |
| Previous Objects | Register Documentary Control Results |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.135: Task Record Documentary Control Results

### Event Documentary Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Documentary Control Results Recorded |
| Event Type | End event |
| Description | The result of the documentary controls has been recorded. |
| Previous Objects | Record Documentary Control Results |

Table 5.136: Event Documentary Control Results Recorded

## 5.8. Process L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection

### 5.8.1. Objective of Process

The process L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection is initiated under Centralised Clearance in order to consolidate the decision of SCO-Supervising Customs Office upon a movement and the relevant actions performed by the PCO-Presentation Customs Office, where needed.
This process has the following start events:

- SCO's decision refers to Release Rejection due to Unsatisfactory Documentary Controls at SCO;
- SCO's decision refers to Recommendation of pre-release to PCO;
- SCO's decision refers to Recommendation of controls to PCO.

When AES at the SCO identifies one of the above, one of the following three cases might occur:
1. The SCO's decision refers to Release Rejection. Consequently, SCO-Supervising Customs Office informs Declarant/Representative of Non Release of Goods for Export with ‘Export No Release’ (IE551). In parallel, SCO-Supervising Customs Office informs the PCO-Presentation Customs Office with ‘Pre-release/Control Notification’ (IE540) regarding the Release Rejection.
1.1. The PCO-Presentation Customs Office receives the pre-release/control notification and records the release rejection in AES. Next, PCO-Presentation Customs Office informs the SCO-Supervising Customs Office with a ‘Pre-release/Control Acknowledgment’ (IE545).
1.2. The SCO-Supervising Customs Office received the pre-release/control acknowledgment and as the SCO decision in AES indicated release rejection, the state is set to ‘Not Released for Export’. At the same time, after the dispatch of the ‘Pre-release/Control Acknowledgment’ (IE545), the AES at the PCO-Presentation Customs Office sets the state at PCO is set to ‘Not Released for Export’. The flow ends at this point.
2. The SCO's decision refers to Recommendation of pre-release to PCO. Consequently, the SCO-Supervising Customs Office informs the PCO-Presentation Customs Office with ‘Pre-release/Control Notification’ (IE540) indicating Pre-release recommendation.
2.1. The PCO-Presentation Customs Office receives the pre-release/control notification and records the pre-release recommendation in AES. Next, PCO-Presentation Customs Office informs the SCO-Supervising Customs Office with a ‘Pre-release/Control Acknowledgment’ (IE545).
2.2. The SCO-Supervising Customs Office received the pre-release/control acknowledgment and as the SCO decision in AES indicated pre-release recommendation, the Timer to receive Control Notification from PCO is initiated. At the same time, after the dispatch of the ‘Pre-release/Control Acknowledgment’ (IE545), the AES at the PCO-Presentation Customs Office performs risk analysis and the Customs Officer registers the control decision in AES.
2.3. AES in the PCO records the Control Decision and one of the following might occur:

2.3.1. AES in the PCO-Presentation Customs Office might inform the SCO-Supervising Customs Office with a 'Control Decision from Presentation Customs Office' (IE563). AES in the SCO-Supervising Customs Office might receive the Control Decision, stop the Timer to receive Control Notification from PCO and records the Control Notification from PCO. The flow continues from point 2.4.
2.3.2. AES in the PCO-Presentation Customs Office might not communicate to the SCO-Supervising Customs Office the Control Decision, for a configurable amount of time before the Timer to receive Control Notification expires, thus, the SCO-Supervising Customs Office might send a 'Reminder for Control Decision' (IE564). Upon its receipt, the PCO-Presentation Customs Office, shall proceed, based on the PCO Control Decision to one of the two following:
2.3.2.1. Either with informing the SCO-Supervising Customs Office with the 'Control Decision from Presentation Customs Office' (IE563) and the flow shall continue from point 2.3 (As the configurable amount of time has passed, the flow shall continue either with 2.3.1 or 2.3.3);
2.3.2.2. Or, by not informing the SCO upon PCO Control Decision, hence, the process at the PCO ends at this point with 'No PCO Control Decision is sent'.
2.3.3. The Timer to Receive Control Notification from PCO might expire and no 'Control Decision from Presentation Customs Office' (IE563) is received, consequently, AES at the SCO-Supervising Customs Office shall record the expiration and take decision upon the release of the goods for Export and the flow ends at this point.
2.4. The SCO-Supervising Customs Office identifies the PCO Control Decision and based on the Decision (whether Controls might be performed at the PCO-Presentation Customs Office), one of the following two cases might occur:
2.4.1. If PCO indicates no Controls to be performed, the AES at the SCO-Supervising Customs Office evaluates SCO decision and if SCO decision recommended pre-release proceeds to process end with 'Goods Can Be Released'. At the case of SCO decision recommended controls, the SCO-Supervising Customs Office shall take the Decision to Release the Goods for Export, thus, the process outcome turns to 'Goods Can Be Released'. In parallel, the process at PCO-Presentation Customs Office ends with 'No Control of the Goods at PCO was performed'.
2.4.2. If PCO indicates Controls to be performed, the process L3-EXP-01-01-03-02-Notify Declarant/Representative for Controls and/or Request Documents is initiated by the AES at the SCO-Supervising Customs Office. At the same time, the Customs Officer at the PCO-Presentation Customs Office registers the Control Result and when the Control Results are recorded in the AES, the PCO informs the SCO-Supervising Customs Office with 'Control Results from Presentation Customs Office' (IE541) and the process ends with 'Control of the Goods at PCO was performed'. Upon control results receipt, the AES at the SCO-Supervising Customs Office records the PCO Control Results and the process ends with 'PCO Control Results Recorded'.
3. The SCO's decision refers to Recommendation of controls to PCO. Consequently, the SCO-Supervising Customs Office informs the PCO-Presentation Customs Office with 'Pre-release/Control Notification' (IE540) indicating Controls recommendation.
3.1. The PCO-Presentation Customs Office receives the pre-release/control notification and records the pre-release recommendation in AES. Next, PCO-Presentation Customs Office informs the SCO-Supervising Customs Office with a 'Pre-release/Control Acknowledgment' (IE545).
3.2. The SCO-Supervising Customs Office received the pre-release/control acknowledgment and as the SCO decision in AES indicated controls recommendation, the Timer to receive Control Notification from PCO is initiated. At the same time, after the dispatch of the 'Pre-release/Control Acknowledgment' (IE545), the AES at the PCO-Presentation Customs Office performs risk analysis and the Customs Officer registers the control decision in AES. Process continues from point 2.3.

Final Situation:
- If the goods can be released for export (as no controls were needed to be performed or no response upon controls at PCO is received) the process at SCO-Supervising Customs Office ends with 'Goods Can Be Released'. At the PCO-Presentation Customs Office, if no response upon controls at PCO is sent, the process ends with 'No PCO Control Decision is sent', whereas if no controls were needed to be performed the process ends with 'No Control of the Goods at PCO was performed'.

● If the Documentary Controls at SCO-Supervising Customs Office indicated release rejection, the movement state is set to 'Not Released for Export'.
If the controls have been performed at PCO, the process ends at SCO-Supervising Customs Office with 'PCO Control Results Recorded' and in the PCO-Presentation Customs Office with 'Control of the Goods at PCO was performed'.

### 5.8.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-03-03 |
| Full Name | Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-15-Centralised Clearance Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.137: Process Details

### 5.8.3. Tasks and Events

#### Event Notify release rejection due to Unsatisfactory Documentary Controls at SCO

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Notify release rejection due to Unsatisfactory Documentary Controls at SCO |
| Event Type | Conditional start event |
| Description | The Supervising Customs Office has decided upon release rejection due to unsatisfactory Documentary Controls Results. |
| Previous Objects | |

Table 5.138: Event Notify release rejection due to Unsatisfactory Documentary Controls at SCO

#### Task Notify Declarant/Representative of Non Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Non Release of Goods for Export |
| Task Type | Send task |
| Description | AES informs the Declarant/Representative that the goods are not released for export (IE551). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-07-Do Not Release Goods at Office of Export |

| | |
|---|---|
| Input Data | Release Rejection Information<br>E_EXP_DAT<br>MRN |
| Output Data | |
| Previous Objects | Notify release rejection due to Unsatisfactory Documentary Controls at SCO |
| Information Exchange | Export No Release |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.139: Task Notify Declarant/Representative of Non Release of Goods for Export

### Event Recommend pre-release to PCO

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Recommend pre-release to PCO |
| Event Type | Conditional start event |
| Description | The Supervising Customs Office has decided to recommend pre-release (thus, not recommend any controls from the Presentation Customs Office). |
| Previous Objects | |

Table 5.140: Event Recommend pre-release to PCO

### Task Notify PCO with Pre-release/Control Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify PCO with Pre-release/Control Notification |
| Task Type | Send task |
| Description | Supervising Customs Office informs Presentation Customs Office with a notification IE either to recommend pre-release or controls, or upon release rejection decision (IE540). |
| Actor | AES |
| Input Data | Release Rejection Information<br>E_EXP_DAT<br>MRN<br>SCO Recommendation Result |
| Output Data | C_PRC_NOT |

| | |
|---|---|
| Previous Objects | Notify release rejection due to Unsatisfactory Documentary Controls at SCO<br>Recommend controls at PCO<br>Recommend pre-release to PCO |
| Information Exchange | Pre-Release/Control Notification |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.141: Task Notify PCO with Pre-release/Control Notification

### Event Recommend controls at PCO

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Recommend controls at PCO |
| Event Type | Conditional start event |
| Description | The Supervising Customs Office has decided to recommend controls to the Presentation Customs Office. |
| Previous Objects | |

Table 5.142: Event Recommend controls at PCO

### Event Receive Pre-release/Control Acknowledgment

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Pre-release/Control Acknowledgment |
| Event Type | Message intermediate event (catch) |
| Description | AES at the SCO receives the acknowledgment IE for the information sent to the Presentation Customs Office. |
| Previous Objects | Notify PCO with Pre-release/Control Notification<br>Notify Declarant/Representative of Non Release of Goods for Export<br>Notify SCO for Pre-Release/Control Acknowledgment |

Table 5.143: Event Receive Pre-release/Control Acknowledgment

### Task Record Receipt of Pre-Release/Control Acknowledgement

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Receipt of Pre-Release/Control Acknowledgement |

| | |
|---|---|
| Task Type | Service task |
| Description | AES receives the Pre-release/Control Acknowledgement from the PCO and the movement state at the SCO turns to "Awaiting for PCO Control Decision". For the case in which the movement state was already set to 'Under Control' at the SCO, the state remains as is following the reception of the Pre-release/Control Acknowledgement from the PCO. |
| Actor | AES |
| Input Data | Export Declaration Information |
| Output Data | Export Declaration |
| Previous Objects | Receive Pre-release/Control Acknowledgment |
| Information Exchange | Pre-release/Control Acknowledgment |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Pre-release/Control Acknowledgement received |
| State | Awaiting for PCO Control Decision |

Table 5.144: Task Record Receipt of Pre-Release/Control Acknowledgement

### Task Identify SCO Decision or Recommendation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify SCO Decision or Recommendation |
| Task Type | Service task |
| Description | AES checks whether SCO Decision indicated release rejection or recommended pre-release/controls. If the SCO Decision refers to pre-release or controls, then the movement state at PCO turns to "Awaiting for PCO Control Decision". Otherwise, if the SCO Decision refers to release rejection the movement state at PCO turns to "Not Released for Export". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | C_PRC_NOT |
| Output Data | SCO Decision or Recommendation Check Result |

| | |
|---|---|
| Previous Objects | Record Receipt of Pre-Release/Control Acknowledgement |
| Information Exchange | |
| Requirements | AES_FUR_0119 |
| Data Set | |
| Events | |
| State | |

Table 5.145: Task Identify SCO Decision or Recommendation

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | The process ends and the goods might not released for export. |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | Does SCO Decision indicate Release Rejection? |

Table 5.146: Event Not Released for Export

### Task Start Timer to Receive Control Notification from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer to Receive Control Notification from PCO |
| Task Type | Service task |
| Description | A timer to receive the control notification from the Presentation Customs Office is initiated. |
| Actor | AES |
| Input Data | Start Date of Release Request<br>Time Limit to Receive Control Notification from PCO<br>MRN |
| Output Data | Timer to Receive Control Notification from PCO Start Date |
| Previous Objects | Does SCO Decision indicate Release Rejection? |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.147: Task Start Timer to Receive Control Notification from PCO

### Task Record Recommendation/Release Rejection at PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Recommendation/Release Rejection at PCO |
| Task Type | Service task |
| Description | AES at the Presentation Customs Office records the SCO Recommendation/Decision. |
| Actor | AES |
| Input Data | MRN<br>C_PRC_NOT |
| Output Data | C_PRC_NOT |
| Previous Objects | Receive Pre-Release Notification |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.148: Task Record Recommendation/Release Rejection at PCO

### Event Receive Pre-Release Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Pre-Release Notification |
| Event Type | Message start event |
| Description | AES at the Presentation Customs Office receives a notification from the Supervising Customs Office informing that the declared goods are ready to be released for export or requesting to perform the physical control of the goods or informing that the declared goods might not be released due to |

| | |
|---|---|
| | unsatisfactory documentary controls at SCO. |
| Previous Objects | Notify PCO with Pre-release/Control Notification |

Table 5.149: Event Receive Pre-Release Notification

### Task Notify SCO for Pre-Release/Control Acknowledgment

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify SCO for Pre-Release/Control Acknowledgment |
| Task Type | Send task |
| Description | AES at PCO informs the Supervising Customs Office for SCO recommendation/decision acknowledgment (IE545). |
| Actor | AES |
| Input Data | C_PRC_NOT |
| Output Data | Export Declaration |
| Previous Objects | Record Recommendation/Release Rejection at PCO |
| Information Exchange | Pre-release/Control Acknowledgment |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | Recommend Control or Recommend pre-release<br>Release Rejection |
| State | Awaiting for PCO Control Decision<br>Not Released for Export |

Table 5.150: Task Notify SCO for Pre-Release/Control Acknowledgment

### Event Timer to Receive Control Notification from PCO Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Timer to Receive Control Notification from PCO Expired |
| Event Type | Timer intermediate event |
| Description | The timer to receive the control notification from the Presentation Customs Office has expired. |
| Previous Objects | Start Timer to Receive Control Notification from PCO<br>Notify PCO with Reminder for Control Decision |

Table 5.151: Event Timer to Receive Control Notification from PCO Expired

### Task Identify SCO Decision or Recommendation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify SCO Decision or Recommendation |
| Task Type | Service task |
| Description | AES checks whether SCO Decision indicated release rejection or recommended pre-release/controls. If the SCO Decision refers to pre-release or controls, then the movement state at PCO turns to "Awaiting for PCO Control Decision". Otherwise, if the SCO Decision refers to release rejection the movement state at PCO turns to "Not Released for Export". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | C_PRC_NOT |
| Output Data | SCO Decision or Recommendation Check Result |
| Previous Objects | Notify SCO for Pre-Release/Control Acknowledgment |
| Information Exchange | |
| Requirements | AES_FUR_0119 |
| Data Set | |
| Events | |
| State | |

Table 5.152: Task Identify SCO Decision or Recommendation

### Event A configurable amount of time before expiration of Timer to Receive Control Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | A configurable amount of time before expiration of Timer to Receive Control Notification |
| Event Type | Timer intermediate event |
| Description | A configurable amount of time before expiration of Timer to Receive Control Notification has passed. |
| Previous Objects | Start Timer to Receive Control Notification from PCO<br>Notify PCO with Reminder for Control Decision |

Table 5.153: Event A configurable amount of time before expiration of Timer to Receive Control Notification

## Task Register Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for AES |
| Task Type | User task |
| Description | Based on the risk analysis result the customs officer decides whether or not to control the goods. The decision is registered in AES. If the decision is taken to control the goods, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check). |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit<br>L4-EXP-01-10-02-Register and Record Control Decision-Exit Summary Declaration |
| Input Data | Risk Analysis Result<br>MRN |
| Output Data | Control Decision |
| Previous Objects | Request and Record Risk Analysis for AES |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.154: Task Register Control Decision for AES

## Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration |

| | |
|---|---|
| | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Does SCO Decision indicate Release Rejection? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.155: Task Request and Record Risk Analysis for AES

### Task Record Non-Receipt of Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Non-Receipt of Control Decision |
| Task Type | Service task |
| Description | AES records the non-receipt of the Control Decision from PCO. |
| Actor | AES |
| Input Data | Export Declaration Information<br>MRN<br>Configurable time limit before expiration of Timer to Receive Control Notification End Date<br>Receipt of Control Decision Check Result |
| Output Data | Receipt of Control Decision Check Result |
| Previous Objects | A configurable amount of time before expiration of Timer to Receive Control Notification |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.156: Task Record Non-Receipt of Control Decision

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | The process ends and the goods might not released for export. |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | Does SCO Decision indicate Release Rejection? |

Table 5.157: Event Not Released for Export

### Task Notify PCO with Reminder for Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify PCO with Reminder for Control Decision |
| Task Type | Send task |
| Description | AES at the Supervising Customs Office informs the Presentation Customs Office with a reminder (IE564) for its Control Decision, hence, this reminder highlights that no PCO Control Decision has been received after a configurable amount of time prior to the timer expiration. This reminder gives the PCO the option to send the PCO Control Decision, if any. |
| Actor | AES |
| Input Data | Receipt of Control Decision Check Result<br>MRN |
| Output Data | C_CTR_REM |
| Previous Objects | Record Non-Receipt of Control Decision |

| | |
|---|---|
| Information Exchange | Reminder for Control Decision |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.158: Task Notify PCO with Reminder for Control Decision

### Task Record Control Decision for AES at PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Decision for AES at PCO |
| Task Type | Service task |
| Description | AES records the decision of the customs officer regarding the control of the goods. |
| Actor | AES |
| Input Data | MRN<br>Control Decision |
| Output Data | Control Decision |
| Previous Objects | Register Control Decision for AES |
| Information Exchange | |
| Requirements | AES_FUR_0039<br>AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.159: Task Record Control Decision for AES at PCO

### Event Receive Control Decision

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Control Decision |
| Event Type | Message intermediate event (catch) |
| Description | AES at the SCO received the PCO Control Decision. |
| Previous Objects | Start Timer to Receive Control Notification from PCO |

| | |
|---|---|
| | Notify PCO with Reminder for Control Decision<br>Notify Supervising Customs Office about Control Decision from PCO |

Table 5.160: Event Receive Control Decision

### Event Receive Reminder for Control Decision

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Reminder for Control Decision |
| Event Type | Message intermediate event (catch) |
| Description | A Reminder for PCO Control Decision is received. After this receipt, if the PCO has the Control Decision, it might send it to the Supervising Customs Office. |
| Previous Objects | Notify PCO with Reminder for Control Decision<br>Record Control Decision for AES at PCO |

Table 5.161: Event Receive Reminder for Control Decision

### Task Stop Timer to Receive Control Notification from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Receive Control Notification from PCO |
| Task Type | Service task |
| Description | The timer to receive control notification is stopped. |
| Actor | AES |
| Input Data | C_PRE_DEC<br>MRN<br>Timer to Receive Control Notification from PCO Start Date<br>Time Limit to Receive Control Notification from PCO |
| Output Data | Timer to Receive Control Notification from PCO End Date |
| Previous Objects | Receive Control Decision |
| Information Exchange | |
| Requirements | AES_FUR_102 |
| Data Set | |
| Events | |
| State | |

Table 5.162: Task Stop Timer to Receive Control Notification from PCO

### Event No Reminder received for Control Decision

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | No Reminder received for Control Decision |
| Event Type | Conditional intermediate event |
| Description | No reminder was sent from the Supervising Customs Office to Presentation Customs Office, regarding the PCO expected decision. |
| Previous Objects | Record Control Decision for AES at PCO |

Table 5.163: Event No Reminder received for Control Decision

### Event No PCO Control Decision is sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | No PCO Control Decision is sent |
| Event Type | End event |
| Description | The PCO does not send a Control Decision to SCO upon this specific movement. |
| Previous Objects | Proceed with sending PCO Control Decision? |

Table 5.164: Event No PCO Control Decision is sent

### Task Notify Supervising Customs Office about Control Decision from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Supervising Customs Office about Control Decision from PCO |
| Task Type | Send task |
| Description | When AES records the decision of the customs officer regarding the control of the goods and controls are to be controlled, a notification IE (IE563) will automatically be sent to the supervising customs office informing about the control of the goods. This allows the supervising customs office to forward this information to the person that lodged the export declaration at the supervising customs office. |
| Actor | AES |
| Input Data | MRN<br>Control Decision<br>Export Declaration Information<br>C_CTR_REM |
| Output Data | C_PRE_DEC<br>Export Declaration |
| Previous Objects | No Reminder received for Control Decision |

| | |
|---|---|
| | Proceed with sending PCO Control Decision? |
| Information Exchange | Control Notification from Presentation Customs Office |
| Requirements | AES_FUR_0022 |
| Data Set | Export Declaration |
| Events | PCO Decision to Control the Goods |
| State | Under Control |

Table 5.165: Task Notify Supervising Customs Office about Control Decision from PCO

### Task Record Control Notification from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Notification from PCO |
| Task Type | Service task |
| Description | The PCO Control Decision is recorded in the AES system of the Supervising Customs Office. |
| Actor | AES |
| Input Data | MRN<br>Control Decision |
| Output Data | Export Declaration |
| Previous Objects | Stop Timer to Receive Control Notification from PCO |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | PCO Decision to Control the Goods |
| State | Under Control |

Table 5.166: Task Record Control Notification from PCO

### Task Identify PCO Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify PCO Control Decision |
| Task Type | Service task |
| Description | AES at the SCO checks whether PCO has decides to perform Controls or not. In case controls are to be performed at PCO, then state changes to "Under Control". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | Export Declaration Information<br>Identify Control Decision Check<br>Extra Documents Needed<br>MRN<br>Identify Documents needed Decision Check<br>Control Decision |
| Output Data | Identify Documents needed Decision Check<br>Control Decision<br>Identify Control Decision Check |
| Previous Objects | Notify Supervising Customs Office about Control Decision from PCO |
| Information Exchange | |
| Requirements | AES_FUR_0039 |
| Data Set | |
| Events | |
| State | |

Table 5.167: Task Identify PCO Control Decision

### Task Identify PCO Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify PCO Control Decision |
| Task Type | Service task |
| Description | AES at the SCO checks whether PCO has decides to perform Controls or not. In case controls are to be performed at PCO, then state changes to "Under Control". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |

| | |
|---|---|
| Input Data | Export Declaration Information<br>Identify Control Decision Check<br>Extra Documents Needed<br>MRN<br>Identify Documents needed Decision Check<br>Control Decision |
| Output Data | Identify Documents needed Decision Check<br>Control Decision<br>Identify Control Decision Check |
| Previous Objects | Record Control Notification from PCO |
| Information Exchange | |
| Requirements | AES_FUR_0039 |
| Data Set | |
| Events | |
| State | |

Table 5.168: Task Identify PCO Control Decision

### Task Register Control Result for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Result for AES |
| Task Type | User task |
| Description | Customs Officer registers the control results in AES. If the control revealed no discrepancies the control result will be 'Satisfactory'. If at any case, Customs Office of Exit (or PCO in CCE) was not able to perform the necessary controls, the control result shall be 'Considered Satisfactory'.If major discrepancies have been revealed during controls, the control result will be 'Unsatisfactory'. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED]<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Input Data | MRN<br>Control Result |
| Output Data | Control Result |

| | |
|---|---|
| Previous Objects | Did PCO Control Decision indicate Controls to be performed? |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.169: Task Register Control Result for AES

### Task Record Expiry of Time Limit to Receive Control Notification from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit to Receive Control Notification from PCO |
| Task Type | Service task |
| Description | AES records the expiration of the timer to receive control notification from the Presentation Customs Office. |
| Actor | AES |
| Input Data | Timer to Receive Control Notification from PCO Expiry Date<br>MRN |
| Output Data | Timer to Receive Control Notification from PCO Expiry Date |
| Previous Objects | Timer to Receive Control Notification from PCO Expired |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.170: Task Record Expiry of Time Limit to Receive Control Notification from PCO

### Task Identify SCO Decision or Recommendation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify SCO Decision or Recommendation |
| Task Type | Service task |
| Description | AES checks whether SCO Decision indicated release rejection or |

| | |
|---|---|
| | recommended pre-release/controls. If the SCO Decision refers to pre-release or controls, then the movement state at PCO turns to "Awaiting for PCO Control Decision". Otherwise, if the SCO Decision refers to release rejection the movement state at PCO turns to "Not Released for Export". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | C_PRC_NOT |
| Output Data | SCO Decision or Recommendation Check Result |
| Previous Objects | PCO Controls to be Performed? |
| Information Exchange | |
| Requirements | AES_FUR_0119 |
| Data Set | |
| Events | |
| State | |

Table 5.171: Task Identify SCO Decision or Recommendation

### Task Notify Declarant/Representative for Controls and Request Documents, if necessary

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative for Controls and Request Documents, if necessary |
| Task Type | Call activity (collapsed) |
| Description | The process L4-EXP-01-01-03-02 is initiated, which depicts the notification of Declarant/Representative upon upcoming controls and/or request of documents. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | PCO Controls to be Performed? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.172: Task Notify Declarant/Representative for Controls and Request Documents, if necessary

### Task Record Control Result for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Result for AES |
| Task Type | Service task |
| Description | When the control results are registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | MRN<br>Control Result |
| Output Data | Control Result |
| Previous Objects | Register Control Result for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.173: Task Record Control Result for AES

### Task Take Decision to Release the Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Take Decision to Release the Goods for Export |
| Task Type | Service task |
| Description | As the SCO had recommended Pre-release/Controls, but the PCO either has not informed about its decision or has not decided on performing controls, the Supervising Customs Office takes manually the decision to release the goods. |
| Actor | AES |
| Input Data | SCO Decision or Recommendation Check Result |

| | |
|---|---|
| | Control Decision<br>MRN |
| Output Data | Release for Export Decision |
| Previous Objects | Did SCO Recommend Pre-release or Control?<br>Record Expiry of Time Limit to Receive Control Notification from PCO |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.174: Task Take Decision to Release the Goods for Export

### Event Receive Control Results

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Control Results |
| Event Type | Message intermediate event (catch) |
| Description | AES at the SCO receives the Control Results from PCO. |
| Previous Objects | Notify SCO of Control Results from PCO<br>Notify Declarant/Representative for Controls and Request Documents, if necessary |

Table 5.175: Event Receive Control Results

### Task Record PCO Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record PCO Control Results |
| Task Type | Service task |
| Description | AES in SCO records the control results that PCO sent. |
| Actor | AES |
| Input Data | |
| Output Data | Export Declaration<br>Control Result |
| Previous Objects | Receive Control Results |

| | |
|---|---|
| Information Exchange | Control Results from Presentation Customs Office |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | PCO Control Results Unsatisfactory OR Control Results Unsatisfactory OR Pre-release/Control Acknowledgement Negative received |
| State | Not Released for Export |

Table 5.176: Task Record PCO Control Results

### Task Notify SCO of Control Results from PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify SCO of Control Results from PCO |
| Task Type | Send task |
| Description | When the control results are recorded to AES, a notification IE (IE541) will automatically be sent to the Supervising Customs Office informing about the control results and discrepancies - if any. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | Control Result<br>P&R Risk Analysis<br>MRN |
| Output Data | |
| Previous Objects | Record Control Result for AES |
| Information Exchange | Control Results from Presentation Customs Office |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.177: Task Notify SCO of Control Results from PCO

### Event Goods Can Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Can Be Released |
| Event Type | End event |
| Description | The process ends and the goods can be released. |
| Appears also in the following processes | L4-EXP-01-01-05-Handle Results of Control and Release Request |
| Previous Objects | Did SCO Recommend Pre-release or Control?<br>Take Decision to Release the Goods for Export |

Table 5.178: Event Goods Can Be Released

### Event PCO Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | PCO Control Results Recorded |
| Event Type | End event |
| Description | The process ends and AES in SCO has recorded the Control results. |
| Appears also in the following processes | L4-EXP-01-01-05-Handle Results of Control and Release Request<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | Record PCO Control Results |

Table 5.179: Event PCO Control Results Recorded

### Event Control of the Goods at PCO was performed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Control of the Goods at PCO was performed |
| Event Type | End event |
| Description | The control of the goods at the Presentation Customs Office was completed and the Customs Office of Exit was informed |
| Appears also in the following processes | L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Previous Objects | Notify SCO of Control Results from PCO |

Table 5.180: Event Control of the Goods at PCO was performed

### Event No Control of the Goods at PCO was performed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | No Control of the Goods at PCO was performed |
| Event Type | End event |
| Description | |
| Previous Objects | Did PCO Control Decision indicate Controls to be performed? |

Table 5.181: Event No Control of the Goods at PCO was performed

## 5.9. Process L4-EXP-01-01-03-04-Notify Declarant/Representative for Controls and/or Requested Documents

### 5.9.1. Objective of Process

Under Centralised Clearance, when one of the two following cases occur, the process L4-EXP-01-01-03-04 is initiated, which depicts the notification of Declarant/Representative upon upcoming controls and/or request of documents.
This process has the following start events:

- SCO-Supervising Customs Office decides that documentary controls are required (by the Supervising Customs Office) with the need to request documents.
- PCO-Presentation Customs Office decides to Perform physical controls or other type of controls which may need the physical presence of the Declarant/Representative, and request documents, if necessary.

When AES at the SCO identifies one of the above, it informs the Declarant/Representative with an ‘Export Control Decision Notification’ (IE560) and the process ends with ‘SCO notified Declarant/Representative upon Control Decision’.

Final Situation:
SCO informs Declarant/Representative about the upcoming controls that might be performed and/or request of documents and the process ends with 'SCO notified Declarant/Representative upon Control Decision'.

### 5.9.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-03-04 |
| Full Name | Notify Declarant/Representative for Controls and/or Requested Documents |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-15-02-Perform Documentary Controls at SCO |
| Existing Specifications | DDNXA-v5.13 |

Table 5.182: Process Details

### 5.9.3. Tasks and Events

#### Event Documents need to be requested

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Documents need to be requested |
| Event Type | Conditional start event |
| Description | 1. SCO-Supervising Customs Office decides that documentary controls are required (by the Supervising Customs Office) with the need to request documents.<br>2. PCO-Presentation Customs Office decided to request documents during the physical controls or other type of controls which may need the physical presence of the Declarant/Representative. |
| Previous Objects | |

Table 5.183: Event Documents need to be requested

### Task Notify Declarant/Representative with Export Control Decision Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative with Export Control Decision Notification |
| Task Type | Send task |
| Description | When documents need to be requested (during the documentary controls at SCO-Supervising Customs Office or during the controls at the PCO-Presentation Customs Office) or/and physical controls are to be performed by the PCO-Presentation Customs Office, a notification IE shall be sent to the Declarant/Representative informing about the request of documents or/and physical presence, respectively. |
| Actor | AES |
| Input Data | Identify Control Decision Check<br>Identify Documents needed Decision Check<br>Export Declaration Information<br>MRN |
| Output Data | E_EXP_CTR |
| Previous Objects | Physical Controls to be performed<br>Documents need to be requested |
| Information Exchange | Export Control Decision Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.184: Task Notify Declarant/Representative with Export Control Decision Notification

### Event Physical Controls to be performed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Physical Controls to be performed |
| Event Type | Conditional start event |
| Description | The PCO-Presentation Customs Office decided to perform physical controls or other type of controls which may need the physical presence of the Declarant/Representative. Documents may also need to be requested, if needed. |
| Previous Objects | |

Table 5.185: Event Physical Controls to be performed

### Event SCO notified Declarant/Representative upon Control Decision

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | SCO notified Declarant/Representative upon Control Decision |
| Event Type | End event |
| Description | Declarant/Representative has been notified upon Control Decision to request Documents and/or perform Physical Controls. |
| Previous Objects | Notify Declarant/Representative with Export Control Decision Notification |

Table 5.186: Event SCO notified Declarant/Representative upon Control Decision

## 5.10. Process L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance

### 5.10.1. Objective of Process

When the Risk Analysis has been performed and the results have been recorded, the process starts concerning Centralised Clearance, the Customs Officer registers the Control Decision and the Control Decision is recorded in AES.
AES in the Supervising Customs Office (SCO) identifies the Control Decision of the previously executed process.
Upon the Control Decision, one of the following might occur:
1. The Customs Officer has decided that no Documentary Controls must be performed.
1.1. AES at the Supervising Customs Office (SCO) decides to recommend pre-release. The decision for pre-release recommendation to Presentation Customs Office (PCO) is recorded and the Process L4-EXP-01-01-03-03- Notify PCO for Pre-release/Control Recommendation or Release Rejection is executed.
2. The Customs Officer has decided that Documentary Controls must be performed.
2.1. SCO executes the documentary controls. Process L4-EXP-01-01-03-01-Register Documentary Controls at Supervising Customs Office is executed.
2.1.1. In case there is the need to request Documents during Documentary Controls, the process L4-EXP-01-01-03-04-Notify Declarant/Representative for Controls and/or Requested Documents is executed.
2.1.2. In case there is no need to request Documents during Documentary Controls, the flow continues as is.
2.2. Based on the Documentary Control Results, one of the following might occur:

2.2.1. In case of Satisfactory Control Results, the AES at the Supervising Customs Office (SCO) decides to recommend pre-release. The decision for pre-release recommendation to Presentation Customs Office (PCO) is recorded and the Process L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection is executed.
2.2.2. Otherwise, even in case of Satisfactory Control Results, the AES at the Supervising Customs Office (SCO) decides to recommend controls. The decision for controls recommendation to Presentation Customs Office (PCO) is recorded and the Process L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection is executed.
3. Upon L4-EXP-01-01-03-03- Notify PCO for Pre-release/Control Recommendation or Release Rejection process completion and based on the decision taken, one of the following cases might occur:
3.1.1. If it was decided that the goods can be released or no controls were performed by the Presentation Customs Office, the state alters to 'Goods Can Be Released' and the process ends at this point.
3.1.2. If it was decided that the goods cannot be released after unsatisfactory Documentary Controls Results at the Supervising Customs Office (SCO) or unsatisfactory Controls Results at Presentation Customs Office (PCO), the state of the movement is set to 'Not Released for Export' and the process ends at this point.
3.1.3. If Controls have been performed by the Presentation Customs Office (PCO), then the state alters to 'PCO Control Results Record' and the process ends at this point.
Final situation:

- If Controls have been performed at the Presentation Customs Office (PCO), the state alters to 'PCO Control Results Recorded'.
- If Controls are Not Satisfactory the state of the movement is set to 'Not Released for Export'.
- If No Controls were performed or was decided by the Supervising Customs Office (SCO) that goods can be released the state alters to 'Goods Can Be Released'.

### 5.10.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-03 |
| Full Name | Register Control Decision and Results under Centralised Clearance |
| Assumptions | N/A |
| Constraints | This process is only used if the movement is under Centralised Clearance |
| L3 Reference Models | L3-EXP-01-15-Centralised Clearance Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.187: Process Details

### 5.10.3. Tasks and Events

#### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | Conditional start event |
| Description | This call activity is initiated when risk analysis has been performed and the results have been recorded to the AES system of the Customs Office. |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-01-02-Register and Record Control Decision |
| Previous Objects | |

Table 5.188: Event Risk Analysis Results Recorded

## Task Register Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for AES |
| Task Type | User task |
| Description | Based on the risk analysis result, the customs officer decides whether to proceed with Documentary Controls (and request Documents, in case needed) or not control the goods.<br>If the customs officer decides not to control the goods, the control result code is set to 'Considered satisfactory'. |
| Actor | Customs Officer |
| Input Data | Risk Analysis<br>MRN |
| Output Data | Extra Documents Needed<br>Control Decision |
| Previous Objects | Risk Analysis Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.189: Task Register Control Decision for AES

## Task Record Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Decision for AES |
| Task Type | Service task |
| Description | When the decision of the customs officer regarding the control or the non release of the goods is registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. |

| | |
|---|---|
| Actor | AES |
| Input Data | MRN<br>Control Decision<br>Extra Documents Needed |
| Output Data | Control Decision<br>Extra Documents Needed<br>Export Declaration |
| Previous Objects | Register Control Decision for AES |
| Information Exchange | |
| Requirements | AES_FUR_0017<br>AES_FUR_0116<br>AES_FUR_0039<br>AES_FUR_0002 |
| Data Set | |
| Events | Decision to Control the Goods |
| State | Under Control |

Table 5.190: Task Record Control Decision for AES

### Task Identify Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Control Decision |
| Task Type | Service task |
| Description | AES checks whether Controls are to be performed or not based on the control decision |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls |
| Input Data | Control Decision<br>Extra Documents Needed<br>MRN |
| Output Data | Identify Documents needed Decision Check<br>Identify Control Decision Check |
| Previous Objects | Record Control Decision for AES |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0096<br><br>AES_FUR_0116 |
| Data Set | |
| Events | |
| State | |

Table 5.191: Task Identify Control Decision

### Task Register Documentary Controls at Supervising Customs Office

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Documentary Controls at Supervising Customs Office |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-01-03-01-Register Documentary Controls at Supervising Customs Office is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Documentary Controls to be Performed? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.192: Task Register Documentary Controls at Supervising Customs Office

### Task Notify Declarant/Representative to Request Documents during Documentary Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative to Request Documents during Documentary Controls |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-01-03-04 Notify Declarant/Representative for Controls and/or Requested Documents is initiated. |
| Actor | AES |

| | |
|---|---|
| Input Data | |
| Output Data | |
| Previous Objects | Need to Request Documents? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.193: Task Notify Declarant/Representative to Request Documents during Documentary Controls

### Task Identify Documentary Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Documentary Control Results |
| Task Type | Service task |
| Description | AES at the Supervising Customs Office checks the Documentary Controls Results to identify whether are "Satisfactory" or "Not Satisfactory".<br>It is possible, the documentary controls at the Supervising Customs Office not to be completed yet. In such case, the Supervising Customs Office will inform the Presentation Customs Office that controls are still ongoing at the Supervising Customs Office |
| Actor | AES |
| Input Data | MRN<br>Control Result |
| Output Data | Release Rejection Information<br>Control Result |
| Previous Objects | Need to Request Documents?<br>Notify Declarant/Representative to Request Documents during Documentary Controls<br>Register Documentary Controls at Supervising Customs Office |
| Information Exchange | |
| Requirements | AES_FUR_0015 |
| Data Set | |
| Events | |
| State | |

Table 5.194: Task Identify Documentary Control Results

## Task Decide to Recommend Control or Pre-release

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Decide to Recommend Control or Pre-release |
| Task Type | Service task |
| Description | In case that no Documentary Controls have been performed, Pre-release shall be recommended by the Supervising Customs Office.<br>In case of "Satisfactory" Documentary Control Results, either Pre-release or Controls may be recommended by the Supervising Customs Office. |
| Actor | AES |
| Input Data | MRN<br>Control Result |
| Output Data | SCO Recommendation Result |
| Previous Objects | Satisfactory or Not Satisfactory Documentary Controls?<br>Documentary Controls to be Performed? |
| Information Exchange | |
| Requirements | AES_FUR_0118 |
| Data Set | |
| Events | |
| State | |

Table 5.195: Task Decide to Recommend Control or Pre-release

## Task Record Decision to Recommend Pre-release

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision to Recommend Pre-release |
| Task Type | Service task |
| Description | Supervising Customs Office decided to recommend pre-release of the movement to the Presentation Customs Office. |
| Actor | AES |
| Input Data | MRN<br>SCO Recommendation Result |
| Output Data | SCO Recommendation Result |

| | |
|---|---|
| Previous Objects | Recommend Pre-release or Controls? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.196: Task Record Decision to Recommend Pre-release

### Task Record Decision to Recommend Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision to Recommend Controls |
| Task Type | Service task |
| Description | Supervising Customs Office decided to recommend controls in the movement to the Presentation Customs Office. |
| Actor | AES |
| Input Data | SCO Recommendation Result<br>MRN |
| Output Data | SCO Recommendation Result |
| Previous Objects | Recommend Pre-release or Controls? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.197: Task Record Decision to Recommend Controls

### Task Record Decision to Release Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision to Release Rejection |
| Task Type | Service task |
| Description | Supervising Customs Office decided to recommend release rejection of the movement to the Presentation Customs Office, due to "Not Satisfactory" |

| | |
|---|---|
| | Documentary Control Results. |
| Actor | AES |
| Input Data | Control Result<br>Release Rejection Information<br>MRN |
| Output Data | Release Rejection Information |
| Previous Objects | Satisfactory or Not Satisfactory Documentary Controls? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.198: Task Record Decision to Release Rejection

## Task Notify PCO for Pre-release/Control Recommendation or Release Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Task Type | Call activity (collapsed) |
| Description | Process Notify PCO for Pre-release/Control Recommendation or Release Rejection is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Record Decision to Release Rejection<br>Record Decision to Recommend Pre-release<br>Record Decision to Recommend Controls |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.199: Task Notify PCO for Pre-release/Control Recommendation or Release Rejection

## Event PCO Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | PCO Control Results Recorded |
| Event Type | End event |
| Description | The process ends and AES in SCO has recorded the Control results. |
| Appears also in the following processes | L4-EXP-01-01-05-Handle Results of Control and Release Request<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Previous Objects | Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export? |

Table 5.200: Event PCO Control Results Recorded

### Event Goods Can Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Can Be Released |
| Event Type | End event |
| Description | The process ends and the goods can be released for export. |
| Previous Objects | Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export? |

Table 5.201: Event Goods Can Be Released

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | The process ends and the goods might not released for export. |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Previous Objects | Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export? |

Table 5.202: Event Not Released for Export

## 5.11. Process L4-EXP-01-01-04-Register Control Results at Office of Export

### 5.11.1. Objective of Process

This process is initiated after the goods have been controlled by the Customs Officer.
The Customs Officer at the Office of Export registers the controls results, AES records the control results and the process ends with outcome “Control Results Recorded”.
The process which initiated this process can now continue.

Final situation:
Control Results recorded in AES.

### 5.11.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-04 |
| Full Name | Register Control Results at Office of Export |
| Assumptions | In case of supplementary declaration, only documentary controls are feasible |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-22-Perform Controls |
| Existing Specifications | DDNXA-v5.13 |

Table 5.203: Process Details

### 5.11.3. Tasks and Events

#### Event Controls to Be Performed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Controls to Be Performed |
| Event Type | Conditional start event |
| Description | The decision to control the goods has already been recorded in AES. |
| Previous Objects | |

Table 5.204: Event Controls to Be Performed

#### Task Register Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Results |
| Task Type | User task |
| Description | Customs Officer registers the control results in AES. If the control revealed no discrepancies, the control result will be 'Satisfactory'. If major |

| | |
|---|---|
| | discrepancies have been revealed during controls, the control result will be 'Unsatisfactory'. |
| Actor | Customs Officer |
| Input Data | MRN<br>Control Result |
| Output Data | Control Result |
| Previous Objects | Controls to Be Performed |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.205: Task Register Control Results

### Task Record Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Results |
| Task Type | Service task |
| Description | When the control results are registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Control Result |
| Previous Objects | Register Control Results |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.206: Task Record Control Results

### Event Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Control Results Recorded |
| Event Type | End event |
| Description | The process end with the control results recorded. |
| Appears also in the following processes | L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Previous Objects | Record Control Results |

Table 5.207: Event Control Results Recorded

## 5.12. Process L4-EXP-01-01-05-Handle Results of Control and Release Request

### 5.12.1. Objective of Process

When the 'Register Control Results at Office of Export' process ends with 'Control Results Recorded' as an end event, this L4 process will start.
1. This process is triggered if one of the following two events occur:
1.1. Control Results Recorded and the process continues at point 2.
1.2. PCO Control Results Recorded and the process continues at point 2.
2. AES identifies if the control results are satisfactory or unsatisfactory and based on the outcome, two cases may occur:
2.1. Control Results are satisfactory, AES records the Declaration Data Control Results and the process ends with outcome “Goods Can Be Released”.
2.2. Control Results are unsatisfactory as major discrepancies identified, AES doesn’t release the goods for exit and the process ends with outcome “Goods Not to Be Released”.
The process which initiated this process can now continue.

Final Situation:
- Control Results are satisfactory and the process ends with outcome “Goods Can Be Released”.
- Control Results are unsatisfactory and the process ends with outcome “Goods Not to Be Released”.

### 5.12.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-05 |
| Full Name | Handle Results of Control and Release Request |

| | |
|---|---|
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.208: Process Details

### 5.12.3. Tasks and Events

#### Event Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Control Results Recorded |
| Event Type | Conditional start event |
| Description | AES has already recorded the control results. |
| Previous Objects | |

Table 5.209: Event Control Results Recorded

#### Task Identify Control Result

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Control Result |
| Task Type | Service task |
| Description | When needed AES will automatically check if the registered control results are satisfactory or unsatisfactory. |
| Actor | AES |
| Input Data | Control Result<br>MRN |
| Output Data | Control Result |
| Previous Objects | PCO Control Results Recorded<br>Control Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0002<br>AES_FUR_0015 |
| Data Set | Control Result |

| | |
|---|---|
| Events | |
| State | |

Table 5.210: Task Identify Control Result

### Event PCO Control Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | PCO Control Results Recorded |
| Event Type | Conditional start event |
| Description | The process ends and AES in SCO has recorded the Control results. |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | |

Table 5.211: Event PCO Control Results Recorded

### Task Record Declaration Data Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Declaration Data Control Results |
| Task Type | Service task |
| Description | When the declaration details are registered, the data elements following this registration are automatically recorded in AES linked to the reference number. The export control results can also be manually recorded in AES by the Customs Officer. |
| Actor | AES |
| Input Data | Declaration Acceptance Date<br>E_EXP_DAT<br>MRN |
| Output Data | E_EXP_DAT |
| Previous Objects | Control Result Satisfactory or Unsatisfactory? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.212: Task Record Declaration Data Control Results

### Task Do Not Release Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Do Not Release Goods for Export |
| Task Type | Service task |
| Description | When the Control Results are unsatisfactory, the goods are not released for Export.<br>Final Situation: -The goods are not released for export and the state of movement is set to 'Not Released for Export'. |
| Actor | AES |
| Input Data | MRN<br>Control Result<br>Release for Export Decision |
| Output Data | Export Declaration |
| Previous Objects | Control Result Satisfactory or Unsatisfactory? |
| Information Exchange | |
| Requirements | AES_FUR_0010 |
| Data Set | Export Declaration |
| Events | Major Discrepancies Recorded |
| State | Not Released for Export |

Table 5.213: Task Do Not Release Goods for Export

### Event Goods Can Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Can Be Released |
| Event Type | End event |
| Description | The process ends and the goods can be released. |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Previous Objects | Record Declaration Data Control Results |

| | |
|---|---|

Table 5.214: Event Goods Can Be Released

### Event Goods Not To Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not To Be Released |
| Event Type | End event |
| Description | The process ends at AES when the goods cannot be released for Export. The 'Do Not Release Goods at Office of Export' process will start. |
| Appears also in the following processes | L4-EXP-01-01-06-Take Release Decision [DEPRECATED] |
| Previous Objects | Do Not Release Goods for Export |

Table 5.215: Event Goods Not To Be Released

## 5.13. Process L4-EXP-01-01-07-Do Not Release Goods at Office of Export

### 5.13.1. Objective of Process

Customs Office of Export
1. This process starts when the decision not to release the goods has been reached.
2. At this point, a parallel course of events takes place and the following three cases are followed in parallel:
2.1. AES notifies the Declarant/Representative of Non Release of Goods for Export via an 'Export No Release' (IE551) message and the process continues at point 3.
2.2. AES identifies if the Declaration contains Excise Goods and based on the outcome, two cases may occur:
2.2.1. Declaration contains Excise Goods, AES notifies MSA of Export of Non Release of Goods via an 'Non-Release for Export Notification to MSA of Export' (IE534) message and the process continues at point 3.
2.2.2. Declaration doesn't contain Excise Goods and the process continues at point 3.
2.3. AES identifies if the Declaration concerns Centralised Clearance and based on the outcome, two cases may occur:
2.3.1. Declaration concerns Centralised Clearance, AES notifies the PCO of the Release Rejection of the Goods via an 'Release Rejection Notification' (IE542) message and the process continues at point 3.
2.3.2. Declaration doesn't concern Centralised Clearance and the process continues at point 3.
3. Process ends with outcome 'Not Released for Export'.

PCO – Presentation Customs Office
This process starts at the Presentation Customs Office when the 'Release Rejection Notification' (IE542) is received from Customs Office of Export (acting as Supervising Customs Office) at point 2.3.1, AES records the Release Rejection Notification and the movement state changes to 'Not Released for Export'.
The process which initiated this process can now continue.

Final Situation:
All the involved parties (Declarant/Representative, MSA of Export, PCO) are notified of the release rejection and the movement state remains unchanged to 'Not Released for Export'.

### 5.13.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-07 |
| Full Name | Do Not Release Goods at Office of Export |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.216: Process Details

### 5.13.3. Tasks and Events

#### Task Notify Declarant/Representative of Non Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Non Release of Goods for Export |
| Task Type | Send task |
| Description | AES informs the Declarant/Representative that the goods are not released for export (IE551). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | Release Rejection Information<br>E_EXP_DAT<br>MRN |
| Output Data | |
| Previous Objects | Goods Not To Be Released |
| Information Exchange | Export No Release |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.217: Task Notify Declarant/Representative of Non Release of Goods for Export

### Event Goods Not To Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not To Be Released |
| Event Type | Conditional start event |
| Description | The decision not to release the goods has been reached. AES proceeds to the handling of the goods non-release. |
| Previous Objects | |

Table 5.218: Event Goods Not To Be Released

### Task Identify Whether Accepted Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Accepted Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether a customs declaration which has been accepted, contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level; in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-03-03-Handle Exit Control Results [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Goods Not To Be Released |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.219: Task Identify Whether Accepted Export Declaration Contains ARC(s)

### Task Identify if Declaration Concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under the centralised clearance procedure in which case “AUTHORISATION.Type” should be equal to “C513”. In this case, the “CUSTOMS OFFICE OF PRESENTATION” is provided. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-04-Supplementary Declaration |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Goods Not To Be Released |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.220: Task Identify if Declaration Concerns Centralised Clearance

### Task Notify MSA of Export of Non-Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Non-Release of Goods for Export |

| | |
|---|---|
| Task Type | Send task |
| Description | When it is identified that the customs declaration contains one or more ARC(s) (Administrative Reference Code), a notification IE will automatically be sent to the EMCS of the Member State of Export informing that the goods are not released for export. |
| Actor | AES |
| Input Data | MSA of Export<br>E_EXP_DAT<br>Issuing Date of the Decision Not to Release for Export<br>Results of Control<br>No Release Motivation - Text |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | Non-Release for Export Notification to MSA of Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.221: Task Notify MSA of Export of Non-Release of Goods for Export

### Task Notify PCO of Release Rejection of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify PCO of Release Rejection of Goods for Export |
| Task Type | Send task |
| Description | When it is identified that the declaration concerns Centralised Clearance, a notification IE542 will automatically be sent to the PCO informing that goods are not released for export. |
| Actor | AES |
| Input Data | Customs Office of Export<br>Customs Office of Presentation<br>No Release Motivation - Text<br>MRN<br>Result of Centralised Clearance Check |
| Output Data | |
| Previous Objects | Centralised Clearance? |

| | |
|---|---|
| Information Exchange | Release Rejection Notification |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.222: Task Notify PCO of Release Rejection of Goods for Export

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | Due to major discrepancies detected, the goods are not released for export. The state of the movement at the Supervising Customs Office is set to "Not Released for Export", which is a final state. |
| Previous Objects | Centralised Clearance?<br>Notify PCO of Release Rejection of Goods for Export<br>Export Declaration Contains ARC(s)?<br>Notify MSA of Export of Non-Release of Goods for Export<br>Notify Declarant/Representative of Non Release of Goods for Export |

Table 5.223: Event Not Released for Export

### Event Receive Release  Rejection Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Release  Rejection Notification |
| Event Type | Message start event |
| Description | AES at the Presentation Customs Office receives the notification from the Customs Office of Export informing that the declared goods cannot be released for export. |
| Previous Objects | Notify PCO of Release Rejection of Goods for Export |

Table 5.224: Event Receive Release  Rejection Notification

### Task Record Release Rejection Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Release Rejection Notification |
| Task Type | Service task |

| | |
|---|---|
| Description | AES at the Presentation Customs Office records the release rejection decision and the movement state changes to "Not Released for Export". |
| Actor | AES |
| Input Data | |
| Output Data | Export Declaration |
| Previous Objects | Receive Release  Rejection Notification |
| Information Exchange | Release Rejection Notification |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | PCO Control Results Unsatisfactory |
| State | Not Released for Export |

Table 5.225: Task Record Release Rejection Notification

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | Due to major discrepancies detected, the export is stopped and the goods are not released for export. The movement state at the PCO turns to "Not Released for Export". This is a final state. |
| Previous Objects | Record Release Rejection Notification |

Table 5.226: Event Not Released for Export

## 5.14. Process L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls

### 5.14.1. Objective of Process

AES at the Customs Office of Export receives an ‘Export Declaration’ (IE515) from the Trader in an electronic format. Process ‘L4-EXP-01-01-01-Acceptance of Export Declaration’ is executed.

1. If AES does not accept the Export Declaration, then it is rejected. The process stops at this point.
2. If AES accepts the Export Declaration, then AES identifies if the Declaration concerns Centralised Clearance and based on the outcome, two cases may occur:

2.1. Declaration doesn't concern Centralised Clearance so the process continues with L4-EXP-01-01-02-Register and Record Control Decision call-activity and AES identifies if the decision was to control the Goods or not and based on the outcome, two cases may occur:
2.1.1. Decision is not to control the Goods and the process continues at point 3.
2.1.2. Decision is to control the Goods,
2.1.2.1. the process continues with L4-EXP-01-01-04-Register Control Results at Office of Export call-activity,
2.1.2.2. then the process continues with L4-EXP-01-01-05-Handle Results of Control and Release Request call-activity and the process continues at point 3.
2.2. Declaration concerns Centralised Clearance so the process continues with L4-EXP-01-01-04-02-Register Control Decision and Results under Centralised Clearance call-activity and based on the outcome, three cases may occur:
2.2.1. PCO control Results Recorded and the process continues at point 2.1.2.2.
2.2.2. Not Released for Export and the process stops at this point.
2.2.3. Goods can be Released and the process continues at point 3.
3. AES identifies if goods can be released for Export based on the outcome, two cases may occur:
3.1. Goods can be released for Export and the process ends with outcome 'Goods Can Be Released'.
3.2. Goods can't be release for Export, the process continues with L4-EXP-01-01-07-Do Not Release the Goods and the process ends with outcome 'Not Released for Export'.
In case that an amendment/correction request with 'Export Declaration Amendment' (IE513) is submitted to the Customs Office of Export while the movement state is 'Accepted' during the execution of L4-EXP-01-01-01-Acceptance of Export Declaration, the process L4-EXP-01-05 Amendment of the Export Declaration is executed and provided that this request is accepted, the process L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls is initiated from point 2.
Final situation:

- Goods can be released for Export;
- Goods can't be released for Export.

### 5.14.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01 |
| Full Name | Customs Formalities at Office of Export-Acceptance and Controls |
| Assumptions | In case the declaration concerns Centralised Clearance then the pool name/actor "Customs Offices of Export" should change to "Supervising Customs Office".<br><br>For any of the reasons listed below, the goods cannot be released or where, after their release, the goods are found not to have fulfilled the conditions for that release the customs authorities shall give the declarant a reasonable time-limit to remedy the situation of the goods: (i) it has not been possible, for reasons attributable to the declarant, to undertake or continue examination of the goods within the period prescribed by the customs authorities; (ii) the documents which must be provided before the goods can be placed under, or released for, the customs procedure requested have not been provided; (iii) Payments or a guarantee which should have been made or provided in respect of export duty, as the case may be, have not been made or provided within the prescribed period; (iv) the goods are subject to prohibitions or restrictions. |

| | |
|---|---|
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.227: Process Details

### 5.14.3. Tasks and Events

#### Task Acceptance of Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acceptance of Export Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-01 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Export Declaration Received |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.228: Task Acceptance of Export Declaration

#### Event Export Declaration Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Received |
| Event Type | Message start event |
| Description | AES at the Customs Office of Export receives an export declaration from the Trader in an electronic format. |
| Previous Objects | Declarant/Representative |

Table 5.229: Event Export Declaration Received

#### Event Export Declaration Amended

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Export Declaration Amended |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.230: Event Export Declaration Amended

### Task Identify if Declaration Concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under the centralised clearance procedure in which case “AUTHORISATION.Type” should be equal to “C513”. In this case, the “CUSTOMS OFFICE OF PRESENTATION” is provided. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-04-Supplementary Declaration |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Export Declaration Accepted? |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.231: Task Identify if Declaration Concerns Centralised Clearance

### Event Export Declaration Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Rejected |
| Event Type | End event |
| Description | AES rejects the Export Declaration. |
| Previous Objects | Export Declaration Accepted? |

Table 5.232: Event Export Declaration Rejected

### Task Register and Record Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register and Record Control Decision |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-02 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Centralised Clearance? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.233: Task Register and Record Control Decision

### Task Register Control Decision and Results under Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision and Results under Centralised Clearance |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-04-02 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Centralised Clearance? |
| Information Exchange | |

| | |
|---|---|
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.234: Task Register Control Decision and Results under Centralised Clearance

### Task Identify Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Control Decision |
| Task Type | Service task |
| Description | AES checks whether Controls are to be performed or not based on the control decision |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Input Data | Control Decision<br>Extra Documents Needed<br>MRN |
| Output Data | Identify Documents needed Decision Check<br>Identify Control Decision Check |
| Previous Objects | Register and Record Control Decision |
| Information Exchange | |
| Requirements | AES_FUR_0096<br><br>AES_FUR_0116 |
| Data Set | |
| Events | |
| State | |

Table 5.235: Task Identify Control Decision

### Task Register Control Results at Office of Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Results at Office of Export |
| Task Type | Call activity (collapsed) |

| | |
|---|---|
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-04 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Controls to be Performed? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.236: Task Register Control Results at Office of Export

### Task Handle Results of Control and Release Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Results of Control and Release Request |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-05 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export?<br>Register Control Results at Office of Export |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.237: Task Handle Results of Control and Release Request

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |

| | |
|---|---|
| Description | The process ends and the goods might not released for export. |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised Clearance |
| Previous Objects | Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export? |

Table 5.238: Event Not Released for Export

### Task Identify Results of Control and Release Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Results of Control and Release Request |
| Task Type | Service task |
| Description | AES check the control results and verifies if the Goods can be released or not. |
| Actor | AES |
| Input Data | MRN<br>Declaration awaiting release request Expiry Date<br>E_EXP_DAT<br>Control Result |
| Output Data | Control Result |
| Previous Objects | Controls to be Performed?<br>Goods Can Be Released or PCO Control Results Recorded or Goods Not Released for Export?<br>Handle Results of Control and Release Request |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.239: Task Identify Results of Control and Release Request

### Task Do Not Release the Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Do Not Release the Goods |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-01-07. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Can Be Released for Export? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.240: Task Do Not Release the Goods

### Event Goods Can Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Can Be Released |
| Event Type | End event |
| Description | The control decision for the goods is to release them. The process which initiated this process can now continue. |
| Appears also in the following processes | L4-EXP-01-01-06-Take Release Decision [DEPRECATED] |
| Previous Objects | Goods Can Be Released for Export? |

Table 5.241: Event Goods Can Be Released

### Event Not Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Not Released for Export |
| Event Type | End event |
| Description | The process ends and the goods might not released for export. |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-Register Control Decision and Results under Centralised |

| | |
|---|---|
| | Clearance |
| Previous Objects | Do Not Release the Goods |

Table 5.242: Event Not Released for Export

## 5.15. Process L4-EXP-01-02-Customs Formalities at Office of Export-Release

### 5.15.1. Objective of Process

This process starts when the decision to release the goods has been reached. AES releases the goods for export.

At this point, AES starts a timer (90 days) to receive the exit results from the Customs Office of Exit. At the same time, AES starts a timer (150 days) to certify the exit of the goods to the Trader. At the same time, AES identifies the declaration type. One of the following may occur:
1. At this point, AES starts a timer (90 days) to receive the exit results from the Customs Office of Exit. At the same time, AES starts a timer (150 days) to certify the exit of the goods to the Trader. At the same time, AES identifies the declaration type. One of the following might occur:
1.1. If it's not a Simplified Declaration the process continues from point 2.
1.2. If it is a Simplified Declaration, AES identifies if waiver for supplementary declaration applies. The following cases might apply and the flow continues from point 2:
1.2.1. If a waiver for supplementary declaration applies, then the process continues.
1.2.2. If a waiver for supplementary declaration doesn't apply, then the 'Timer for Lodgement of Supplementary Declaration' is started. This time limit is set according to the Authorisation for Simplified and Supplementary Declaration.
2. Following this, at the same time the following events take place in parallel:
2.1. The release is communicated with 'Release for Export' (IE529) to the Declarant/Representative. The 'Release for Export' (IE529) corresponds always to the current (latest) version of the Export operation data. This means that it contains the amended declaration data (if any) and/or the revised declaration data after a control (if any), completed with the control results of the Office of Export.
2.2. AES checks whether the customs declaration is lodged under the centralised clearance procedure. The following may occur:
2.2.1. If the customs declaration is lodged under the centralised clearance procedure, AES at the Customs Office of Export (acting as Supervising Customs Office) informs the Presentation Customs Office with 'Release Notification to Presentation Customs Office' (IE543). The customs declaration data together with the control results and other relevant information from the Customs Office of Export is sent to the Presentation Customs Office. The Presentation Customs Office records the 'Release Notification to Presentation Customs Office' (IE543) and the flow at PCO ends here with 'Goods Released for Export'.
2.2.2. If not, the process continues from point 3.
2.3. AES identifies whether the Customs Office of Exit is in the same or another Member State. The following may occur:
2.3.1. If the Customs Office of Exit is located in another Member State, AES sends an anticipated export record 'AER' (IE501) to that Customs Office of Exit. Risk analysis result may also be communicated to the Office of Exit for information purposes. At that point, the Customs Office of Exit records the AER and the process 'L4-EXP-01-01-01-02-Request and Record Risk Analysis for AES' is initiated. Following the completion of this process, the flow at the Customs Office of Exit stops here with the registration of 'AER' (IE501).
2.3.2. If not, the process continues from point 3.
2.4. AES checks whether the customs declaration contains ARC(s) in order to determine if the declared goods are under excise duty suspension arrangement. The following may occur:

2.4.1. The declaration contains ARC(s). AES sends a copy of the anticipated export record with 'Release for Export Notification to MSA of Export' (IE535) to the EMCS of the Member State of Export.
2.4.2. If not, the process continues from point 3.
3. The flow stops and the movement state turns to 'Goods Released for Export'.

Final Situation:

- The movement state turns to 'Goods Released for Export' at the Customs Office of Export.
- The movement state turns to 'Goods Released for Export' at the Presentation Customs Office.
- The process at the Customs Office of Exit ends with "Anticipated Export Record Registered".

### 5.15.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-02 |
| Full Name | Customs Formalities at Office of Export-Release |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.243: Process Details

### 5.15.3. Tasks and Events

#### Task Start Time Limit to Receive Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit to Receive Exit Results |
| Task Type | Service task |
| Description | AES starts a timer (90 days) to receive the exit results from the Customs Office of Exit. The time limit can be configured by the Member State. |
| Actor | AES |
| Input Data | MRN<br>Receive Exit Results Initial Time Limit |
| Output Data | Timer to Receive Exit Results Expiration Date |
| Previous Objects | Release Goods for Export |
| Information Exchange | |
| Requirements | AES_FUR_0007 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.244: Task Start Time Limit to Receive Exit Results

### Task Release Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Export |
| Task Type | Service task |
| Description | AES releases the goods for export. The movement state is set to 'Goods released for export'. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Export Declaration |
| Previous Objects | Goods Can Be Released |
| Information Exchange | |
| Requirements | AES_FUR_0021 |
| Data Set | Export Declaration |
| Events | PCO Decision Not to Control the Goods OR PCO Control Results Satisfactory OR PCO Results Considered Satisfactory OR Control Results Satisfactory OR Control Results Considered Satisfactory OR Timer to Receive Control Notification from PCO expires |
| State | Goods Released for Export |

Table 5.245: Task Release Goods for Export

### Event Goods Can Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Can Be Released |
| Event Type | Conditional start event |

| | |
|---|---|
| Description | The decision to release the goods has been reached. AES proceeds to the handling of the goods release. |
| Previous Objects | |

Table 5.246: Event Goods Can Be Released

### Task Start Time Limit to Certify Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit to Certify Exit |
| Task Type | Service task |
| Description | AES starts a timer (150 days) to certify the exit of the goods to the Trader. |
| Actor | AES |
| Input Data | Certify Exit Initial Time Limit<br>MRN |
| Output Data | Timer to Certify Exit Expiration Date |
| Previous Objects | Release Goods for Export |
| Information Exchange | |
| Requirements | AES_FUR_0005 |
| Data Set | |
| Events | |
| State | |

Table 5.247: Task Start Time Limit to Certify Exit

### Task Identify Declaration Type

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Declaration Type |
| Task Type | Service task |
| Description | AES identifies whether the customs declaration is a simplified declaration or not by identifying if in IE515 the Additional declaration type is equal to “B” or “C” or “E” or “F”). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED] |
| Input Data | Export Declaration Information<br>MRN |

| | |
|---|---|
| Output Data | Result of Simplified Declaration Check |
| Previous Objects | Release Goods for Export |
| Information Exchange | |
| Requirements | AES_FUR_0012 |
| Data Set | |
| Events | |
| State | |

Table 5.248: Task Identify Declaration Type

### Task Identify If Waiver for Supplementary Declaration Applies

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify If Waiver for Supplementary Declaration Applies |
| Task Type | Service task |
| Description | AES identifies whether a waiver for the supplementary declaration applies.<br>The obligation to lodge a supplementary declaration shall be waived in the following cases:<br>(a) where the goods are placed under a customs warehousing procedure;<br>(b) in other specific cases.<br>The customs authorities may waive the requirement to lodge a supplementary declaration where the following conditions apply:<br>(a) the simplified declaration concerns goods the value and quantity of which is below the statistical threshold;<br>(b) the simplified declaration already contains all the information needed for the customs procedure concerned; and<br>(c) the simplified declaration is not made by entry in the declarant's records. |
| Actor | AES |
| Input Data | Export Declaration Information<br>E_EXP_DAT |
| Output Data | Result of Supplementary Declaration Waive Check |
| Previous Objects | Simplified Declaration? |
| Information Exchange | |
| Requirements | AES_FUR_0037 |

| | |
|---|---|
| Data Set | Result of Supplementary Declaration Waive Check |
| Events | |
| State | |

Table 5.249: Task Identify If Waiver for Supplementary Declaration Applies

### Task Start Timer for Lodgement of Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer for Lodgement of Supplementary Declaration |
| Task Type | Service task |
| Description | If the customs declaration lodged is a simplified declaration, AES starts a timer for lodgement of the supplementary declaration. The time limit is set according to the authorisation for simplified and supplementary declaration. |
| Actor | AES |
| Input Data | Lodgement of Supplementary Declaration Initial Time Limit<br>MRN |
| Output Data | Lodgement of Supplementary Declaration Expiration Date |
| Previous Objects | Waiver Applies? |
| Information Exchange | |
| Requirements | AES_FUR_0006 |
| Data Set | |
| Events | |
| State | |

Table 5.250: Task Start Timer for Lodgement of Supplementary Declaration

### Task Notify Declarant/Representative of Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Release of Goods for Export |
| Task Type | Send task |
| Description | When the timers to receive the exit results from the Customs Office of Exit and to certify the exit of the goods to the Trader have been started and the identification of the type of declaration has been performed, a notification |

| | |
|---|---|
| | IE will automatically be sent to the Exporter or Declarant informing about the release. The release information (IE529) corresponds always to the current (latest) version of the Export operation data. This means that it contains the amended declaration data (if any) and/or the revised declaration data after a control (if any), completed with the control results of the Office of Export. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>Export Declaration Information |
| Output Data | |
| Previous Objects | Simplified Declaration?<br>Waiver Applies?<br>Start Timer for Lodgement of Supplementary Declaration<br>Start Time Limit to Receive Exit Results<br>Start Time Limit to Certify Exit |
| Information Exchange | Release for Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.251: Task Notify Declarant/Representative of Release of Goods for Export

### Task Identify if Declaration Concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under the centralised clearance procedure in which case “AUTHORISATION.Type” should be equal to “C513”. In this case, the “CUSTOMS OFFICE OF PRESENTATION” is provided. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED]<br>L4-EXP-01-04-Supplementary Declaration |

| | |
|---|---|
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Simplified Declaration?<br>Waiver Applies?<br>Start Timer for Lodgement of Supplementary Declaration<br>Start Time Limit to Receive Exit Results<br>Start Time Limit to Certify Exit |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.252: Task Identify if Declaration Concerns Centralised Clearance

### Task Identify if Customs Office of Export is the same as Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Customs Office of Export is the same as Exit |
| Task Type | Service task |
| Description | AES identifies if the Customs Office of Exit is in the same as the Customs Office of Export. |
| Actor | AES |
| Input Data | MRN<br>Export Declaration Information |
| Output Data | Result of Declared Customs Office of Exit Check |
| Previous Objects | Simplified Declaration?<br>Waiver Applies?<br>Start Timer for Lodgement of Supplementary Declaration<br>Start Time Limit to Receive Exit Results<br>Start Time Limit to Certify Exit |
| Information Exchange | |
| Requirements | AES_FUR_0013 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.253: Task Identify if Customs Office of Export is the same as Exit

### Task Identify Whether Accepted Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Accepted Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether a customs declaration which has been accepted, contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level; in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-03-Handle Exit Control Results [DEPRECATED] |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Simplified Declaration?<br>Waiver Applies?<br>Start Timer for Lodgement of Supplementary Declaration<br>Start Time Limit to Receive Exit Results<br>Start Time Limit to Certify Exit |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.254: Task Identify Whether Accepted Export Declaration Contains ARC(s)

### Task Notify Customs Office of Exit of Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit of Release of Goods for Export |
| Task Type | Send task |
| Description | When it is identified that the Customs Office of Exit is located in another Member State than the Declared Office of Export, a notification IE501 will automatically be sent to the Customs Office of Exit, which will include the anticipated export record. |
| Actor | AES |
| Input Data | MRN<br>E_EXP_DAT<br>Export Declaration Information |
| Output Data | |
| Previous Objects | Is CO of Export  Same as CO of Exit? |
| Information Exchange | AER |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.255: Task Notify Customs Office of Exit of Release of Goods for Export

### Event Anticipated Export Record Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Anticipated Export Record Received |
| Event Type | Message start event |
| Description | The IE501 (AER) is received. |
| Appears also in the following processes | L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED] |
| Previous Objects | Notify Customs Office of Exit of Release of Goods for Export |

Table 5.256: Event Anticipated Export Record Received

### Task Record AER

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record AER |
| Task Type | Service task |
| Description | AES records the Anticipated Export Record received from the Customs Office of Export. The movement state changes to 'AER Created'. |
| Actor | Customs Office of Exit |
| Appears also in the following processes | L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED] |
| Input Data | |
| Output Data | Export Declaration<br>C_AER_SND |
| Previous Objects | Anticipated Export Record Received |
| Information Exchange | AER |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | AER Received |
| State | AER Created |

Table 5.257: Task Record AER

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | Customs Office of Exit |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls |

| | |
|---|---|
| | and Release of Goods<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Record AER |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.258: Task Request and Record Risk Analysis for AES

### Task Notify PCO of Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify PCO of Release of Goods for Export |
| Task Type | Send task |
| Description | When it is identified that the declaration concerns Centralised Clearance, a notification message IE543 will automatically be sent to the PCO informing for the release of the goods for export. The customs declaration data together with the control results and other relevant information from the Customs Office of Export is sent to the PCO. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | |
| Previous Objects | Centralised Clearance? |
| Information Exchange | Release Notification to Presentation Customs Office |
| Requirements | AES_FUR_0022 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.259: Task Notify PCO of Release of Goods for Export

### Event Anticipated Export Record Registered

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Anticipated Export Record Registered |
| Event Type | End event |
| Description | The AER is registered and the process ends. |
| Appears also in the following processes | L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED] |
| Previous Objects | Request and Record Risk Analysis for AES |

Table 5.260: Event Anticipated Export Record Registered

### Task Notify MSA of Export of Release of Goods for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Release of Goods for Export |
| Task Type | Send task |
| Description | When it is identified that the export declaration contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level, a notification IE535 (a copy of the anticipated export record) will automatically be sent to the EMCS of the Member State of Export. |
| Actor | AES |
| Input Data | Export Declaration Information<br>E_EXP_DAT<br>MRN |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | Release for Export Notification to MSA of Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.261: Task Notify MSA of Export of Release of Goods for Export

### Event Release Notification to Presentation Customs Office Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Release Notification to Presentation Customs Office Received |
| Event Type | Message start event |
| Description | The 'Release Notification to Presentation Customs Office' has been received. |
| Previous Objects | Notify PCO of Release of Goods for Export |

Table 5.262: Event Release Notification to Presentation Customs Office Received

### Event Goods Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Export |
| Event Type | End event |
| Description | The goods are released for export. |
| Appears also in the following processes | L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Previous Objects | Notify Declarant/Representative of Release of Goods for Export<br>Notify MSA of Export of Release of Goods for Export<br>Export Declaration Contains ARC(s)?<br>Notify Customs Office of Exit of Release of Goods for Export<br>Is CO of Export  Same as CO of Exit?<br>Notify PCO of Release of Goods for Export<br>Centralised Clearance? |

Table 5.263: Event Goods Released for Export

### Task Record Release Notification to Presentation Customs Office

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Release Notification to Presentation Customs Office |
| Task Type | Service task |
| Description | AES at the PCO records the 'Release Notification to Presentation Customs Office' (IE543) and the movement state is set to 'Goods Released for Export'. |
| Actor | PCO - Presentation Customs Office |
| Input Data | |
| Output Data | C_REL_PRE<br>Export Declaration |

| | |
|---|---|
| Previous Objects | Release Notification to Presentation Customs Office Received |
| Information Exchange | Release Notification to Presentation Customs Office |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | PCO Control Results Satisfactory or Considered Satisfactory<br>Release Notification to Presentation Customs Office sent OR Timer to Receive Control Notification from PCO Expires |
| State | Goods Released for Export |

Table 5.264: Task Record Release Notification to Presentation Customs Office

### Event Goods Released for Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Export |
| Event Type | End event |
| Description | The goods are released for export. |
| Appears also in the following processes | L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Previous Objects | Record Release Notification to Presentation Customs Office |

Table 5.265: Event Goods Released for Export

## 5.16. Process L4-EXP-01-04-01-Extend Timer for Lodgement of Supplementary Declaration

### 5.16.1. Objective of Process

This process starts when the Customs Officer decides to extend the time for lodgement of supplementary declaration.
The Customs Officer must also define the start date and the time limit for the Trader to lodge the supplementary declaration.
AES records the extension of the time for lodgement of supplementary declaration and then informs the Trader that the time limit to lodge the supplementary declaration has been extended (IE531).

### 5.16.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-04-01 |

| | |
|---|---|
| Full Name | Extend Timer for Lodgement of Supplementary Declaration |
| Assumptions | • In case of centralised clearance the customs office of export is the supervising customs office. |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-03-Supplementary Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.266: Process Details

### 5.16.3. Tasks and Events

#### Event Decision to Extend the Timer for Lodgement of Supplementary Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Decision to Extend the Timer for Lodgement of Supplementary Declaration |
| Event Type | Start event |
| Description | This process starts when the Customs Officer decides to extend the time for lodgement of supplementary declaration. |
| Previous Objects | |

Table 5.267: Event Decision to Extend the Timer for Lodgement of Supplementary Declaration

#### Task Register Extension of Timer for Lodgement of Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Extension of Timer for Lodgement of Supplementary Declaration |
| Task Type | User task |
| Description | The Customs Officer must define the start date and the time limit for the Trader to lodge the supplementary declaration. |
| Actor | Customs Officer |
| Input Data | Lodgement of Supplementary Declaration Expiry Date<br>Export Declaration Information |
| Output Data | Lodgement of Supplementary Declaration Expiry Date |
| Previous Objects | Decision to Extend the Timer for Lodgement of Supplementary Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0114 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.268: Task Register Extension of Timer for Lodgement of Supplementary Declaration

### Task Record the Extension of Timer for Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record the Extension of Timer for Supplementary Declaration |
| Task Type | Service task |
| Description | When the decision of the customs officer to extend the timer for the lodgement of the Supplementary Declaration is registered, the updated expiry date is automatically registered in AES. |
| Actor | AES |
| Input Data | Lodgement of Supplementary Declaration Expiry Date<br>Export Declaration Information |
| Output Data | Lodgement of Supplementary Declaration Expiry Date |
| Previous Objects | Register Extension of Timer for Lodgement of Supplementary Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.269: Task Record the Extension of Timer for Supplementary Declaration

### Task Notify Declarant/Representative of the Time Limit Extension

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of the Time Limit Extension |
| Task Type | Send task |
| Description | AES informs the Trader that the time limit to lodge the supplementary declaration has been extended (IE531). |
| Actor | AES |
| Input Data | Export Declaration Information<br>Lodgement of Supplementary Declaration Expiry Date<br>MRN |
| Output Data | |

| | |
|---|---|
| Previous Objects | Record the Extension of Timer for Supplementary Declaration |
| Information Exchange | Expiry of Timer for Supplementary Declaration Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.270: Task Notify Declarant/Representative of the Time Limit Extension

### Event Time for Lodgement of Supplementary Declaration Extended

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time for Lodgement of Supplementary Declaration Extended |
| Event Type | End event |
| Description | The Timer for Lodgement of Supplementary Declaration has been extended. |
| Previous Objects | Notify Declarant/Representative of the Time Limit Extension |

Table 5.271: Event Time for Lodgement of Supplementary Declaration Extended

## 5.17. Process L4-EXP-01-04-Supplementary Declaration

### 5.17.1. Objective of Process

1. The ‘Export Declaration’ (IE515) was lodged as a simplified declaration and no waiver for the supplementary declaration has been received. AES has started a timer for lodgement of the supplementary declaration. One of the following cases is fulfilled:
1.1. The Customs Officer extends the timer prior to its expiration in case of duly justified circumstances and the process L4-EXP-01-04-01 is executed.
1.2. The Customs Officer does not extend the timer prior to its expiration.
No matter if the timer for lodgement of supplementary declaration has been extended or not, the process flow continues as following from point 2.
2. One of the following cases is fulfilled:
2.1. AES receives the supplementary declaration from the Declarant/Representative with ‘Export Declaration’ (IE515) within the pre-set time limit. AES validates the received supplementary customs declaration against the business rules and conditions. If the validation against the business rules and conditions fails, the customs declaration is rejected.
2.1.1. If the supplementary customs declaration is not valid, AES rejects the declaration and informs the Declarant/Representative, indicating the reasons for rejection with ‘Rejection from Office of Export’ (IE556) The process continues from point 1.
2.1.2. If the supplementary customs declaration is valid, AES records it in the database and stops the timer for lodgement of the supplementary declaration. Next, AES reconciles the data of the simplified and supplementary declaration and then identifies if the supplementary declaration has been lodged under the Centralised Clearance procedure.

2.1.2.1. If yes, then the matched and reconciled declaration data are forwarded to the Presentation Customs Office from the Customs Office of Export (acting as Supervising Customs Office) with 'Matched Data Declaration' (IE533). The Presentation Customs Office AES makes available all the information that should be provided to other authorities for statistical purposes and the process ends.
2.1.2.2. If the supplementary customs declaration has not been lodged under the Centralised Clearance procedure, then the data of the simplified customs declaration and the supplementary declaration have been reconciled and the process ends.
2.2. The time limit for the Trader to lodge the supplementary declaration expires. AES informs the Declarant/Representative that the time limit to lodge the supplementary declaration has expired with 'Expiry of Timer for Supplementary Declaration Notification' (IE531).
2.2.1. If AES receives the supplementary declaration from the Declarant/Representative with 'Export Declaration' (IE515) after the expiration of the timer then the flow continues from point 2.1.
2.2.2. If AES does not receive the supplementary declaration from the Declarant/Representative 'Export Declaration' (IE515) after the expiration of the timer then AES checks if the timer for lodgement of supplementary declaration can be extended.
2.2.2.1. If AES has determined that the timer for lodgement of supplementary declaration can be extended, then the Customs Officer will have to register his/her decision to extend or not the time limit for lodging a supplementary declaration.
2.2.2.1.1. If the Customs Officer decided to extend the timer, the process L4-EXP-01-04-01 is executed and the flow continues from point 2.
2.2.2.1.2. If the Customs Officer decided not to extend the timer for lodgement of supplementary declaration, then a customs office intervention is required, after the timer is expired.
2.2.2.2. If AES has determined that the timer for lodgement of supplementary declaration cannot be extended, then a customs office intervention is required, after the timer is expired.

Final situation:
- The data of the simplified customs declaration and the supplementary declaration are reconciled. The timer for lodging the supplementary declaration is stopped.
- The data of the simplified and supplementary declarations have been reconciled, and a customs office intervention is required.

### 5.17.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-04 |
| Full Name | Supplementary Declaration |
| Assumptions | • In case of centralised clearance the customs office of export is the supervising customs office. |
| Constraints | |
| L3 Reference Models | L3-EXP-01-03-Supplementary Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.272: Process Details

### 5.17.3. Tasks and Events

#### Task Extend Timer for Lodgement of Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Extend Timer for Lodgement of Supplementary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-04-01 Extend Timer for Lodgement of Supplementary Declaration is initiated. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-04-Supplementary Declaration |
| Input Data | |
| Output Data | |
| Previous Objects | Decision to Extend the time-limit for lodging the Supplementary Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.273: Task Extend Timer for Lodgement of Supplementary Declaration

#### Event Declaration Type Identified as Simplified Declaration and no Waiver for Supplementary Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Declaration Type Identified as Simplified Declaration and no Waiver for Supplementary Declaration |
| Event Type | Conditional start event |
| Description | The relative export declaration must be a simplified declaration. |
| Previous Objects | |

Table 5.274: Event Declaration Type Identified as Simplified Declaration and no Waiver for Supplementary Declaration

#### Event Timer for Lodgement of Supplementary Declaration Expires

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Timer for Lodgement of Supplementary Declaration Expires |
| Event Type | Timer intermediate event |

| | |
|---|---|
| Description | The timer to lodge the supplementary declaration expires. |
| Previous Objects | Decision to Extend the time-limit for lodging the Supplementary Declaration<br>Extend Timer for Lodgement of Supplementary Declaration<br>Decision to accept the Supplementary Declaration after the Timer Expiry without extending the Timer?<br>Extend Timer for Lodgement of Supplementary Declaration |

Table 5.275: Event Timer for Lodgement of Supplementary Declaration Expires

### Event Receive Supplementary Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Supplementary Declaration |
| Event Type | Message intermediate event (catch) |
| Description | AES receives the supplementary declaration from the Declarant/Representative. |
| Previous Objects | Declarant/Representative<br>Decision to Extend the time-limit for lodging the Supplementary Declaration<br>Extend Timer for Lodgement of Supplementary Declaration<br>Decision to accept the Supplementary Declaration after the Timer Expiry without extending the Timer?<br>Extend Timer for Lodgement of Supplementary Declaration |

Table 5.276: Event Receive Supplementary Declaration

### Task Record Timer Expiry

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Timer Expiry |
| Task Type | Service task |
| Description | AES records the expiry of the timer. |
| Actor | AES |
| Input Data | Lodgement of Supplementary Declaration Expiry Date<br>MRN |
| Output Data | Lodgement of Supplementary Declaration Expiry Date |
| Previous Objects | Timer for Lodgement of Supplementary Declaration Expires |
| Information Exchange | |
| Requirements | AES_FUR_0002 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.277: Task Record Timer Expiry

### Task Validate Supplementary Customs Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Supplementary Customs Declaration |
| Task Type | Service task |
| Description | AES validates the received supplementary customs declaration against the business rules and conditions. If the validation against the business rules and conditions fails, the supplementary customs declaration is rejected. AES validates the declared Exporter's identification number against the EOS database. If any of the declared Exporter's identification number is not valid, the customs declaration is rejected. In case only the Exporter's identification number is provided, the AES completes the Exporter's data (name, address) in the customs declaration. AES verifies (based on TARIC data) the existence of the references to any documents required for the declared Commodity Code (TARIC code) in relation to the declared country of destination. If the supplementary customs declaration is not valid, AES rejects the declaration and informs the Declarant/Representative, indicating the reasons for rejection. Additionally, AES validates that the declared 'Additional declaration type' in the Supplementary Declaration is of the appropriate type ( either 'X' or 'Y') and that the Declarant/Representative had previously submitted a Simplified Declaration (Additional declaration type equal to 'B', or 'C' or 'E', or 'F') with the same MRN for which the waiver did not apply. Supplementary Customs Declaration should be validated for supplementing the simplified customs declaration. The movement states under which a Supplementary Declaration can be lodged are the following: "Goods Released for Export", "Diversion Accepted", "Under Exit Confirmation Request", or "Exported". |
| Actor | AES |
| Input Data | |
| Output Data | Supplementary Customs Declaration Validation Result<br>Authorisation Validation Result |
| Previous Objects | Receive Supplementary Declaration |
| Information Exchange | Export Declaration |
| Requirements | AES_FUR_0088<br>AES_FUR_0085<br>AES_FUR_0001 |

| | |
|---|---|
| | AES_FUR_0086<br>AES_FUR_0087 |
| Data Set | |
| Events | |
| State | |

Table 5.278: Task Validate Supplementary Customs Declaration

### Task Notify Declarant/Representative of Timer Expiry

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Timer Expiry |
| Task Type | Send task |
| Description | AES informs the Trader that the time limit to lodge the supplementary declaration has been expired (IE531). |
| Actor | AES |
| Input Data | Lodgement of Supplementary Declaration Expiry Date<br>Export Declaration Information<br>MRN |
| Output Data | |
| Previous Objects | Record Timer Expiry |
| Information Exchange | Expiry of Timer for Supplementary Declaration Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.279: Task Notify Declarant/Representative of Timer Expiry

### Task Record Supplementary Customs Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Supplementary Customs Declaration |
| Task Type | Service task |
| Description | If the supplementary customs declaration is valid, AES records it in the database.<br>The supplementary customs declaration either supplements or replaces the simplified customs declaration. The state of the supplementary declaration |

| | |
|---|---|
| | is set to ‘Goods Released for Export’. |
| Actor | AES |
| Input Data | Supplementary Customs Declaration Validation Result<br>E_EXP_DAT |
| Output Data | Export Declaration<br>E_EXP_DAT |
| Previous Objects | Supplementary Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.280: Task Record Supplementary Customs Declaration

### Task Reject Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject Supplementary Declaration |
| Task Type | Service task |
| Description | AES rejects the supplementary declaration. The movement status is set to 'Rejected'. |
| Actor | AES |
| Input Data | Authorisation Validation Result<br>Supplementary Customs Declaration Validation Result<br>E_EXP_DAT |
| Output Data | Export Declaration |
| Previous Objects | Supplementary Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.281: Task Reject Supplementary Declaration

## Task Notify Declarant/Representative of Supplementary Declaration Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Supplementary Declaration Rejection |
| Task Type | Send task |
| Description | |
| Actor | AES |
| Input Data | Supplementary Customs Declaration Validation Result<br>E_EXP_DAT<br>Authorisation Validation Result |
| Output Data | |
| Previous Objects | Reject Supplementary Declaration |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.282: Task Notify Declarant/Representative of Supplementary Declaration Rejection

## Task Identify if the Timer for Lodgement of Supplementary Declaration Can be Extended

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if the Timer for Lodgement of Supplementary Declaration Can be Extended |
| Task Type | Service task |
| Description | AES checks if the Timer for Lodgement of Supplementary Declaration set in Start Timer for Lodgement of Supplementary Declaration (MCC.32076) can be extended. The Customs Officer may extend the time limit for lodging the Supplementary Declaration up to 120 days from the date of the release of the goods.<br>If the supporting documents that were missing when the Simplified Declaration was lodged concern the customs value, the Customs Officer may extend the time limit for lodging the Supplementary Declaration up to three years from the date on which the customs debt was incurred. |
| Actor | AES |
| Input Data | MRN |

| | |
|---|---|
| | Export Declaration Information |
| Output Data | Result of Timer for Lodgement of Supplementary Declaration Extension Check |
| Previous Objects | Decision to accept the Supplementary Declaration after the Timer Expiry without extending the Timer? |
| Information Exchange | |
| Requirements | AES_FUR_102 |
| Data Set | |
| Events | |
| State | |

Table 5.283: Task Identify if the Timer for Lodgement of Supplementary Declaration Can be Extended

### Task Stop Timer for Lodgement of Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer for Lodgement of Supplementary Declaration |
| Task Type | Service task |
| Description | AES stops the timer for lodgement of the supplementary declaration, if the supplementary declaration is positively validated. |
| Actor | AES |
| Input Data | MRN<br>Declaration Acceptance Date |
| Output Data | Lodgement of Supplementary Declaration Expiry Date |
| Previous Objects | Record Supplementary Customs Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0024 |
| Data Set | |
| Events | |
| State | |

Table 5.284: Task Stop Timer for Lodgement of Supplementary Declaration

### Task Match Declaration Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Match Declaration Data |
| Task Type | Service task |
| Description | AES reconciles the data of the simplified customs declaration and supplementary declaration. Supplementary declaration can complete the simplified declaration or replace it. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>E_EXP_DAT |
| Output Data | C_MAT_DAT |
| Previous Objects | Stop Timer for Lodgement of Supplementary Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0051 |
| Data Set | |
| Events | |
| State | |

Table 5.285: Task Match Declaration Data

### Task Extend Timer for Lodgement of Supplementary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Extend Timer for Lodgement of Supplementary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-04-01 Extend Timer for Lodgement of Supplementary Declaration is initiated. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-04-Supplementary Declaration |
| Input Data | |
| Output Data | |
| Previous Objects | Decision to Extend the time-limit for lodging the Supplementary Declaration |
| Information Exchange | |
| Requirements | |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.286: Task Extend Timer for Lodgement of Supplementary Declaration

### Task Identify if Declaration Concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under the centralised clearance procedure in which case “AUTHORISATION.Type” should be equal to “C513”. In this case, the “CUSTOMS OFFICE OF PRESENTATION” is provided. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Match Declaration Data |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.287: Task Identify if Declaration Concerns Centralised Clearance

### Event Customs Declaration for Export not Supplemented

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Customs Declaration for Export not Supplemented |
| Event Type | End event |
| Description | The data of the simplified customs declaration and the supplementary declaration have not been reconciled. |
| Previous Objects | Can the Timer for Lodgement of Supplementary Declaration be Extended?<br>Decision to Extend the time-limit for lodging the Supplementary Declaration |

Table 5.288: Event Customs Declaration for Export not Supplemented

### Task Forward Matched Declaration Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Forward Matched Declaration Data |
| Task Type | Send task |
| Description | AES forwards the reconciled data of the simplified and supplementary declarations to the Presentation Customs Office. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Centralised Clearance? |
| Information Exchange | Matched Declaration Data<br>Matched Declaration Data |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.289: Task Forward Matched Declaration Data

### Event Matched Declaration Data Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Matched Declaration Data Received |
| Event Type | Message start event |

| | |
|---|---|
| Description | The Presentation Customs Office receives the Matched Declaration Data from the Supervising Customs Office. |
| Appears also in the following processes | L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED] |
| Previous Objects | Forward Matched Declaration Data |

Table 5.290: Event Matched Declaration Data Received

### Event Customs Declaration for Export Supplemented

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Customs Declaration for Export Supplemented |
| Event Type | End event |
| Description | The data of the simplified customs declaration and the supplementary declaration have been reconciled. |
| Previous Objects | Forward Matched Declaration Data<br>Centralised Clearance? |

Table 5.291: Event Customs Declaration for Export Supplemented

### Task Record Matched Declaration Data at PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Matched Declaration Data at PCO |
| Task Type | Service task |
| Description | AES records the Matched Declaration Data |
| Actor | AES |
| Input Data | C_MAT_DAT |
| Output Data | C_MAT_DAT |
| Previous Objects | Matched Declaration Data Received |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.292: Task Record Matched Declaration Data at PCO

### Task Fulfill Information Obligations Concerning Other Authorities

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Fulfill Information Obligations Concerning Other Authorities |
| Task Type | Service task |
| Description | AES in Customs Office of Presentation makes available all the information that should be provided to other authorities for statistical purposes. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED] |
| Input Data | C_MAT_DAT |
| Output Data | C_MAT_DAT |
| Previous Objects | Record Matched Declaration Data at PCO |
| Information Exchange | |
| Requirements | AES_FUR_0031 |
| Data Set | |
| Events | |
| State | |

Table 5.293: Task Fulfill Information Obligations Concerning Other Authorities

### Event Matched Declaration Data Recorded at PCO

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Matched Declaration Data Recorded at PCO |
| Event Type | End event |
| Description | The PCO has received the matched declaration data and can provide all the information to other authorities for statistical purposes. |
| Previous Objects | Fulfill Information Obligations Concerning Other Authorities |

Table 5.294: Event Matched Declaration Data Recorded at PCO

## 5.18. Process L4-EXP-01-05-Amendment of the Export Declaration

### 5.18.1. Objective of Process

This process starts when an amendment/correction request with 'Export Declaration Amendment' (IE513) is submitted to the Customs Office of Export. For this purpose, there are two starting conditions for this process, as follows:

- Receive Export Declaration Amendment Request;
- Receive Export Declaration Correction Request.

When one of the above conditions is met in AES, the flow continues as per the following:
AES validates the 'Export Declaration Amendment' (IE513) message. The IE513 message contains all values of the original declaration altered with the requested amendments. At this point one of the following cases may occur:
1. If the Export Declaration Amendment Request is valid, AES at the Customs Office of Export records the request and identifies if the Declaration contains ARC(s). At this point, one of the following may occur:
1.1. If the Export Declaration contains ARC(s), the process 'L4-EXP-01-01-01-01-Handle e-AD Request and Cross-Checking' is initiated. Following the completion of this process, one of the following may occur:
1.1.1. If all e-ADs were received and the Cross-Check result is successful, AES proceeds with the amendment of the data of the Export Declaration and notifies the Declarant/ Representative on the acceptance of the Amendment of the Export Declaration via an 'Export Declaration Amendment Acceptance' (IE504). At this point, the system checks if the state of the movement is 'Accepted'. One of the following may occur:
1.1.1.1. If the movement state is 'Accepted', AES at the Customs Office of Export notifies the EMCS in the MSA of Export on the Export Declaration Amendment Acceptance via an (IE539) 'Export Declaration Acceptance Notification to MSA of Export'. The flow continues with the initiation of the process 'L4-EXP-01-01-01-02-Request and Record Risk Analysis'. After the completion of this process, the flow stops there.
1.1.1.2. If the movement state is not 'Accepted', the flow continues with the initiation of the process 'L4-EXP-01-01-01-02-Request and Record Risk Analysis'. After the completion of this process, the flow stops there.
1.1.2. If the Cross-Check result was negative or the e-AD was rejected, the flow continues from point 2.
1.2. If the Declaration does not contain ARC(s), the system continues with the amendment of the Export Declaration data and notifies the Declarant/ Representative on the acceptance of the Amendment of the Export Declaration via an 'Export Declaration Amendment Acceptance' (IE504). The flow continues with the initiation of the process 'L4-EXP-01-01-01-02-Request and Record Risk Analysis'. After the completion of this process, the flow stops here.
2. If the Export Declaration Amendment Request is not valid or the handling of e-AD failed, AES records the Declaration Amendment Request Rejection and notifies the Declarant/ Representative via a 'Rejection from Office of Export' (IE556) message. The flow stops here.

Final Situation:

- The Export Declaration Correction/Amendment Request can be Rejected by AES at the Customs Office of Export;

The Export Declaration Correction/Amendment Request can be Accepted by AES at the Customs Office of Export.

### 5.18.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Process ID | L4-EXP-01-05 |
| Full Name | Amendment of the Export Declaration |
| Assumptions | - Amendment can also take place in case of Centralised Clearance<br>- A declaration amendment could be performed:<br>• After the goods release for export: the amendment can be handled by the Customs Office of Export without any electronic information exchange and without any impact to the Common Domain. The Customs Office of Exit formalities are performed based on the received AER from the Customs Office of Export (IE501) or 'AER Response' (IE503).<br>• After the goods released for exit for statistical purposes: the amendment can be handled by the Customs Office of Export without any further electronic information exchange since the movement is Exited (closed). |
| Constraints | This process is only used if an amendment request (IE513) is received.<br>Amendment concerns to a still accepted declaration, meanwhile correction can be carried out for pre-lodged declaration only. In both cases the relevant message is the same - IE513.<br>The amendment request must be sent to the Office of Export or - if national provisions provide for - to another Office which is located in the same Member State as the previously declared Office of Export.<br>The amendment request can only be sent by the Declarant/Representative having sent the initial declaration.<br>An amendment request may concern the creation, modification or deletion of data within a declaration. A cancellation of an entire declaration is not to be regarded as an amendment.<br>Only one declaration amendment request can be processed at a time.<br>While a declaration amendment request is processed, there can be no other declaration amendment request.<br>There is no limit of amendment requests on a specific declaration while it is under 'Accepted' state. |
| L3 Reference Models | L3-EXP-01-05-Amendment of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.295: Process Details

### 5.18.3. Tasks and Events

#### Event Receive Export Declaration Amendment Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Declaration Amendment Request |
| Event Type | Message start event |
| Description | AES at the Office of Export receives a customs declaration amendment request from the Trader in an electronic format. |

| | |
|---|---|
| Previous Objects | Declarant/Representative |

Table 5.296: Event Receive Export Declaration  Amendment Request

### Task Validate Export Declaration Amendment Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Declaration Amendment Request |
| Task Type | Service task |
| Description | AES validates the received amendment/correction request against the business rules and conditions.<br>If the validation against the business rules and conditions fails, the amendment/correction request is rejected.<br>AES checks whether the amendment/correction request is lodged by the same trader who has lodged the customs declaration.<br>If the trader is not the same, AES rejects the amendment/correction request.<br>AES checks if the data elements, indicated in the amendment/correction request, are allowed to be amended/corrected.<br>If any of the data elements is not allowed to be amended/corrected, the amendment/correction request is rejected.<br>In case of correction request (correction of customs declaration lodged prior to the presentation of goods), this can be accepted if the movement state at the Customs Office of Export is 'Registered and Waiting for Presentation of goods'.<br>In case of amendment request, this can be accepted while the movement state at the Customs Office of Export is 'Accepted'. |
| Actor | AES |
| Input Data | |
| Output Data | Export Declaration Validation Result |
| Previous Objects | Receive Export Declaration  Amendment Request<br>Receive Export Declaration Correction Request |
| Information Exchange | Export Declaration Amendment |
| Requirements | AES_FUR_0088<br>AES_FUR_0085<br>AES_FUR_0087<br>AES_FUR_0086<br>AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.297: Task Validate Export Declaration Amendment Request

### Event Receive Export Declaration Correction Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Declaration Correction Request |
| Event Type | Conditional start event |
| Description | AES at the Office of Export receives a customs declaration correction request from the Trader in an electronic format. |
| Previous Objects | |

Table 5.298: Event Receive Export Declaration Correction Request

### Task Reject Export Declaration Amendment

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Reject Export Declaration Amendment |
| Task Type | Service task |
| Description | If the Declaration is rejected, (because the data were invalid) AES records the Declaration Amendment/Correction rejection. |
| Actor | AES |
| Input Data | E_EXP_AMD<br>Export Declaration Validation Result |
| Output Data | Export Declaration |
| Previous Objects | Export Declaration Amendment/Correction Request Valid?<br>All e-ADs received and Cross-Check Successful? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Customs Declaration Data Invalid |
| State | Accepted<br>Registered and Waiting for Presentation of Goods |

Table 5.299: Task Reject Export Declaration Amendment

### Task Notify Declarant/Representative of Export Declaration Amendment Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Declaration Amendment Rejection |
| Task Type | Send task |
| Description | When it is identified that the amendment/correction request is not valid, a notification IE will automatically be sent to the Exporter or Declarant informing about the rejection of the amendment request indicating the reasons for rejection (IE556). The original Export declaration (in case of multiple amendment requests, the latest accepted version of the declaration) remains valid. |
| Actor | AES |
| Input Data | MRN<br>E_EXP_AMD<br>Export Declaration |
| Output Data | |
| Previous Objects | Reject Export Declaration Amendment |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.300: Task Notify Declarant/Representative of Export Declaration Amendment Rejection

### Task Record Export Declaration Amendment/Correction Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Export Declaration Amendment/Correction Request |
| Task Type | Service task |
| Description | AES records the declaration amendment/correction request. |
| Actor | AES |
| Input Data | E_EXP_AMD |
| Output Data | E_EXP_AMD |
| Previous Objects | Export Declaration Amendment/Correction Request Valid? |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.301: Task Record Export Declaration Amendment/Correction Request

### Event Export Declaration Amendment/Correction Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Amendment/Correction Request Rejected |
| Event Type | End event |
| Description | Customs declaration amendment/correction request is rejected by the Customs Office of Export. |
| Previous Objects | Notify Declarant/Representative of Export Declaration Amendment Rejection |

Table 5.302: Event Export Declaration Amendment/Correction Request Rejected

### Task Identify Whether Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether the customs declaration contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-05-Handle Cancellation Request<br>L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration<br>LRN |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Record Export Declaration Amendment/Correction Request |
| Information Exchange | |
| Requirements | AES_FUR_0018 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.303: Task Identify Whether Export Declaration Contains ARC(s)

### Task Handle e-AD Request and Cross-Checking

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle e-AD Request and Cross-Checking |
| Task Type | Call activity (collapsed) |
| Description | Process Handle eAD Request is initiated. For details see L4-EXP-01-01-01-01. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.304: Task Handle e-AD Request and Cross-Checking

### Task Amend/Correct Export Declaration Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend/Correct Export Declaration Data |
| Task Type | Service task |
| Description | This task could be a manual task.<br>If the amendment request is valid and the customs declaration can be amended, AES accepts the amendment request and amends the customs declaration data accordingly.<br>If this is a manual task, then AES informs the Customs Officer about the amendment request. The Customs Officer accepts the amendment request and amends the customs declaration. These actions are recorded in AES.<br>The status of the customs declaration is set to 'Accepted' and the process |

| | |
|---|---|
| | L4-EXP-01-05-Amendment of the Export Declaration continues from the task 'Perform Risk Analysis'. Duties and taxes calculated on the basis of the previous version of the declaration must be cancelled and calculated again. |
| Actor | AES |
| Input Data | E_EXP_DAT<br>E_EXP_AMD |
| Output Data | Export Declaration |
| Previous Objects | All e-ADs received and Cross-Check Successful?<br>Export Declaration Contains ARC(s)? |
| Information Exchange | |
| Requirements | AES_FUR_0026 |
| Data Set | Export Declaration |
| Events | Customs Declaration Data Amended |
| State | Registered and Waiting for Presentation of Goods<br>Accepted |

Table 5.305: Task Amend/Correct Export Declaration Data

### Task Notify Exporter/Declarant of Amendment Request Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Exporter/Declarant of Amendment Request Acceptance |
| Task Type | Send task |
| Description | When the customs declaration is amended/corrected, a notification IE will automatically be sent to the Representative r or Declarant informing about the acceptance of the amendment/correction request (IE504). |
| Actor | AES |
| Input Data | E_EXP_AMD<br>MRN<br>Export Declaration |
| Output Data | |
| Previous Objects | Amend/Correct Export Declaration Data |
| Information Exchange | Export Declaration Amendment Acceptance |

| | |
|---|---|
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.306: Task Notify Exporter/Declarant of Amendment Request Acceptance

### Task Identify if the movement state is 'Accepted'

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if the movement state is 'Accepted' |
| Task Type | Service task |
| Description | AES identifies if the movement state is 'Accepted'. This identification is necessary because in case of accepting an Amendment Request which concerns an Export Declaration that contains ARC(s), AES should notify MSA of Export on the Acceptance via an IE539 message. |
| Actor | AES |
| Input Data | Export Declaration<br>MRN |
| Output Data | Result of Nature of Request Check |
| Previous Objects | Notify Exporter/Declarant of Amendment Request Acceptance |
| Information Exchange | |
| Requirements | AES_FUR_0113 |
| Data Set | |
| Events | |
| State | |

Table 5.307: Task Identify if the movement state is 'Accepted'

### Task Identify Whether Accepted Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Accepted Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether a customs declaration which has been accepted, contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level; in order to determine if the declared goods are under |

| | |
|---|---|
| | excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-03-Handle Exit Control Results [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Is the movement state 'Accepted'? |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.308: Task Identify Whether Accepted Export Declaration Contains ARC(s)

### Task Notify MSA of Export of Export Declaration Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Export Declaration Acceptance |
| Task Type | Send task |
| Description | AES informs the MSA of Export of the export declaration acceptance. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | Export Declaration<br>MRN |
| Output Data | |
| Previous Objects | Export Declaration Contains ARC(s)? |
| Information Exchange | Export Declaration Acceptance Notification to MSA of Export |

| | |
|---|---|
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.309: Task Notify MSA of Export of Export Declaration Acceptance

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Notify MSA of Export of Export Declaration Acceptance<br>Export Declaration Contains ARC(s)?<br>Is the movement  state 'Accepted'? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.310: Task Request and Record Risk Analysis for AES

### Event Export Declaration Amended/Corrected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Amended/Corrected |
| Event Type | End event |
| Description | The amendment/correction request has been accepted and the export declaration has been amended/corrected. |
| Previous Objects | Request and Record Risk Analysis for AES |

Table 5.311: Event Export Declaration Amended/Corrected

## 5.19. Process L4-EXP-01-07-01-Process Manifest Presentation

### 5.19.1. Objective of Process

AES determined that the goods can be released for Exit in process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods. The following start events may initiate the L4-EXP-01-07-01 Process Manifest Presentation model:

● ‘Goods Held for Storing’ start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. AES identified that ‘Goods Held for Storing’ based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit.

● ‘Receive Manifest Presentation in ‘Partially Exited’ state’ start event shall be used all the following times a 'Manifest Presentation' (IE547) is about to be received, while the movement is under state ‘Partially Exited’. This state change has occurred after the first 'Exit Notification' (IE590) is sent to the Trader at Exit at L4-EXP-01-07-02 Handle Exit Notification.

Thus, either a ‘Manifest Presentation’ (IE547) will be received while movement state is ‘Goods Held for Storing’ or while movement state is ‘Partially Exited’.

1. The manifest covering the goods of the export declaration is submitted via electronic means to the actual Customs Office of Exit and is registered there after validation. The validation process can be a completely automatic process. AES validates the submitted manifest against the business rules and conditions.

1.1. If the manifest presentation is invalid, AES rejects the submitted ‘Manifest Presentation’ (IE547) and notifies with ‘Manifest Validation’ (IE548) the Trader at Exit that the presentation is invalid with the reasons of the rejection. The movement state at the actual Customs Office of Exit remains is. AES continues to wait for a valid manifest presentation and the flow continues from point 1.

1.2. If the manifest presentation is valid, AES records the total or partial intended leave with the given means of transport and the goods covered by the export declaration. Then, one of the following occur:

1.2.1. If the manifest presentation covers only a part of the export declaration, the movement state shall change to ‘Goods Released for Immediate Leave (Partial)’. At the next time(s) that the L4-EXP-01-07-01 Process Manifest Presentation model will be initiated (loop modelled in the L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit) for the following Manifest(s), the state upon a valid receipt of a ‘Manifest Presentation’ (IE547) shall change to ‘Partially Exited’.

1.2.2. If the manifest presentation received covers the whole export declaration and this manifest is considered a valid one, then the goods are ready to immediately leave the Union and the movement state turns to ‘Goods Released for Immediate Leave’.

2. The Trader at Exit is notified consequently (IE548) and the process ends with 'Manifest Presentation Accepted' at this point. The process which initiated this process might continue.

Final situation:
The 'Manifest Presentation is Accepted' and the movement state has been changed to either 'Goods released for Immediate Leave' in case of manifest presentation covering the whole export declaration or to 'Partially Exited' in case of manifest presentation covers a part of the whole export declaration.

### 5.19.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-07-01 |
| Full Name | Process Manifest Presentation |
| Assumptions | N/A |
| Constraints | National variants are possible depending on the local organisations.<br>It must be possible to receive and process amendments of the presented manifest (i.e. mainly reduction of the cargo, and/or its increase). |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.312: Process Details

### 5.19.3. Tasks and Events

#### Event Goods Held for Storing

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Held for Storing |
| Event Type | Conditional start event |
| Description | AES identified that the goods are held for storing based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit. This start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification |
| Previous Objects | |

Table 5.313: Event Goods Held for Storing

#### Event Receive Manifest Presentation in 'Partially Exited' state

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Manifest Presentation in 'Partially Exited' state |

| | |
|---|---|
| Event Type | Message start event |
| Description | In case that more than one Manifests Presentation are to be prepared, the state upon all the following 'Manifest Presentation Notification' (IE547) received after the 1st valid one, shall be 'Partially Exited'. This state change has occurred after the first 'Exit Notification' (IE590) is sent to the Trader at Exit. |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification |
| Previous Objects | Trader at Exit |

Table 5.314: Event Receive Manifest Presentation in 'Partially Exited' state

### Event Receive Manifest Presentation

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Manifest Presentation |
| Event Type | Message intermediate event (catch) |
| Description | AES receives the Manifest from the Trader at Exit with information about the goods that are about to exit the customs territory. |
| Previous Objects | Trader at Exit<br>Goods Held for Storing<br>Notify Trader at Exit of Manifest Presentation Rejection |

Table 5.315: Event Receive Manifest Presentation

### Task Validate Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Manifest Presentation |
| Task Type | Service task |
| Description | AES checks if the received Manifest Presentation is valid or not. If the Manifest Presentation is invalid, AES rejects the notification, notifies the Trader at Exit with the reasons of rejection and continues to wait for a valid Manifest Presentation. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | |
| Output Data | Result of Manifest Presentation Validation Check |

| | |
|---|---|
| Previous Objects | Receive Manifest Presentation<br>Receive Manifest Presentation in 'Partially Exited' state |
| Information Exchange | Manifest Presentation |
| Requirements | AES_FUR_0001<br>AES_FUR_0087 |
| Data Set | |
| Events | |
| State | |

Table 5.316: Task Validate Manifest Presentation

### Task Record Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Manifest Presentation |
| Task Type | Service task |
| Description | AES records the Manifest Presentation. The Manifest Presentation can cover one or more manifest items. In this model, we exhibit the case of receiving a Manifest Presentation which concerns a specific MRN.<br>In case of storing:<br>a) if the Manifest Presentation covers only a part of the export declaration, the state is changed to 'Goods Released for Immediate Leave (Partial)'. At the next time(s) that this process runs for the following Manifest(s), the state upon a valid receipt of a Manifest Presentation shall be changed to 'Partially Exited'.<br>b) if the Manifest Presentation received covers the whole export declaration and this is valid after a check, the export state is turns from 'Goods Held for Storing' to 'Goods Released for Immediate Leave'. |
| Actor | AES |
| Input Data | MRN<br>E_MAN_PRE |
| Output Data | E_MAN_PRE<br>Export Declaration |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |

| | |
|---|---|
| Events | Manifest Presentation (for the whole movement) Valid<br>Manifest Presentation (covering part of the movement) Valid |
| State | Goods Release for Immediate Leave<br>Goods Released for Immediate Leave (Partial) |

Table 5.317: Task Record Manifest Presentation

### Task Notify Trader at Exit of Manifest Presentation Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Manifest Presentation is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Manifest Presentation by indicating the reasons for the rejection (IE548). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |
| Output Data | |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.318: Task Notify Trader at Exit of Manifest Presentation Rejection

### Task Notify Trader at Exit of Manifest Presentation Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Acceptance |

| | |
|---|---|
| Task Type | Send task |
| Description | When the Manifest Presentation is recorded, a notification IE will automatically be sent to the Trader at Exit informing about the acceptance of the Manifest Presentation (IE548). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |
| Output Data | |
| Previous Objects | Record Manifest Presentation |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.319: Task Notify Trader at Exit of Manifest Presentation Acceptance

### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |
| Event Type | End event |
| Description | AES accepted the Trader's Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Previous Objects | Notify Trader at Exit of Manifest Presentation Acceptance |

Table 5.320: Event Manifest Presentation Accepted

## 5.20. Process L4-EXP-01-07-02-Handle Exit Notification

### 5.20.1. Objective of Process

This process is initiated in one of the following cases:

● When AES determined that the goods can be released for Exit in process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods and the state is 'Goods Released for Immediate Leave' and the following L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Arrival is initiated.
● When a manifest presentation is accepted in L4-EXP-01-07-01-Process Manifest Presentation.
1. At both cases, one of the following cases is fulfilled:
1.1. The actual Office of Exit is notified with an 'Exit Notification' (IE590) by the Trader at Exit that the goods have left, either on the basis of the export document (MRN) or on the basis of the manifest linked to a given means of transport.
1.1.1. The process continues with the validation of the received 'Exit Notification' (IE590) by AES.
1.1.1.1. If the received 'Exit Notification' (IE590) is invalid, AES notifies Trader at Exit of Exit Notification rejection and flow loops back waiting for a new 'Exit Notification' (IE590). Process continues from Point 1.
1.1.1.2. If the received Exit Notification is valid, based on the export document MRN or on the MRNs/item numbers referred to in the manifest, AES records the actual exit of the goods from the Union. Total exit leads to movement state change to 'Exited' or, where the case occurs, the partial exit is recorded and movement state change or remains (in case of multiple manifests) to 'Partially Exited'.
1.2. AES receives the Exit Information that is available through the Commercial or Port or Transport System linked to the export document (MRN). Based on the received information MRN or on the MRNs/item numbers referred to in the manifest, AES records the actual exit of the goods from the Union. Total exit leads to movement state change to 'Exited' or, where the case occurs, the partial exit is recorded and movement state change or remains (in case of multiple manifests) to 'Partially Exited'.
2. AES identifies whether the Exit Information available at this point covers the whole movement, which leads to 'Exit Results Available' process end. If not, the process is finalised with 'Partially Exited' process end. At any case, the process which initiated this process might continue.
For each Export operation for which the movement state is set to 'Exited', the Customs Office of Exit sends an 'Exit Results' message (IE518) to the Customs Office of Export (see process L4-EXP-01-07-03-Send Exit Results). Otherwise, in case of 'Partially Exited' state, the Customs Office of Exit expects a new 'Manifest Presentation' (IE547) from the Trader at Exit (see process L4-EXP-01-07-01-Process Manifest Presentation).

Final situation:
● The goods covered by an export operation have left the Union totally, the movement state has turned to 'Exited' and the 'Exit Results are Available' to be sent.
● The goods covered by an export operation have left the Union partially, the movement state is set to 'Partially exited'. Partial exit is the procedure, where the whole consignment is in one location and is partially exited via manifest presentations.

### 5.20.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-07-02 |
| Full Name | Handle Exit Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.321: Process Details

### 5.20.3. Tasks and Events

#### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | Conditional start event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | |

Table 5.322: Event Goods Released for Immediate Leave

#### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |
| Event Type | Conditional start event |
| Description | AES accepted the Carrier’s Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification |
| Previous Objects | |

Table 5.323: Event Manifest Presentation Accepted

#### Event Exit Information Available Through Commercial or Port or Transport System

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Information Available Through Commercial or Port or Transport System |
| Event Type | Conditional intermediate event |
| Description | The Exit information is available to the customs authorities through existing commercial, port or transport information systems. The system will then record the available exit information. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration |
| Previous Objects | Manifest Presentation Accepted |

| | |
|---|---|
| | Goods Released for Immediate Leave<br>Notify Trader at Exit of Exit Notification Rejection |

Table 5.324: Event Exit Information Available Through Commercial or Port or Transport System

### Task Record Exit Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Information |
| Task Type | Service task |
| Description | When the exit Information is available through commercial or port or transport system it is automatically recorded in the AES System, linked to the relevant unique reference numbers. Total exit leads to movement state change to 'Exited' or, where the case occurs, the partial exit is recorded and movement state change or remains (in case of multiple manifests) to 'Partially Exited'. |
| Actor | AES |
| Input Data | E_MAN_PRE<br>Exit Information<br>MRN<br>E_MAN_VAL |
| Output Data | Result of Full Consignment Exited Check<br>Export Declaration |
| Previous Objects | Exit Information Available Through Commercial or Port or Transport System |
| Information Exchange | |
| Requirements | AES_FUR_0002<br>AES_FUR_0038 |
| Data Set | Export Declaration |
| Events | Last Exit Notification Received AND all Exit Notifications Received for the corresponding Manifest Presentations<br>Exit Notification Valid<br>Exit Notification (First Exit Notification Received AND Manifest Presentation has been Received for the specific Exit Notification) Valid<br>Exit Notification (other than the fist or the last received) Valid |
| State | Exited<br>Partially Exited |

Table 5.325: Task Record Exit Information

### Event Receive Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Notification |
| Event Type | Message intermediate event (catch) |
| Description | A notification IE is received by the authority in charge of verifying the actual exit of the goods from the Union to the Actual Office of Exit about the fact that the goods have left the Union. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration |
| Previous Objects | Manifest Presentation Accepted<br>Goods Released for Immediate Leave<br>Notify Trader at Exit of Exit Notification Rejection<br>Trader at Exit |

Table 5.326: Event Receive Exit Notification

### Task Validate Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Exit Notification |
| Task Type | Service task |
| Description | When the Exit Notification IE is received, it must be automatically validated.<br>Syntactic checks should include, at minimum:<br>- Data compliance to its associated Rules & Conditions. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification |
| Input Data | |
| Output Data | Exit Notification Validation Result |
| Previous Objects | Receive Exit Notification |
| Information Exchange | Exit Notification |
| Requirements | AES_FUR_0001 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.327: Task Validate Exit Notification

### Task Notify Trader at Exit of Exit Notification Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Exit Notification Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Exit Notification is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Exit Notification by indicating the reasons for the rejection (IE557). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration |
| Input Data | Exit Notification Validation Result<br>MRN<br>Manifest Number<br>E_EXT_NOT |
| Output Data | |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.328: Task Notify Trader at Exit of Exit Notification Rejection

### Task Record Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Notification |
| Task Type | Service task |
| Description | When the exit Information is available through the Exit Notification IE the data elements following are automatically recorded in AES linked to the reference number.AES records the actual exit of the goods from the Union. |

| | |
|---|---|
| | Total exit leads to movement state change to 'Exited' or, where the case occurs, the partial exit is recorded and movement state change or remains (in case of multiple manifests) to 'Partially Exited'. |
| Actor | AES |
| Input Data | MRN<br>E_EXT_NOT<br>Exit Notification Validation Result |
| Output Data | Export Declaration<br>Result of Full Consignment Exited Check<br>E_EXT_NOT |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0038<br>AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Last Exit Notification Received AND all Exit Notifications Received for the corresponding Manifest Presentations<br>Exit Notification (First Exit Notification Received AND Manifest Presentation has been Received for the specific Exit Notification) Valid<br>Exit Notification Valid<br>Exit Notification (other than the fist or the last received) Valid |
| State | Partially Exited<br>Exited |

Table 5.329: Task Record Exit Notification

### Task Identify if Exit Information refers to Partial Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Exit Information refers to Partial Exit |
| Task Type | Service task |
| Description | AES identifies whether the Exit Information available at this point covers the whole movement, which leads to 'Exit Results Available' process end. If not, the process is finalised with 'Partially Exited' process end. |
| Actor | AES |
| Input Data | Result of Full Consignment Exited Check<br>MRN |

| | |
|---|---|
| Output Data | Result of Full Consignment Exited Check |
| Previous Objects | Record Exit Information<br>Record Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0038 |
| Data Set | |
| Events | |
| State | |

Table 5.330: Task Identify if Exit Information refers to Partial Exit

### Event Exit Results Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available |
| Event Type | End event |
| Description | The actual Exit of the export operation has been recorded and the Exit results are available. |
| Previous Objects | Exit Information refers to Partial Exit? |

Table 5.331: Event Exit Results Available

### Event Partially Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Partially Exited |
| Event Type | End event |
| Description | In case of 'Partially Exited' state, the Customs Authorities expect a new Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration |
| Previous Objects | Exit Information refers to Partial Exit? |

Table 5.332: Event Partially Exited

## 5.21. Process L4-EXP-01-07-03-Send Exit Results

### 5.21.1. Objective of Process

This process starts when 'Exit Results Available' in L4-EXP-01-07-02-Handle Exit Notification. This can occur either when all the goods have exited from the Customs Office of Exit under the supervision of a competent customs officer or when the whole Exit information is made available about all the goods that have exited the customs territory for a specific movement ('Exit Notification' (IE590) received from the Trader at Exit or Exit Information available through Commercial or Port or Transport System).
If the Customs Office of Export is not the same as the Customs Office of Exit, AES in the Customs Office of Exit informs the Customs Office of Export of the Exit Results (IE518), the exit formalities are completed and the process ends at this point. The process which initiated this process might continue.
Final situation:

- The Customs Office of Exit informs the Customs Office of Export of the Exit Results (IE518) and the Exit Formalities are completed.

### 5.21.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-07-03 |
| Full Name | Send Exit Results |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.333: Process Details

### 5.21.3. Tasks and Events

#### Event Exit Results Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available |
| Event Type | Conditional start event |
| Description | Either the goods have exited form the Customs Office of Exit under the supervision of a competent customs officer or the Trader has exited under one or more manifests with the exit information being available for this/all these manifest(s). |
| Previous Objects | |

Table 5.334: Event Exit Results Available

#### Task Notify Customs Office of Export of Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Export of Exit Results |
| Task Type | Send task |
| Description | If the customs office of export is not the same as the Custom Office of Exit, a notification IE will automatically be sent to the Customs Office of Export informing of the Exit Results (IE518). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | Exit Control Result Information<br>MRN |
| Output Data | |
| Previous Objects | Is CO of Export  Same as CO of Exit? |
| Information Exchange | Exit Results<br>Destination Control Results |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.335: Task Notify Customs Office of Export of Exit Results

## Event Exit Formalities  Completed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Formalities  Completed |
| Event Type | End event |
| Description | The exit results were available and the exit formalities have been completed.. |
| Previous Objects | Notify Customs Office of Export of Exit Results<br>Is CO of Export  Same as CO of Exit? |

Table 5.336: Event Exit Formalities  Completed

## 5.22. Process L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit

### 5.22.1. Objective of Process

When the 'Exit Release Notification' (IE525) has been communicated to the Trader at Exit and the end result of L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods has ended with 'Goods Released for Exit', the process L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit will be initiated.

1. AES at the Customs Office of Exit identifies whether the Goods were released for immediate leave or were stored. One of the following cases might occur:

1.1. If the goods were released for immediate leave, i.e. the movement state is 'Goods Released for Immediate Leave', the process will continue directly to L4-EXP-01-07-02-Handle Exit Notification. Process continues from point 3.

1.2. If the goods were held for storing, i.e. the movement state is 'Goods Held for Storing', the L4-EXP-01-07-01-Process Manifest Presentation will be initiated. Process continues from point 2.

2. In the L4-EXP-01-07-01-Process Manifest Presentation, a successful validation of the received Manifest Presentation (IE547) by AES shall follow. If the received 'Manifest Presentation' (IE547) is invalid, AES loops back waiting for a new 'Manifest Presentation' (IE547) and informs the Trader at Exit about the negative results of the validation with 'Manifest Validation' (IE548). At any case, in the end the manifest presentation shall be accepted.

3. The Exit Information is provided successfully at the Customs Office of Exit in the L4-EXP-01-07-02-Handle Exit Notification. If the 'Exit Notification' (IE590) is invalid then AES loops back and waits for a new 'Exit Notification' (IE590). In all other cases, AES Records the Exit Notification. One of the following two might occur:

3.1. The process L4-EXP-01-07-02-Handle Exit Notification ends with 'Exit Results Available' in case of Total Exit information available and continues with L4-EXP-01-07-03-Send Exit Results (point 4).

3.2. The process L4-EXP-01-07-02-Handle Exit Notification ends with the movement state at the Customs Office of Exit changing to 'Partially exited' in case partial exit is concerned. The process goes back to point 2 with an arrival of a new 'Manifest Presentation' (IE547).

4. The process continues with the initiation of L4-EXP-01-07-03-Send Exit Results, where the Exit Results are sent to the Customs Office of Export and it reaches the final movement state 'Exited'. The process ends with 'Exit Formalities are Completed'.

Final Situation:
The Exit Formalities are Completed.

### 5.22.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-07 |
| Full Name | Customs Formalities at Office(s) of Exit-Process Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.337: Process Details

### 5.22.3. Tasks and Events

#### Task Identify if Goods shall be stored based on the Arrival at Exit information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods shall be stored based on the Arrival at Exit information |
| Task Type | Service task |
| Description | Based on the information on Arrival (Arrival at Exit - IE507), it shall be checked whether goods were requested to be stored or not.<br>In case goods are not stored, then movement state at Office of Exit has changed to 'Goods Released for Immediate Leave'.<br>Otherwise, in case goods are stored, then movement state at the Office of Exit has changed to 'Goods Held for Storing'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-Exit Summary Declaration-Process Exit |
| Input Data | E_EXT_REL |
| Output Data | Result of Storing prior to Exit Check |
| Previous Objects | Goods Released for Exit |
| Information Exchange | |
| Requirements | AES_FUR_0105 |
| Data Set | |
| Events | |
| State | |

Table 5.338: Task Identify if Goods shall be stored based on the Arrival at Exit information

#### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | Conditional start event |
| Description | Goods are allowed to be released for exit immediately or goods are allowed to be stored prior their exit, based on the 'Exit Release Notification' (IE525) sent to the Trader at Exit. |
| Previous Objects | |

Table 5.339: Event Goods Released for Exit

### Task Process Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Process Manifest Presentation |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-07-01-Process Manifest Presentation is initiated. |
| Actor | Customs Office of Exit |
| Input Data | |
| Output Data | |
| Previous Objects | Exit Results available or Partially Exited?<br>Goods Held for Storing or Goods Released for Immediate Leave? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.340: Task Process Manifest Presentation

### Task Handle Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Exit Notification |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-07-02-Handle Exit Notification is initiated. |
| Actor | Customs Office of Exit |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave?<br>Process Manifest Presentation |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.341: Task Handle Exit Notification

### Task Send Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Send Exit Results |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-07-03-Send Exit Results is initiated. |
| Actor | Customs Office of Exit |
| Input Data | |
| Output Data | |
| Previous Objects | Exit Results available or Partially Exited? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.342: Task Send Exit Results

### Event Exit Formalities Completed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Formalities Completed |
| Event Type | End event |
| Description | |
| Previous Objects | Send Exit Results |

Table 5.343: Event Exit Formalities Completed

## 5.23. Process L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative

### 5.23.1. Objective of Process

The process starts with the following start event:

- Enquiry Procedure was initiated by Trader and Exit Results have not been received (The movement is in state other than ‘Exited’, ‘Goods not Allowed to Exit’).

When AES at the Customs Office of Export identifies the above, the following occurs:

AES identifies the Enquiry Information Code declared in ‘Information on Non-Exited Export’ (IE583). Based on the identified Enquiry Information Code, the following may occur:

1. The Enquiry Information Code is 'Exited-Alternative Evidence'. The Customs Officer registers the decision on Alternative Evidence. AES records the decision on Alternative Evidence. At this point, the following may occur:
1.1 The provided Alternative Evidence is considered sufficient. The flow stops at this point. The initial process that called this process can now resume.
1.2 The provided Alternative Evidence is considered insufficient (or for the case of point 2 no alternative evidence was provided). AES at the Customs Office of Export notifies the Declarant/ Representative via an 'Rejection from Office of Export' (IE556) message. The flow stops at this point. The parent process that initiated this process can now resume.
2. The Enquiry Information Code is 'Exited- No Alternative Evidence'. The flow continues from point 1.2.

Final situation:

- The Alternative Evidence provided by the Trader is considered sufficient;

The Alternative Evidence provided by the Trader is considered insufficient or no alternative evidence at all was provided.

### 5.23.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-08-04 |
| Full Name | Handle Alternative Evidence provided by the Trader on his own Initiative |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-09-Enquiry Procedure |
| Existing Specifications | DDNXA-v5.13 |

Table 5.344: Process Details

### 5.23.3. Tasks and Events

#### Task Register Decision on Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision on Alternative Evidence |
| Task Type | User task |
| Description | The Customs Officer registers the alternative evidence provided by the trader. The customs officers also registers if the provided alternative evidence is sufficient to prove that goods have exited. |
| Actor | Customs Officer |
| Appears also in the following | L4-EXP-01-27-Handle Goods Movement Additional Information |

| | |
|---|---|
| processes | |
| Input Data | E_EXP_RSP<br>MRN |
| Output Data | Result of Alternative Evidence Sufficient Check |
| Previous Objects | Identify Enquiry  Information Code |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.345: Task Register Decision on  Alternative Evidence

### Event Enquiry Procedure was Initiated by Trader and Exit Results have not been Received (The movement is in state other than "Exited", "Goods Not Allowed to Exit")

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Enquiry Procedure was Initiated by Trader and Exit Results have not been Received (The movement is in state other than "Exited", "Goods Not Allowed to Exit") |
| Event Type | Conditional start event |
| Description | The Trader has provided Additional Information on the Goods through an IE583 message on his own initiative. |
| Previous Objects | |

Table 5.346: Event Enquiry Procedure was Initiated by Trader and Exit Results have not been Received (The movement is in state other than "Exited", "Goods Not Allowed to Exit")

### Task Identify Enquiry Information Code

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Enquiry Information Code |
| Task Type | Service task |
| Description | AES at the Customs Office of Export identifies the Enquiry Information Code declared in IE583 message "Information on non-Exited Export" that was sent by the Declarant/ Representative. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information |

| | |
|---|---|
| Input Data | E_EXP_RSP |
| Output Data | Enquiry Information Code |
| Previous Objects | Enquiry Procedure was Initiated by Trader and Exit Results have not been Received (The movement is in state other than "Exited", "Goods Not Allowed to Exit") |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.347: Task Identify Enquiry Information Code

### Task Record Decision Whether Alternative Evidence is Sufficient

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision Whether Alternative Evidence is Sufficient |
| Task Type | Service task |
| Description | AES validates and records the provided alternative evidence. If the evidence is sufficient, the certification of exit process is initiated. If the provided evidence is not sufficient, AES at the Customs Office of Export notifies the Trader via an IE556. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information |
| Input Data | MRN<br>Result of Alternative Evidence Sufficient Check |
| Output Data | Result of Alternative Evidence Sufficient Check |
| Previous Objects | Register Decision on  Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.348: Task Record Decision Whether Alternative Evidence is Sufficient

## Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Invalid Information on non-Exited Goods |
| Task Type | Send task |
| Description | When Information on non-Exited Export is found invalid, AES at the Customs Office of Export notifies the Declarant/ Representative via an IE556 rejection message. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |
| Input Data | E_EXP_RSP<br>Result of Alternative Evidence Sufficient Check<br>Additional Information about Movement of Goods Validation Result |
| Output Data | |
| Previous Objects | Identify Enquiry Information Code<br>Alternative Evidence is Sufficient? |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.349: Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

## Event Sufficient Alternative Evidence Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available |
| Event Type | End event |
| Description | The provided alternative evidence is considered sufficient in order to confirm the exit of the goods. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |

| | |
|---|---|
| Previous Objects | Alternative Evidence is Sufficient? |

Table 5.350: Event Sufficient Alternative Evidence Available

### Event Information on Non-Exited Export Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Information on Non-Exited Export Rejected |
| Event Type | End event |
| Description | AES at the Customs Office of Export rejects the Information on Non-Exited Export received by the Trader. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure |
| Previous Objects | Notify Declarant/Representative of Invalid Information on non-Exited Goods |

Table 5.351: Event Information on Non-Exited Export Rejected

## 5.24. Process L4-EXP-01-08-05-Extend Time Limit to Receive Alternative Evidence

### 5.24.1. Objective of Process

The process starts as follows:

-Decision to Extend the Time Limit to receive Alternative Evidence.

When AES identifies the above, the following occurs:
The Customs Officer has decided to extend the time limit to Receive Alternative Evidence. The Customs Officer registers the decision to extend the time limit to Receive Alternative Evidence, AES records this decision and the flow stops at this point. The time limit to Receive Alternative Evidence is extended and the initial process that called this process may now resume.

Final Situation:

- Time Limit to Receive Alternative Evidence is extended.

### 5.24.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-08-05 |
| Full Name | Extend Time Limit to Receive Alternative Evidence |
| Assumptions | N/A |
| Constraints | No constraints. |

| | |
|---|---|
| L3 Reference Models | L3-EXP-01-09-Enquiry Procedure |
| Existing Specifications | DDNXA-v5.13 |

Table 5.352: Process Details

### 5.24.3. Tasks and Events

#### Event Decision to Extend the Time Limit to Receive Alternative Evidence

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Decision to Extend the Time Limit to Receive Alternative Evidence |
| Event Type | Conditional start event |
| Description | The Customs Officer at the Customs Office of Export decides to extend the time limit to receive alternative evidence. |
| Previous Objects | |

Table 5.353: Event Decision to Extend the Time Limit to Receive Alternative Evidence

#### Task Register the Extension of the Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register the Extension of the Time Limit to Receive Alternative Evidence |
| Task Type | User task |
| Description | The Customs Officer at the Customs Office of Export registers the extension of the time limit to receive alternative evidence. |
| Actor | Customs Officer |
| Input Data | Export Declaration<br>Time Limit to Receive Alternative Evidence Expiry Date |
| Output Data | Time Limit to Receive Alternative Evidence Expiry Date |
| Previous Objects | Decision to Extend the Time Limit to Receive Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0114 |
| Data Set | |
| Events | |
| State | |

Table 5.354: Task Register the Extension of the Time Limit to Receive Alternative Evidence

#### Task Record the Extension of the Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record the Extension of the Time Limit to Receive Alternative Evidence |
| Task Type | Service task |
| Description | AES at the Customs Office of Export records the extension of the time limit to receive alternative evidence. |
| Actor | AES |
| Input Data | Time Limit to Receive Alternative Evidence Expiry Date |
| Output Data | Time Limit to Receive Alternative Evidence Expiry Date |
| Previous Objects | Register the Extension of the Time Limit to Receive Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0114 |
| Data Set | |
| Events | |
| State | |

Table 5.355: Task Record the Extension of the Time Limit to Receive Alternative Evidence

### Event Time Limit to Receive Alternative Evidence Extended

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Alternative Evidence Extended |
| Event Type | End event |
| Description | The time limit to receive Alternative Evidence has been extended. |
| Previous Objects | Record the Extension of the Time Limit to Receive Alternative Evidence |

Table 5.356: Event Time Limit to Receive Alternative Evidence Extended

## 5.25. Process L4-EXP-01-08-Enquiry Procedure

### 5.25.1. Objective of Process

The process of Enquiry procedure may be initiated in two possible ways with two start events:

- Time Limit Expiry to Receive Exit Results;
- Information on Non-Exited Export Received (at earlier 10 days after the release of the movement).

When AES at the Customs Office of Export identifies one of the above, the following cases might occur:

1. The time limit to receive Exit Results expires after 90 days following the release of the goods and AES at the Customs Office of Export records this time limit expiration. Following this, the Customs Officer acknowledges the time limit expiration and registers the decision to initiate the Enquiry Procedure. At this point, the flow of the 1st case merges with the case of 2.1 and both flows continue similarly. Subsequently,

AES records the initiation of the Enquiry Procedure. Following this, the 'L4-EXP-01-17-Operation Status Check' process is executed. After the execution of the operation status check mechanism, at this point, AES checks whether the Exit Results were sent to the Customs Office of Export. The two following cases may occur:
1.1. The Exit Results have been received by the Customs Office of Export. The process ends here. The process that has called the Enquiry Procedure process can now continue.
1.2. The Exit Results have not been received by the Customs Office of Export. At this point, AES at the Customs Office of Export identifies whether the Trader provided on his own initiative Additional Information regarding the movement. The following cases may occur:
1.2.1. If the Trader did not provide on his own initiative Additional Information regarding the movement of the goods via an 'Information on Non-Exited Export' (IE583), meaning that the Enquiry Procedure was initiated by the Customs Officer due to the expiry of the time limit to Receive Exit Results, the process L4-EXP-01-27-Handle Goods Movement Additional Information is initiated. Upon the execution of this process, the flow stops here and one of the following might have occurred:
1.2.1.1. The 'Exit Results' (IE518) have been received at the Customs Office of Export;
1.2.1.2. Sufficient Alternative Evidence is available at the Customs Office of Export and thus can certify the exit of the goods;
1.2.1.3. Enquiry Information Code submitted in the 'Information on Non-Exited Export' (IE583) by the Trader was 'Will not Exit';
1.2.1.4. The time limit to Receive Alternative Evicende has expired.
1.2.2. If the Trader provided additional information on the movement of the goods on his own initiative via an 'Information on Non-Exited Export' (IE583), the process 'L4-EXP-01-08-04-Handle Alternative Evidence' is initiated. Upon the execution of this process, the flow stops here and one of the following might occur:
1.2.2.1. Sufficient Alternative Evidence is available at the Customs Office of Export and thus can certify the exit of the goods;
1.2.2.2. The provided Alternative Evidence was found insufficient or no alternative evidence was provided.
2. The Trader sends on his own initiative 'Information on Non-Exited Export' (IE583) to AES at the Customs Office of Export. AES validates the received 'Information on Non-Exited Export' (IE583) message. The two following cases may occur:
2.1. The validation of the received 'Information on Non-Exited Export' (IE583) is successful and AES records the IE583 and the flow continues from point 1, from the point when the AES records the initiation of the Enquiry Procedure.
2.2. The validation of the received 'Information on Non-Exited Export' (IE583) is not successful. AES at the Customs Office of Export notifies the Declarant/ Representative with a 'Rejection from Office of Export' (IE556). The flow stops at this point.

Final Situation:
- The Trader has sent on his own initiative an 'Information on Non-Exited Export' (IE583) message which was validated and found invalid by AES at the Customs Office of Export. The 'Information on Non-Exited Export' (IE583) message is rejected;
- Following the Operation Status Check mechanism execution, the exit results are available at the Customs Office of Export;
- Sufficient Alternative Evidence is available at the Customs Office of Export, thus the exit of the goods can be certified;
- The Enquiry Information Code mentioned in the 'Information on Non-Exited Export' (IE583) message sent by the Trader is 'Will not Exit';
- The time limit to receive Alternative Evidence has expired;
- The Trader has provided on his own initiative sufficient alternative evidence which is available at the Customs Office of Export so as to be able to certify the exit of the goods;

- The Trader on his own initiative has either provided alternative evidence which was found insufficient by the Customs Officer or did not provide alternative evidence while indicating that the goods had already exited.

### 5.25.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-08 |
| Full Name | Enquiry Procedure |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-09-Enquiry Procedure |
| Existing Specifications | DDNXA-v5.13 |

Table 5.357: Process Details

### 5.25.3. Tasks and Events

#### Task Acknowledge Expiry of Time Limit to Receive Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acknowledge Expiry of Time Limit to Receive Exit Results |
| Task Type | User task |
| Description | The competent Customs Officer acknowledges the timer expiry to receive exit results from the Customs Office of Exit. The Customs Officer may start an enquiry procedure to check the status of the goods and whether the goods have already exited or not. |
| Actor | Customs Officer |
| Input Data | Timer to Receive Exit Results Expiry Date<br>MRN |
| Output Data | MRN<br>Timer to Receive Exit Results Expiry Date |
| Previous Objects | Record Expiry of Timer Limit to Receive Exit Results |
| Information Exchange | |
| Requirements | AES_FUR_0004 |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.358: Task Acknowledge Expiry of Time Limit to Receive Exit Results

### Task Register Decision to Start Enquiry Procedure

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision to Start Enquiry Procedure |
| Task Type | User task |
| Description | The competent Customs Officer registers in the system the decision to start or not the Enquiry Procedure. |
| Actor | Customs Officer |
| Input Data | MRN<br>Timer to Receive Exit Results Expiry Date<br>Export Declaration |
| Output Data | Timer to Receive Exit Results Expiry Date<br>Enquiry Procedure Decision |
| Previous Objects | Acknowledge Expiry of Time Limit to Receive Exit Results |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.359: Task Register Decision to Start Enquiry Procedure

### Task Record Expiry of Timer Limit to Receive Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Timer Limit to Receive Exit Results |
| Task Type | Service task |
| Description | AES records the expiry of the time limit to receive exit results. |
| Actor | AES |
| Input Data | Export Declaration<br>Timer to Receive Exit Results Expiry Date |
| Output Data | Timer to Receive Exit Results Expiry Date |

| | |
|---|---|
| Previous Objects | Time Limit Expiry to Receive Exit Results |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.360: Task Record Expiry of Timer Limit to Receive Exit Results

### Event Time Limit Expiry to Receive Exit Results

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit Expiry to Receive Exit Results |
| Event Type | Timer start event |
| Description | The time allotted for the receipt of the exit confirmation has expired. This time-out indicates that Enquiry Procedure may be initiated. The value of this “timer” may be manually adjusted by a Customs Officer, where necessary. When the exit confirmation is received from the Office of Exit, or when the export operation is cancelled, the timer is stopped. |
| Previous Objects | |

Table 5.361: Event Time Limit Expiry to Receive Exit Results

### Task Record Decision to Start Enquiry Procedure

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision to Start Enquiry Procedure |
| Task Type | Service task |
| Description | AES records the decision to start or not the Enquiry Procedure. |
| Actor | AES |
| Input Data | Enquiry Procedure Decision<br>MRN |
| Output Data | Enquiry Procedure Decision |
| Previous Objects | Register Decision to Start Enquiry Procedure |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.362: Task Record Decision to Start Enquiry Procedure

### Task Record Start of Enquiry Procedure

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Start of Enquiry Procedure |
| Task Type | Service task |
| Description | AES records the decision to start the enquiry procedure. |
| Actor | AES |
| Input Data | Enquiry Procedure Start Date<br>MRN<br>Enquiry Procedure Decision |
| Output Data | Enquiry Procedure Decision<br>Enquiry Procedure Start Date |
| Previous Objects | Record Information on Non-Exited Export<br>Record Decision to Start Enquiry Procedure |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.363: Task Record Start of Enquiry Procedure

### Task Validate Information on Non-Exited Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Information on Non-Exited Export |
| Task Type | Service task |
| Description | AES validates the received Information on Non-Exited Export against the business rules and conditions. If the validation against the business rules and conditions fails, the Information on Non-Exited Export is rejected. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information |
| Input Data | |

| | |
|---|---|
| Output Data | Additional Information about Movement of Goods Validation Result |
| Previous Objects | Information on Non-Exited Export Received (at earlier 10 days after the release of the movement) |
| Information Exchange | Information About Non-Exited Export |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.364: Task Validate Information on Non-Exited Export

### Event Information on Non-Exited Export Received (at earlier 10 days after the release of the movement)

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Information on Non-Exited Export Received (at earlier 10 days after the release of the movement) |
| Event Type | Message start event |
| Description | The Trader sends on his own initiative an Information on Non-Exited Export message to AES at the Customs Office of Export for communicating the exit of the goods. The Trader can send this message at earlier 10 days after the release of the movement. |
| Previous Objects | Declarant/Representative |

Table 5.365: Event Information on Non-Exited Export Received (at earlier 10 days after the release of the movement)

### Task Record Information on Non-Exited Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Information on Non-Exited Export |
| Task Type | Service task |
| Description | |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information |
| Input Data | E_EXP_RSP<br>Additional Information about Movement of Goods Validation Result |
| Output Data | Additional Information about Movement of Goods Validation Result |

| | |
|---|---|
| | E_EXP_RSP |
| Previous Objects | Information on Non-Exited Export valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.366: Task Record Information on Non-Exited Export

### Task Operation Status Check

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Operation Status Check |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-17 |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process<br>L4-EXP-01-26-Export Declaration Invalidation |
| Input Data | |
| Output Data | |
| Previous Objects | Record Start of Enquiry Procedure |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.367: Task Operation Status Check

### Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Invalid Information on non-Exited Goods |
| Task Type | Send task |

| | |
|---|---|
| Description | When Information on non-Exited Export is found invalid, AES at the Customs Office of Export notifies the Declarant/ Representative via an IE556 rejection message. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information<br>L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Input Data | E_EXP_RSP<br>Result of Alternative Evidence Sufficient Check<br>Additional Information about Movement of Goods Validation Result |
| Output Data | |
| Previous Objects | Information on Non-Exited Export valid? |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.368: Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

### Task Identify whether the Trader provided Additional Information on his own initiative

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether the Trader provided Additional Information on his own initiative |
| Task Type | Service task |
| Description | AES at the Customs Office of Export identifies whether the Trader provided Additional Information regarding the goods via an IE583 (Information on Non-Exited Export) message on his own initiative. |
| Actor | AES |
| Input Data | Additional Information about Movement of Goods Validation Result<br>E_EXP_RSP |
| Output Data | Trader Provided Additional Information on his own Initiative Result |
| Previous Objects | Exit Results received? (The movement is in state 'Exited', 'Goods not Allowed to Exit'?) |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0046 |
| Data Set | |
| Events | |
| State | |

Table 5.369: Task Identify whether the Trader provided Additional Information on his own initiative

### Event Information on Non-Exited Export Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Information on Non-Exited Export Rejected |
| Event Type | End event |
| Description | AES at the Customs Office of Export rejects the Information on Non-Exited Export received by the Trader. |
| Appears also in the following processes | L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Previous Objects | Notify Declarant/Representative of Invalid Information on non-Exited Goods |

Table 5.370: Event Information on Non-Exited Export Rejected

### Task Handle Goods Movement Additional Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Goods Movement Additional Information |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-08-01-Handle Goods Movement Additional Information is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Additional Information was provided by Trader on his own initiative? |
| Information Exchange | |
| Requirements | |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.371: Task Handle Goods Movement Additional Information

### Task Handle Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Alternative Evidence |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-08-04-Handle Alternative Evidence is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Additional Information was provided by Trader on his own initiative? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.372: Task Handle Alternative Evidence

### Event Exit Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Received |
| Event Type | End event |
| Description | AES at the Customs Office of Export has received the Exit Results from the Customs Office of Exit. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |
| Previous Objects | Exit Results received? (The movement is in state 'Exited', 'Goods not Allowed to Exit'?) |

Table 5.373: Event Exit Results Received

### Event Exit Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Exit Results Received |
| Event Type | End event |
| Description | AES at the Customs Office of Export has received the Exit Results from the Customs Office of Exit. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.374: Event Exit Results Received

### Event Sufficient Alternative Evidence Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available |
| Event Type | End event |
| Description | The provided alternative evidence is considered sufficient in order to confirm the exit of the goods. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information<br>L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.375: Event Sufficient Alternative Evidence Available

### Event Enquiry Information code was 'Will not Exit'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Enquiry Information code was 'Will not Exit' |
| Event Type | End event |
| Description | The Declarant/ Representative informed the Customs Office of Export that the goods will not exit the Customs Territory of the Union. |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.376: Event Enquiry Information code was 'Will not Exit'

### Event Time Limit To Receive Alternative Evidence expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit To Receive Alternative Evidence expired |
| Event Type | End event |
| Description | The time limit to receive Alternative Evidence has expired. |
| Appears also in the following processes | L4-EXP-01-27-Handle Goods Movement Additional Information<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.377: Event Time Limit To Receive Alternative Evidence expired

### Event Sufficient Alternative Evidence Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available |
| Event Type | End event |
| Description | The provided alternative evidence is considered sufficient in order to confirm the exit of the goods. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information<br>L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Previous Objects | Handle Alternative Evidence |

Table 5.378: Event Sufficient Alternative Evidence Available

### Event Rejected after Insufficient or No Alternative Evidence

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Rejected after Insufficient or No Alternative Evidence |
| Event Type | End event |
| Description | The provided alternative evidence is considered insufficient or alternative evidence was not provided, thus the additional information message sent by the Declarant/ Representative is rejected by the Customs Office of Export. |
| Previous Objects | Handle Alternative Evidence |

Table 5.379: Event Rejected after Insufficient or No Alternative Evidence

## 5.26. Process L4-EXP-01-10-02-Register and Record Control Decision-Exit Summary Declaration

### 5.26.1. Objective of Process

The process L4-EXP-01-10-02- Register and Record Control Decision-Exit Summary Declaration is initiated with the registered decision of the Customs Officer on whether to control the goods.
Two cases may occur:
1.The Customs Officer registers the decision to control the goods:
The Customs Officer registers the decision to control in AES and the type of controls to be performed. The movement state is set to 'Under Control'. The trader at exit and, where different, also the party lodging the EXS, is notified (IE561) of the decision to control as he is the person lodging the exit summary declaration, in order to allow him to be present during the control. The process which initiated this process can now continue.
2.The Customs Officer registers decision not to control the goods:
The Customs Officer records in AES the decision not to control the consignment. The goods are ready to be released for exit. The movement state is set to 'Goods ready to be released'. The process which initiated this process can now continue.

Final situation:
One of the following decisions is registered: no control at all, the movement state is set to "Goods ready to be released", or a control will be performed, the movement state is set to ‘Under Control’.

### 5.26.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10-02 |
| Full Name | Register and Record Control Decision-<br>Exit Summary Declaration |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.380: Process Details

### 5.26.3. Tasks and Events

#### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.381: Event Risk Analysis Results Recorded

## Task Register Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for AES |
| Task Type | User task |
| Description | Based on the risk analysis result the customs officer decides whether or not to control the goods. The decision is registered in AES. If the decision is taken to control the goods, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check). |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | Risk Analysis Result<br>MRN |
| Output Data | Control Decision |
| Previous Objects | Risk Analysis Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.382: Task Register Control Decision for AES

## Task Record Decision Whether to Control the Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision Whether to Control the Goods |
| Task Type | Service task |
| Description | AES records the decision of the customs officer regarding the control of the goods.<br>Movement state is set to 'Under Control' if the decision was to control the goods. If the decision of the customs officer is not to control the goods, the movement state is set to 'Goods Allowed to Leave'. |

| | |
|---|---|
| Actor | AES |
| Input Data | MRN<br>Control Decision |
| Output Data | Exit Summary Declaration<br>Control Decision |
| Previous Objects | Register Control Decision for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002<br>AES_FUR_0039 |
| Data Set | Exit Summary Declaration |
| Events | Decision to Control the Goods<br>Decision Not to Control the Goods |
| State | Goods Ready to be Released<br>Under Control |

Table 5.383: Task Record Decision Whether to Control the Goods

### Task Notify Trader at Exit of Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Controls |
| Task Type | Send task |
| Description | AES informs the Trader about the decision of the Customs Office of Exit to control the goods. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-02-Decide to Control-Re-Export Notification |
| Input Data | Exit Summary Declaration Information<br>MRN<br>Re-export Notification Information<br>Control Decision |
| Output Data | |
| Previous Objects | Goods To Be Controlled? |
| Information Exchange | Exit Control Decision Notification |

| | |
|---|---|
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.384: Task Notify Trader at Exit of Controls

### Event Decision Not to Control The Goods Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Decision Not to Control The Goods Recorded |
| Event Type | End event |
| Description | |
| Previous Objects | Goods To Be Controlled? |

Table 5.385: Event Decision Not to Control The Goods Recorded

### Event Decision to Control the Goods Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Decision to Control the Goods Recorded |
| Event Type | End event |
| Description | The necessary control notifications to office of lodgement (if necessary) and to Trader have been sent. |
| Previous Objects | Notify Trader at Exit of Controls |

Table 5.386: Event Decision to Control the Goods Recorded

## 5.27. Process L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration

### 5.27.1. Objective of Process

1. Goods are presented to the Office of Exit and two cases may occur:
1.1. Trader at Exit lodges the 'Arrival at Exit' (IE507) notification and AES validates the Arrival at Exit Notification.
Two cases may occur:
1.1.1. 'Arrival at Exit' (IE507) notification is valid and the process continues from point 2.
1.1.2. 'Arrival at Exit' (IE507) notification is invalid, AES notifies the Trader at Exit with 'Rejection from Office of Exit' (IE557) and the outcome of this process is 'Arrival at Exit Notification Rejected'. The process which initiated this process can now continue.
1.2. Customs Officer at Customs Office of Exit registers the Arrival at Exit Notification, AES records the Arrival at Exit Notification and the process continues from point 2.
2. AES identifies if EXS data is available.

Two cases may occur:
2.1. EXS data is available so AES records the Arrival at Exit Notification and the outcome of this process is 'Goods Presented at Exit'. The process which initiated this process can now continue.
2.2. EXS data is not available so the outcome of this process is 'EXS not available'. The process which initiated this process can now continue.

Final situation:
- If the exit summary declaration data is available at the Customs Office of Exit, the movement state is set to 'Goods Presented at Exit'.
- If the exit summary declaration data is not available at the Customs Office of Exit, the outcome of the process is 'EXS not available'.

If the Arrival at Exit Notification is invalid, AES notifies the Trader at Exit and the outcome of the process is 'Arrival at Exit Notification Rejected'.

### 5.27.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10-03 |
| Full Name | Process Arrival Notification-Exit Summary Declaration |
| Assumptions | In case of international diversion the Customs Office of Exit is the Actual Customs Office of Exit, different from the customs office initially declared in the exit summary declaration. |
| Constraints | The Office of Exit may not know whether the MRN provided in the arrival notification (IE507) relates to an Exit Summary declaration. If AES can not find the data related to the provided MRN, a declaration request (IE502) is sent in any case. This process is therefore used for exit summary declarations processing. |
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.387: Process Details

### 5.27.3. Tasks and Events

#### Event Arrival at Exit Registered by a Customs Officer

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit Registered by a Customs Officer |
| Event Type | Conditional start event |
| Description | The goods physically arrive at the Customs Office of Exit where they will be registered by the competent Customs Officer. |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Previous Objects | |

Table 5.388: Event Arrival at Exit Registered by a Customs Officer

## Task Register Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Arrival at Exit |
| Task Type | User task |
| Description | Customs Officer at the Customs Office of Exit manually registers the arrival of the goods at exit. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Input Data | Export Declaration Information<br>MRN<br>Exit Summary Declaration Information |
| Output Data | Arrival at Exit Information |
| Previous Objects | Arrival at Exit Registered by a Customs Officer |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.389: Task Register Arrival at Exit

## Task Validate Arrival at Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Arrival at Exit Notification |
| Task Type | Service task |
| Description | AES validates the received arrival at exit notification against business rules and conditions. If the validation fails, the exit notification is rejected and the Trader is informed. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |

| | |
|---|---|
| Input Data | |
| Output Data | Arrival at Exit Notification Information Validation Result |
| Previous Objects | Arrival at Exit Notification Received |
| Information Exchange | Arrival at Exit |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.390: Task Validate Arrival at Exit Notification

### Event Arrival at Exit Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit Notification Received |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.391: Event Arrival at Exit Notification Received

### Task Record Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Arrival at Exit |
| Task Type | Service task |
| Description | AES records the arrival at exit notification received from the trader in an electronic format or registered by the customs officer at the Customs Office of Exit. The movement state is changed to 'Goods presented at exit'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Input Data | E_ARR_EXT<br>Arrival at Exit Notification Information Validation Result |
| Output Data | E_ARR_EXT<br>Exit Summary Declaration |
| Previous Objects | Register Arrival at Exit |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Exit Summary Declaration |
| Events | Arrival at Exit Notification Valid AND EXS available |
| State | Goods Presented at Exit |

Table 5.392: Task Record Arrival at Exit

### Task Check if EXS Is Available

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Check if EXS Is Available |
| Task Type | Service task |
| Description | AES checks if the EXS is already available in the system. If not; the EXS is requested from the customs office of export. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Result of AER Availability Check |
| Previous Objects | Arrival at Exit Notification Valid?<br>Record Arrival at Exit |
| Information Exchange | |
| Requirements | AES_FUR_0121 |
| Data Set | |
| Events | |
| State | |

Table 5.393: Task Check if EXS Is Available

### Task Notify Trader at Exit with Rejection from Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit with Rejection from Office of Exit |
| Task Type | Send task |

| | |
|---|---|
| Description | When it is identified that the received arrival at exit notification is invalid, a notification IE will automatically be sent to the Trader at Exit informing that the arrival at exit notification has been rejected, including the reasons of that rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Input Data | Arrival at Exit Notification Information Validation Result<br>MRN<br>E_ARR_EXT |
| Output Data | |
| Previous Objects | Arrival at Exit Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.394: Task Notify Trader at Exit with Rejection from Office of Exit

### Task Record Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Arrival at Exit |
| Task Type | Service task |
| Description | AES records the arrival at exit notification received from the trader in an electronic format or registered by the customs officer at the Customs Office of Exit. The movement state is changed to 'Goods presented at exit'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Input Data | E_ARR_EXT<br>Arrival at Exit Notification Information Validation Result |
| Output Data | E_ARR_EXT<br>Exit Summary Declaration |
| Previous Objects | Is EXS Available? |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Exit Summary Declaration |
| Events | Arrival at Exit Notification Valid AND EXS available |
| State | Goods Presented at Exit |

Table 5.395: Task Record Arrival at Exit

### Event EXS not available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | EXS not available |
| Event Type | End event |
| Description | EXS data is not available so AES will request the EXS data from the Customs Office of Lodgement. |
| Previous Objects | Is EXS Available? |

Table 5.396: Event EXS not available

### Event Goods Presented at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Presented at Exit |
| Event Type | End event |
| Description | The goods have been successfully presented at the Office of Exit. |
| Appears also in the following processes | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration<br>L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Previous Objects | Record Arrival at Exit |

Table 5.397: Event Goods Presented at Exit

### Event Arrival at Exit Notification Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit Notification Rejected |
| Event Type | End event |
| Description | The Arrival at Exit Notification is rejected by AES. |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Previous Objects | Notify Trader at Exit with Rejection from Office of Exit |

Table 5.398: Event Arrival at Exit Notification Rejected

## 5.28. Process L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration

### 5.28.1. Objective of Process

1. The Customs Office of Exit receives the response from the Customs Office of Lodgement regarding a requested Exit Summary Declaration.
2. AES records the Exit Summary Declaration Data Response. Based on whether the response was positive or negative, two cases may occur:
2.1. If the Exit Summary Declaration Data is available, the movement state is changed to 'Goods presented at Exit'.
2.2. If the Exit Summary Declaration Data is not available, AES notifies the Trader about the rejection with a 'Diversion Rejection Notification' (IE521) and the movement state is changed to 'Diversion Rejected'.
The process which initiated this process can now continue.

Final situation:
● If the Exit Summary Declaration Data Response is positive the movement state is changed to 'Goods presented at Exit'.
If the Exit Summary Declaration Data Response is negative, the Trader at Exit is informed accordingly, and the movement state is changed to 'Diversion Rejected'.

### 5.28.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10-04 |
| Full Name | Handle Declaration Request Response-Exit Summary Declaration |
| Assumptions | N/A |
| Constraints | The AES of the Office of Exit having previously sent out a declaration request (IE502) must be able to receive and process following possible responses:<br>- IE603, including the requested Exit summary declaration data or |

| | |
|---|---|
| | - IE603, not including the requested Exit summary declaration data. |
| L3 Reference Models | L3-EXP-01-26-Diversion |
| Existing Specifications | DDNXA-v5.13 |

Table 5.399: Process Details

### 5.28.3. Tasks and Events

#### Event Exit Summary Declaration Data Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Data Received |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.400: Event Exit Summary Declaration Data Received

#### Task Record Exit Summary Declaration Data Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Summary Declaration Data Response |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit records the Exit Summary Declaration received from the Office of Lodgement. |
| Actor | AES |
| Input Data | |
| Output Data | Exit Summary Declaration<br>C_EXS_RSP |
| Previous Objects | Exit Summary Declaration Data Received |
| Information Exchange | Exit Summary Declaration Response |
| Requirements | AES_FUR_0002 |
| Data Set | Exit Summary Declaration |
| Events | Exit Summary Declaration Response Negative<br>Exit Summary Declaration Response Positive |
| State | Diversion Rejected<br>Goods Presented at Exit |

| | |
|---|---|
| | |

Table 5.401: Task Record Exit Summary Declaration Data Response

### Task Notify Trader at Exit of Diversion Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Diversion Rejection |
| Task Type | Send task |
| Description | When it is identified that the declaration data is not available, a notification IE will automatically be sent to the Trader at Exit informing that the diversion was rejected due to specific reasons. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Input Data | Exit Summary Declaration Information<br>C_AER_RSP<br>C_EXS_RSP |
| Output Data | |
| Previous Objects | Declaration Data Available? |
| Information Exchange | Diversion Rejection Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.402: Task Notify Trader at Exit of Diversion Rejection

### Event Goods Presented at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Presented at Exit |
| Event Type | End event |
| Description | The goods have been successfully presented at the Office of Exit. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration<br>L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |

| | |
|---|---|
| | L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Previous Objects | Declaration Data Available? |

Table 5.403: Event Goods Presented at Exit

### Event EXS Rejected by Office of Lodgement

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | EXS Rejected by Office of Lodgement |
| Event Type | End event |
| Description | The Exit Summary Declaration data is not available in the Customs Office of Lodgement. |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival |
| Previous Objects | Notify Trader at Exit of Diversion Rejection |

Table 5.404: Event EXS Rejected by Office of Lodgement

## 5.29. Process L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration

### 5.29.1. Objective of Process

The process L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration is initiated when the Customs Officer at the Office of Exit registers the results of a control in AES.
AES records the control results. Based on the control results, two cases may occur:
1. If control results are satisfactory, the movement state is changed to ‘Goods Ready to Released’.
2. If control results are not satisfactory, AES notifies the Trader at Exit with an ‘Exit Release Rejection’ (IE522) and the movement state is changed to ‘Goods Not Allowed to Exit’.
The process which initiated this process can now continue.

Final situation:
- If control results are satisfactory, the movement state is changed to ‘Goods Ready to be Released’.

If control results are not satisfactory, AES notifies the Trader at Exit with an ‘Exit Release Rejection’ (IE522) and the movement state is changed to ‘Goods Not Allowed to Exit’.

### 5.29.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10-05 |
| Full Name | Register Control Results-Exit Summary Declaration |

| | |
|---|---|
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-22-Perform Controls |
| Existing Specifications | DDNXA-v5.13 |

Table 5.405: Process Details

### 5.29.3. Tasks and Events

#### Event Goods to be Controlled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods to be Controlled |
| Event Type | Conditional start event |
| Description | The Customs Officer at the Customs Office of Exit decided to control the goods. |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-13-02-Decide to Control-Re-Export Notification |
| Previous Objects | |

Table 5.406: Event Goods to be Controlled

#### Task Register Control Result for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Result for AES |
| Task Type | User task |
| Description | Customs Officer registers the control results in AES. If the control revealed no discrepancies the control result will be 'Satisfactory'. If at any case, Customs Office of Exit (or PCO in CCE) was not able to perform the necessary controls, the control result shall be 'Considered Satisfactory'.If major discrepancies have been revealed during controls, the control result will be 'Unsatisfactory'. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED]<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Input Data | MRN<br>Control Result |

| | |
|---|---|
| Output Data | Control Result |
| Previous Objects | Goods to be Controlled |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.407: Task Register Control Result for AES

### Task Record Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Results |
| Task Type | Service task |
| Description | AES records the control results. If the control results are satisfactory, the movement state is set to 'Good Allowed to Leave'. If the control results are unsatisfactory, the movement state is set to 'Goods Not Allowed to Leave'. |
| Actor | AES |
| Input Data | Control Result<br>MRN |
| Output Data | Control Result<br>Exit Summary Declaration |
| Previous Objects | Register Control Result for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Exit Summary Declaration |
| Events | Control Results Unsatisfactory<br>Control Results Satisfactory |
| State | Goods not Allowed to Exit<br>Goods Ready to be Released |

Table 5.408: Task Record Control Results

## Task Notify Trader at Exit of Non Release for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Non Release for Exit |
| Task Type | Send task |
| Description | When it is identified that the control results are not satisfactory, a notification IE will automatically be sent to the Trader at Exit informing that the goods are not released for exit including the reasons for the non-release (IE522). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Input Data | Re-export Notification Information<br>MRN<br>Exit Summary Declaration Information<br>Export Declaration Information<br>Exit Release Rejection Information |
| Output Data | |
| Previous Objects | Control Results Satisfactory? |
| Information Exchange | Exit Release Rejection |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.409: Task Notify Trader at Exit of Non Release for Exit

## Event Goods Ready to Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready to Be Released |
| Event Type | End event |
| Description | |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification |
| Previous Objects | Control Results Satisfactory? |

| | |
|---|---|

Table 5.410: Event Goods Ready to Be Released

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to be released for exit. This is a final state. |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Previous Objects | Notify Trader at Exit of Non Release for Exit |

Table 5.411: Event Goods Not Allowed to Exit

## 5.30. Process L4-EXP-01-10-07-Register Exit Summary Declaration

### 5.30.1. Objective of Process

1. The process L4-EXP-01-10-07-Register Exit Summary Declaration is initiated with the reception of the Exit Summary Declaration.
2. AES validates the Exit Summary Declaration, and then two cases may occur:
2.1. Exit Summary Declaration is valid, AES generates MRN for this Exit Summary Declaration, AES notifies the Trader at Exit of the registration of the Exit Summary Declaration via an 'Exit Summary Declaration Acknowledgement' (IE628) message, Time Limit to Exit the Goods After Lodgement of Exit Summary Declaration starts at this point, the process continues with L4-EXP-01-01-01-02-Request and Record Risk Analysis, the state is changed to 'Registered' and the process ends.
2.2. Exit Summary Declaration is invalid, AES notifies the Trader at Exit of the Rejection of the Exit Summary Declaration via message 'Rejection from Office of Exit' (IE557) and the process stops at this point until a new Exit Summary Declaration is received.
The process which initiated this process can now continue.

Final situation:
State of the movement is set to 'Registered'

### 5.30.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10-07 |
| Full Name | Register<br>Exit Summary Declaration |
| Assumptions | In case the Exit Summary Declaration is directly lodged at the Office of Exit, the actor/pool Office of Lodgement is to be replaced by Office of |

| | |
|---|---|
| | Exit. |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-13-Registration of the Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.412: Process Details

### 5.30.3. Tasks and Events

#### Event Exit Summary Declaration Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Received |
| Event Type | Conditional start event |
| Description | A Person lodges the Exit summary declaration. The Person lodging the Exit summary declaration can specify a Representative (at Exit) being allowed to amend the Exit summary declaration on his behalf. |
| Previous Objects | |

Table 5.413: Event Exit Summary Declaration Received

#### Task Validate Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Exit Summary Declaration |
| Task Type | Service task |
| Description | AES validates the Exit Summary Declaration against business rules and conditions. AES also checks the Trader Identification Number (TIN) in EORI (Economic Operator Registration and Identification system) and completes the Trader data (if necessary) in the declaration.<br>When a reduced safety and security data set is submitted, AES, based on Trader TIN, verifies whether or not the Trader is the Authorised Economic Operator (AEO) and checks the type of AEO certificates. If the exit summary declaration is submitted with the reduced safety and security data set, then the Person lodging the summary declaration and all Consignors must have a valid AEO certificate of type 'AEOS' (AEO certificate – Safety and Security) and/or 'AEOF' (AEO certificate – Customs simplifications/safety and security). |
| Actor | AES |
| Input Data | |
| Output Data | Authorisation Validation Result<br>Exit Summary Declaration Information Validation Result |

| | |
|---|---|
| Previous Objects | Exit Summary Declaration Received |
| Information Exchange | Exit Summary Declaration |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.414: Task Validate Exit Summary Declaration

### Task Record Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Summary Declaration |
| Task Type | Service task |
| Description | AES records the Exit Summary Declaration received from the Trader. |
| Actor | AES |
| Input Data | E_EXS_DAT |
| Output Data | Exit Summary Declaration<br>E_EXS_DAT |
| Previous Objects | Exit Summary  Declaration Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Exit Summary Declaration |
| Events | xit Summary Declaration Recorded |
| State | Registered |

Table 5.415: Task Record Exit Summary Declaration

### Task Notify Person Lodging the Exit Summary Declaration of Rejection of Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Person Lodging the Exit Summary Declaration of Rejection of Exit |

| | |
|---|---|
| | Summary Declaration |
| Task Type | Send task |
| Description | AES informs the trader that the exit summary declaration has been rejected and the reasons of the rejection. |
| Actor | AES |
| Input Data | E_EXS_DAT<br>Exit Summary Declaration Information Validation Result<br>Authorisation Validation Result |
| Output Data | |
| Previous Objects | Exit Summary  Declaration Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.416: Task Notify Person Lodging the Exit Summary Declaration of Rejection of Exit Summary Declaration

### Task Generate MRN for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Generate MRN for AES |
| Task Type | Service task |
| Description | AES generates and allocates the MRN for the customs declaration/summary declaration/re-export notification. The procedure identifier in the MRN (17th digit) has to have the following values:<br>Export declaration without safety and security data - 'A'<br>Export declaration with safety and security data - 'B'<br>Exit summary declaration - 'C'<br>Re-export advice - 'D' |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | LRN |
| Output Data | MRN |

| | |
|---|---|
| Previous Objects | Record Exit Summary Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0011 |
| Data Set | |
| Events | |
| State | |

Table 5.417: Task Generate MRN for AES

### Event Exit Summary Declaration Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Rejected |
| Event Type | End event |
| Description | Exit summary declaration is rejected. This is a final state. |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-09-Exit Summary Declaration at Office of Lodgement [DEPRECATED] |
| Previous Objects | Notify Person Lodging the Exit Summary Declaration of Rejection of Exit Summary Declaration |

Table 5.418: Event Exit Summary Declaration Rejected

### Task Notify Trader at Exit of Registration of Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Registration of Exit Summary Declaration |
| Task Type | Send task |
| Description | AES informs the trader that the exit summary declaration has been registered and communicates the MRN to him. |
| Actor | AES |
| Input Data | LRN<br>MRN |
| Output Data | |
| Previous Objects | Generate MRN for AES |

| | |
|---|---|
| Information Exchange | Exit Summary Declaration Acknowledgement |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.419: Task Notify Trader at Exit of Registration of Exit Summary Declaration

### Task Start Time Limit to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit to Receive Exit Notification |
| Task Type | Service task |
| Description | Time Limit to Receive Exit Notification starts at this point. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival |
| Input Data | Time Limit to Receive Exit Notification information<br>MRN |
| Output Data | Exit of Goods Expiry Date |
| Previous Objects | Notify Trader at Exit of Registration of Exit Summary Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0072 |
| Data Set | |
| Events | |
| State | |

Table 5.420: Task Start Time Limit to Receive Exit Notification

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is |

| | |
|---|---|
| | initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Start Time Limit to Receive Exit Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.421: Task Request and Record Risk Analysis for AES

### Event Exit Summary Declaration Registered

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Registered |
| Event Type | End event |
| Description | Exit summary declaration is registered. This is a final state. |
| Previous Objects | Request and Record Risk Analysis for AES |

Table 5.422: Event Exit Summary Declaration Registered

# 5.31. Process L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival

## 5.31.1. Objective of Process

This BPM depicts the process that occurs when goods that will leave the territory are not bound by the export formalities of L4-EXP-01-01.
1. At the Customs Office of Lodgement the process concerning an exit summary declaration has one start event:
- Receive 'Exit Summary Declaration' (IE615)

1.1. AES registers the 'Exit Summary Declaration' (IE615) and the process continues with L4-EXP-01-10-07-Register Exit Summary Declaration.
1.1.1. If the Exit Summary Declaration is invalid, AES notifies the Declarant/Representative via 'Rejection from Office of Exit' (IE557) and the process stops at this point.
1.1.2. If the Exit Summary Declaration is valid then the state is changed to 'Registered' and AES communicates the 'EXS' (IE601) to the Customs Office of Exit. The process continues and AES starts the time limit for Assumed Exited. At this point one of the following cases is fulfilled:
1.1.2.1. The time limit for Assumed Exited expires. AES records the time limit expiration and the movement state is set to 'Assumed Exited'.
1.1.2.2. The Customs Office of Lodgement receives the 'Declaration Request Export' (IE502) from Customs Office of Exit requesting the Export Declaration Data in case of diversion. AES records the request for Exit Summary Declaration Data and identifies if it is available at Customs Office of Lodgement. AES responds to the Customs Office of Exit with the 'Exit Summary Declaration Response' (IE603) which can be either positive or negative. At this point one of the following cases may occur:
1.1.2.2.1. If the 'Exit Summary Declaration Response' (IE603) is negative, the Timer for Assumed Exited at the Customs Office of Lodgement continues.
1.1.2.2.2. If the 'Exit Summary Declaration Response' (IE603) is positive, the Timer for Assumed Exited stops and the state is changed to 'Arrived Elsewhere' at the Customs Office of Lodgement.
At this point the process at the Customs Office of Lodgement ends.

2. At the Customs Office of Exit, the process concerning an exit summary declaration has two start events:
- Receive 'Exit Summary Declaration' (IE615)
- Receive 'EXS' (IE601)

Two cases may occur:
2.1. If the 'Exit Summary Declaration' (IE615) is received, AES registers the 'Exit Summary Declaration' (IE615) and the process L4-EXP-01-10-07-Register Exit Summary Declaration is executed. The state is changed to 'Registered' and the process continues from point 2.3.
In case the Exit Summary Declaration is invalid, the process stops at this point.
2.2. If the 'EXS' (IE601) is received, AES records the EXS and the process continues from point 2.3.
2.3. The Timer to Receive Exit Notification starts at this point. The process continues and two cases may occur based on whether the Exit Summary Declaration was lodged at another Customs Office:
2.3.1. If it wasn't lodged at another Customs Office, the process continues from point 2.4.
2.3.2. If it was lodged at another Customs Office, one of the following cases is fulfilled:
2.3.2.1. If the 'Arrival at Exit' (IE507) notification was received from the Trader at Exit or if the 'Arrival at Exit' (IE507) notification was registered by a Customs Officer, AES processes the Arrival at Exit Notification. The process is the same for both cases and continues with L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration. The process may result in one of the following three outcomes:
2.3.2.1.1. If Goods Presented at Exit, the process continues from point 2.4.
2.3.2.1.2. If Arrival at Exit Notification Rejected, then the Timer to Receive Arrival at Exit Notification will remain active and the process will continue from point 2.3.2 until a valid Arrival at Exit Notification is received or until the Timer expires.

2.3.2.1.3. If EXS is not available, then AES will request the Exit Summary Declaration Data via a 'Declaration Request Export' (IE502) from the Customs Office of Lodgement and after receiving the 'Exit Summary Declaration Data Response' (IE603), it handles the response and the process will continue with L4-EXP-01-10-04-Handle Declaration Request Response – Exit Summary Declaration. The process continues and may result in one of the following outcomes:
2.3.2.1.3.1. If the outcome is a Positive response, then the Goods are presented at Exit and the process continues from point 2.4.
2.3.2.1.3.2. If the outcome is a Negative response, the state is change to 'Diversion Rejected' and the process stops at this point.
2.3.2.2. If the 150 days time limit to Receive Arrival at Exit Notification expires, AES Records the Expiry of the time limit to Receive Arrival at Exit Notification.
Invalidation process is initiated and the process L4-EXP-01-03-05-Invalidate Export Declaration is executed.
2.4. The process L4-EXP-01-01-01-02-Request and Record Risk Analysis is executed. The process continues with L4-EXP-01-10-02-Register and Record Control Decision-Exit Summary Declaration. AES then identifies whether the goods should be controlled or not. Two cases may occur:
2.4.1. Decision not to control the goods so the state is changed to 'Goods Ready to be Released', AES notifies that Trader at Exit regarding the release of the goods via an 'Exit Release Notification' (IE525). The outcome of the process is 'Goods Released for Exit' and the process ends here (the state at the Customs Office of Exit is changed either to 'Goods Released for Immediate Leave' in case of no storing, or to 'Goods Held for Storing' in case of storing of goods.).
2.4.2. Decision to control the goods, the state is changed to 'Under Control' and the process continues with L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration.
AES then checks if the control results were satisfactory and two cases may occur:
2.4.2.1. Control Results were satisfactory and the goods are released for Exit, AES notifies that Trader at Exit regarding the release of the goods via an 'Exit Release Notification' (IE525). The outcome of the process is 'Goods Released for Exit' and the process ends here (the state at the Customs Office of Exit is changed either to 'Goods Released for Immediate Leave' in case of no storing, or to 'Goods Held for Storing' in case of storing of goods.).
2.4.2.2. Control Results were not satisfactory so the goods are not released for Exit, the state is changed to 'Goods Not Allowed to Exit' which is a final state and the process stops at this point.

Final Situation:
- Decision not to control the goods or control results were satisfactory, goods are released for Exit, AES notifies the Trader at Exit via an 'Exit Release Notification' (IE525) and the outcome of the process is 'Goods Released for Exit' (the state at the Customs Office of Exit is changed either to 'Goods Released for Immediate Leave' in case of no storing, or to 'Goods Held for Storing' in case of storing of goods.);
- Control results were not satisfactory, so goods were not released for Exit and the state is changed to 'Goods Not Allowed to Exit'.

### 5.31.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-10 |
| Full Name | Exit Summary Declaration-Process Registration and Arrival |
| Assumptions | • IE507 can only be sent towards Office of Exit, when the declaration is submitted in the office of lodgement. |
| Constraints | No constraints. |

| | |
|---|---|
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.423: Process Details

### 5.31.3. Tasks and Events

#### Event Receive Exit Summary Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Summary Declaration |
| Event Type | Message start event |
| Description | |
| Previous Objects | Declarant or Representative |

Table 5.424: Event Receive Exit Summary Declaration

#### Task Register Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-10-07-Register Exit Summary Declaration is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-09-Exit Summary Declaration at Office of Lodgement [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Exit Summary Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.425: Task Register Exit Summary Declaration

#### Task Notify Exit Summary Declaration to Customs Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Exit Summary Declaration to Customs Office of Exit |
| Task Type | Send task |
| Description | AES at the Office of Lodgement forwards the full exit summary declaration data and risk analysis results, if any, to the Customs Office of Exit. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-09-Exit Summary Declaration at Office of Lodgement [DEPRECATED] |
| Input Data | Risk Analysis Information<br>Exit Summary Declaration Information<br>MRN<br>E_EXS_DAT |
| Output Data | |
| Previous Objects | Exit Summary Declaration Valid? |
| Information Exchange | EXS |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.426: Task Notify Exit Summary Declaration to Customs Office of Exit

### Event Exit Summary Declaration Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Rejected |
| Event Type | End event |
| Description | |
| Previous Objects | Exit Summary Declaration Valid? |

Table 5.427: Event Exit Summary Declaration Rejected

### Task Start Time Limit for Assumed Exited

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit for Assumed Exited |
| Task Type | Service task |

| | |
|---|---|
| Description | AES start the Time Limit for Assumed Exited |
| Actor | AES |
| Input Data | Time Limit for Assumed Exited Information<br>MRN |
| Output Data | Assumed Exited Expiry Date |
| Previous Objects | Notify Exit Summary Declaration to Customs Office of Exit |
| Information Exchange | |
| Requirements | AES_FUR_0108 |
| Data Set | |
| Events | |
| State | |

Table 5.428: Task Start Time Limit for Assumed Exited

### Event Arrival at Exit Registered by a Customs Officer

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit Registered by a Customs Officer |
| Event Type | Conditional intermediate event |
| Description | The goods physically arrive at the Customs Office of Exit where they are to be registered by the competent Customs Officer. |
| Previous Objects | Exit Summary Declaration Lodged at Another Customs Office?<br>EXS not available, Goods Presented at Exit or Arrival at Exit Notification Rejected? |

Table 5.429: Event Arrival at Exit Registered by a Customs Officer

### Task Record EXS

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record EXS |
| Task Type | Service task |
| Description | AES records the EXS received from Customs Office of Lodgement and the state of the movement at the Office of Exit changes to "Registered". |
| Actor | AES |
| Input Data | C_EXS_SND |
| Output Data | Exit Summary Declaration |

| | |
|---|---|
| | C_EXS_SND |
| Previous Objects | Receive EXS |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Record EXS |
| State | Registered |

Table 5.430: Task Record EXS

### Event Receive EXS

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive EXS |
| Event Type | Message start event |
| Description | AES receives the forwarded Exit Summary Declaration data. |
| Previous Objects | Notify Exit Summary Declaration to Customs Office of Exit |

Table 5.431: Event Receive EXS

### Event Receive Arrival at Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Arrival at Exit Notification |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Office of Exit receives the Arrival at Exit Notification in an electronic format. |
| Previous Objects | Trader at Exit<br>Exit Summary Declaration Lodged at Another Customs Office?<br>EXS not available, Goods Presented at Exit or Arrival at Exit Notification Rejected? |

Table 5.432: Event Receive Arrival at Exit Notification

### Task Register Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Exit Summary Declaration |

| | |
|---|---|
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-10-07-Register Exit Summary Declaration is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-09-Exit Summary Declaration at Office of Lodgement [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Exit Summary Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.433: Task Register Exit Summary Declaration

### Event Receive Exit Summary Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Summary Declaration |
| Event Type | Message start event |
| Description | AES at Office of Exit receives the Exit Summary Declaration from the Trader in an electronic format. |
| Previous Objects | Declarant or Representative |

Table 5.434: Event Receive Exit Summary Declaration

### Task Start Time Limit to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit to Receive Exit Notification |
| Task Type | Service task |
| Description | Time Limit to Receive Exit Notification starts at this point. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-07-Register Exit Summary Declaration |
| Input Data | Time Limit to Receive Exit Notification information |

| | |
|---|---|
| | MRN |
| Output Data | Exit of Goods Expiry Date |
| Previous Objects | Record EXS<br>Exit Summary Declaration Accepted? |
| Information Exchange | |
| Requirements | AES_FUR_0072 |
| Data Set | |
| Events | |
| State | |

Table 5.435: Task Start Time Limit to Receive Exit Notification

### Task Process Arrival Notification-Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Process Arrival Notification-Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process (call activity) Decide to Control (Exit Summary Declaration) is initiated. For details see L4-EXP-01-10-03. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Arrival at Exit Registered by a Customs Officer<br>Receive Arrival at Exit Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.436: Task Process Arrival Notification-Exit Summary Declaration

### Event 150 Days Time Limit Expiry for Assumed Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | 150 Days Time Limit Expiry for Assumed Exited |
| Event Type | Timer intermediate event |

| | |
|---|---|
| Description | Time Limit for Assumed Exited expires at this point and the state of the movement at the Customs Office of Lodgement is changed to "Assumed Exited" |
| Previous Objects | Start Time Limit for Assumed Exited<br>Notify Office of Exit (Actual) of Exit Summary Declaration Data Response |

Table 5.437: Event 150 Days Time Limit Expiry for Assumed Exited

### Event 150 Days Time Limit Expiry to Receive Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | 150 Days Time Limit Expiry to Receive Exit Notification |
| Event Type | Timer intermediate event |
| Description | The timer of 150 days to receive an exit notification expires. |
| Appears also in the following processes | L4-EXP-01-22-Exit Summary Declaration/Re-Export Notification Invalidation |
| Previous Objects | Exit Summary Declaration Lodged at Another Customs Office?<br>EXS not available, Goods Presented at Exit or Arrival at Exit Notification Rejected? |

Table 5.438: Event 150 Days Time Limit Expiry to Receive Exit Notification

### Event Receive Request for Exit Summary Declaration Data

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Request for Exit Summary Declaration Data |
| Event Type | Message intermediate event (catch) |
| Description | |
| Previous Objects | Start Time Limit for Assumed Exited<br>Notify Office of Exit (Actual) of Exit Summary Declaration Data Response<br>Request Exit Summary Declaration Data from Customs Office of Lodgement |

Table 5.439: Event Receive Request for Exit Summary Declaration Data

### Event Exit Summary Declaration Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Rejected |
| Event Type | End event |
| Description | Exit summary declaration is rejected. This is a final state. |
| Appears also in the following | L4-EXP-01-10-07-Register Exit Summary Declaration |

| | |
|---|---|
| processes | L4-EXP-01-09-Exit Summary Declaration at Office of Lodgement [DEPRECATED] |
| Previous Objects | Exit Summary Declaration Accepted? |

Table 5.440: Event Exit Summary Declaration Rejected

### Task Record Expiry of Time Limit to Receive an Arrival at Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit to Receive an Arrival at Exit Notification |
| Task Type | Service task |
| Description | If the time limit of 150 days expires then AES records the expiration and the invalidation of the Exit Summary Declaration must be initiated. |
| Actor | AES |
| Input Data | Time Limit to Receive Arrival at Exit Notification Expiry Date<br>MRN |
| Output Data | Time Limit to Receive Arrival at Exit Notification Expiry Date |
| Previous Objects | 150 Days Time Limit Expiry to Receive Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.441: Task Record Expiry of Time Limit to Receive an Arrival at Exit Notification

### Task Record Time Limit for Assumed Exited Expiry

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Time Limit for Assumed Exited Expiry |
| Task Type | Service task |
| Description | AES Records the Expiration of the Time Limit for Assumed Exited |
| Actor | AES |
| Input Data | TImer for Assumed Exited Expired |
| Output Data | TImer for Assumed Exited Expired |

| | |
|---|---|
| Previous Objects | 150 Days Time Limit Expiry for Assumed Exited |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Timer for Assumed Exited Expired |
| State | Assumed Exited |

Table 5.442: Task Record Time Limit for Assumed Exited Expiry

### Task Record Request for Exit Summary Declaration Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Request for Exit Summary Declaration Data |
| Task Type | Service task |
| Description | AES at the Office of Lodgement records the request for the Exit Summary Declaration with the given MRN received from the Customs Office of Exit. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-09-02-Handle Declaration Request at Office of Lodgement-Exit Summary Declaration [DEPRECATED] |
| Input Data | |
| Output Data | C_EXP_REQ |
| Previous Objects | Receive Request for Exit Summary Declaration Data |
| Information Exchange | Declaration Request Export |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.443: Task Record Request for Exit Summary Declaration Data

### Task Request Exit Summary Declaration Data from Customs Office of Lodgement

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request Exit Summary Declaration Data from Customs Office of |

| | |
|---|---|
| | Lodgement |
| Task Type | Send task |
| Description | AES requests the Exit Summary Declaration Data from Customs Office of Lodgement. |
| Actor | AES |
| Input Data | MRN<br>Exit Summary Declaration Information |
| Output Data | |
| Previous Objects | EXS not available, Goods Presented at Exit or Arrival at Exit Notification Rejected? |
| Information Exchange | Declaration Request Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | Arrival at Exit Notification Registered and EXS not available |
| State | Summary Declaration Requested |

Table 5.444: Task Request Exit Summary Declaration Data from Customs Office of Lodgement

### Event Invalidation Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Initiated |
| Event Type | End event |
| Description | AES initiates the invalidation of the re-export notification or exit summary declaration for which no exit notification has been received within the time limit. |
| Previous Objects | Record Expiry of Time Limit to Receive an Arrival at Exit Notification |

Table 5.445: Event Invalidation Initiated

### Task Identify if Exit Summary Declaration Data Is Available

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Exit Summary Declaration Data Is Available |
| Task Type | Service task |
| Description | AES at the Office of Lodgement checks if the Exit Summary Declaration with the MRN requested by the Customs Office of Exit has been lodged by |

| | |
|---|---|
| | the Trader. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-09-02-Handle Declaration Request at Office of Lodgement-Exit Summary Declaration [DEPRECATED] |
| Input Data | MRN |
| Output Data | Result of EXS Data Availability Check |
| Previous Objects | Record Request for Exit Summary Declaration Data |
| Information Exchange | |
| Requirements | AES_FUR_0061 |
| Data Set | |
| Events | |
| State | |

Table 5.446: Task Identify if Exit Summary Declaration Data Is Available

### Event Assumed Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Assumed Exited |
| Event Type | End event |
| Description | |
| Previous Objects | Record Time Limit for Assumed Exited Expiry |

Table 5.447: Event Assumed Exited

### Event Receive Exit Summary Declaration Data Response

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Summary Declaration Data Response |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Office of Exit receives the exit summary declaration data from the Office of Lodgement in an electronic format. |
| Previous Objects | Request Exit Summary Declaration Data from Customs Office of Lodgement<br>Notify Office of Exit (Actual) of Exit Summary Declaration Data Response |

Table 5.448: Event Receive Exit Summary Declaration Data Response

### Task Handle Declaration Request Response-Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Declaration Request Response-Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process (call activity) Handle Declaration Request Response-Exit Summary Declaration is initiated. For details see L4-EXP-01-10-04. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Exit Summary Declaration Data Response |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.449: Task Handle Declaration Request Response-Exit Summary Declaration

### Task Notify Office of Exit (Actual) of Exit Summary Declaration Data Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Office of Exit (Actual) of Exit Summary Declaration Data Response |
| Task Type | Send task |
| Description | Customs Office of Lodgement notifies the Customs Office of Exit regarding the Exit Summary Declaration Data. If the data is available in the Customs Office of Lodgement, the response will be positive otherwise it will be negative.<br>In case of positive response, the state of the movement changes to "Arrived Elsewhere". |
| Actor | AES |
| Input Data | Exit Summary Declaration Information<br>MRN |
| Output Data | Exit Summary Declaration |
| Previous Objects | Identify if Exit Summary Declaration Data Is Available |
| Information Exchange | Exit Summary Declaration Response |
| Requirements | AES_FUR_0022 |

| | |
|---|---|
| Data Set | |
| Events | Diversion and Exit Summary Declaration Response Positive |
| State | Arrived Elsewhere |

Table 5.450: Task Notify Office of Exit (Actual) of Exit Summary Declaration Data Response

### Task Stop Time Limit for Assumed Exited

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Time Limit for Assumed Exited |
| Task Type | Service task |
| Description | AES stops the Time Limit for Assumed Exited at this point. |
| Actor | AES |
| Input Data | Timer for Assumed Exited Information<br>MRN |
| Output Data | Timer for Assumed Exited Stopped |
| Previous Objects | Notify Office of Exit (Actual) of Exit Summary Declaration Data Response |
| Information Exchange | |
| Requirements | AES_FUR_0110 |
| Data Set | |
| Events | |
| State | |

Table 5.451: Task Stop Time Limit for Assumed Exited

### Event EXS Rejected by Office of Lodgement

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | EXS Rejected by Office of Lodgement |
| Event Type | End event |
| Description | The Exit Summary Declaration data is not available in the Customs Office of Lodgement. |
| Appears also in the following processes | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration |

| | |
|---|---|
| Previous Objects | Diversion Rejected or Goods Presented at Exit? |

Table 5.452: Event EXS Rejected by Office of Lodgement

### Event Arrived Elsewhere

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrived Elsewhere |
| Event Type | End event |
| Description | |
| Previous Objects | Stop Time Limit for Assumed Exited |

Table 5.453: Event Arrived Elsewhere

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Diversion Rejected or Goods Presented at Exit?<br>Exit Summary Declaration Lodged at Another Customs Office?<br>EXS not available, Goods Presented at Exit or Arrival at Exit Notification Rejected? |

| | |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.454: Task Request and Record Risk Analysis for AES

### Task Register and Record Control Decision (Exit Summary Declaration)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register and Record Control Decision (Exit Summary Declaration) |
| Task Type | Call activity (collapsed) |
| Description | Process (call activity) Decide to Control (Exit Summary Declaration) is initiated. For details see L4-EXP-01-10-02. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Request and Record Risk Analysis for AES |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.455: Task Register and Record Control Decision (Exit Summary Declaration)

### Task Identify if Goods need to be Controlled

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods need to be Controlled |
| Task Type | Service task |
| Description | Based in the Control Decision, AES identifies if the Goods need to be controlled. |
| Actor | AES |
| Input Data | E_EXT_CTR |
| Output Data | Result of Control Decision |
| Previous Objects | Register and Record Control Decision (Exit Summary Declaration) |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0039 |
| Data Set | |
| Events | |
| State | |

Table 5.456: Task Identify if Goods need to be Controlled

### Task Register Control Results-Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Results-Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | Process (call activity) Register Control Results-Exit Summary Declaration is initiated. For details see L4-EXP-01-10-05. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods to be Controlled? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.457: Task Register Control Results-Exit Summary Declaration

### Task Identify if Goods Can Be Released for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods Can Be Released for Exit |
| Task Type | Service task |
| Description | AES identifies if Goods Can Be Released for Exit or not. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-13-Re-Export Notification-Process Registration |

| | |
|---|---|
| Input Data | |
| Output Data | |
| Previous Objects | Register Control Results-Exit Summary Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.458: Task Identify if Goods Can Be Released for Exit

### Task Release Goods for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Exit |
| Task Type | Service task |
| Description | AES releases the goods for exit. The movement state is set to 'Goods Released for Immediate Leave'. If the goods are to be stored prior the exit, the movement status is set to 'Goods held for storing'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-06-Release Goods for Exit-Exit Summary Declaration [DEPRECATED] |
| Input Data | MRN |
| Output Data | Exit Summary Declaration |
| Previous Objects | Goods to be Controlled?<br>Control Results satisfactory? |
| Information Exchange | |
| Requirements | AES_FUR_0035 |
| Data Set | Exit Summary Declaration |
| Events | Storing Flag "No"<br>Storing Flag "Yes" |
| State | Goods Held for Storing<br>Goods Released for Immediate Leave |

Table 5.459: Task Release Goods for Exit

### Task Notify Trader at Exit of Release of Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Release of Goods |
| Task Type | Send task |
| Description | AES notifies the Trader at Exit regarding the Release of the Goods. |
| Actor | AES |
| Input Data | Exit Summary Declaration Information<br>MRN |
| Output Data | |
| Previous Objects | Release Goods for Exit |
| Information Exchange | Exit Release Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.460: Task Notify Trader at Exit of Release of Goods

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to be released for exit. This is a final state. |
| Appears also in the following processes | L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Previous Objects | Control Results satisfactory? |

Table 5.461: Event Goods Not Allowed to Exit

### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | End event |
| Description | The goods have been released for exit. |
| Appears also in the following processes | L4-EXP-01-10-06-Release Goods for Exit-Exit Summary Declaration [DEPRECATED] |
| Previous Objects | Notify Trader at Exit of Release of Goods |

Table 5.462: Event Goods Released for Exit

## 5.32. Process L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration

### 5.32.1. Objective of Process

AES receives a 'Manifest Presentation' (IE547) from the Trader at Exit and validates the Manifest presentation. Based on the outcome of the validation, two cases may occur:

1. Manifest presentation is valid, AES records the Manifest presentation, notifies the Trader at Exit of the Manifest Presentation Acceptance via a positive 'Manifest Validation' (IE548) and the movement state is changed to either "Goods Released for Immediate Leave" or "Goods Released for Immediate Leave (Partial)". The outcome of the call-activity is 'Manifest Presentation Accepted' and the process ends at this point.
2. Manifest presentation is invalid, AES notifies the Trader at Exit of the Manifest Presentation Rejection via a negative 'Manifest Validation' (IE548) and the process returns to the start of the model, waiting for a valid 'Manifest Presentation' (IE547).

Final Situation:
Manifest Presentation is accepted.

### 5.32.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-11-01 |
| Full Name | Process Manifest Presentation-Exit Summary Declaration |
| Assumptions | N/A |
| Constraints | : National variants are possible depending on the local organisations.<br>It must be possible to receive and process amendments of the presented manifest (i.e. mainly reduction of the cargo, and/or its increase), not described here. |
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.463: Process Details

### 5.32.3. Tasks and Events

#### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | Conditional start event |
| Description | AES has identified that the goods can be released for exit. |
| Appears also in the following processes | L4-EXP-01-11-Exit Summary Declaration-Process Exit |
| Previous Objects | |

Table 5.464: Event Goods Released for Exit

#### Event Receive Manifest Presentation

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Manifest Presentation |
| Event Type | Message intermediate event (catch) |
| Description | AES at Office of Exit receives the exit notification from the trader in an electronic format. |
| Previous Objects | Trader at Exit<br>Notify Trader at Exit of Manifest Presentation Rejection<br>Goods Released for Exit |

Table 5.465: Event Receive Manifest Presentation

#### Task Validate Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Manifest Presentation |
| Task Type | Service task |
| Description | AES checks if the received Manifest Presentation is valid or not. If the Manifest Presentation is invalid, AES rejects the notification, notifies the Trader at Exit with the reasons of rejection and continues to wait for a valid Manifest Presentation. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-07-01-Process Manifest Presentation |
| Input Data | |
| Output Data | Result of Manifest Presentation Validation Check |

| | |
|---|---|
| Previous Objects | Receive Manifest Presentation |
| Information Exchange | Manifest Presentation |
| Requirements | AES_FUR_0001<br>AES_FUR_0087 |
| Data Set | |
| Events | |
| State | |

Table 5.466: Task Validate Manifest Presentation

### Task Record Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Manifest Presentation |
| Task Type | Service task |
| Description | AES records the manifest presentation. The manifest presentation can cover one or more movements. When the full consignment is released for immediate leave, the movement state is changed to 'Goods Released for Immediate Leave'. When the partial consignment is released for immediate leave, the movement state is changed to 'Goods Released for Immediate Leave (Partial)'. |
| Actor | AES |
| Input Data | E_MAN_PRE |
| Output Data | Exit Summary Declaration<br>E_MAN_PRE |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Manifest Presentation (For the whole movement) Valid<br>Manifest Presentation (Covering part of the movement) Valid |
| State | Goods Released for Immediate Leave<br>Goods Released for Immediate Leave (Partial) |

Table 5.467: Task Record Manifest Presentation

### Task Notify Trader at Exit of Manifest Presentation Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Manifest Presentation is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Manifest Presentation by indicating the reasons for the rejection (IE548). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-07-01-Process Manifest Presentation |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |
| Output Data | |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.468: Task Notify Trader at Exit of Manifest Presentation Rejection

### Task Notify Trader at Exit of Manifest Presentation Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Acceptance |
| Task Type | Send task |
| Description | When the Manifest Presentation is recorded, a notification IE will automatically be sent to the Trader at Exit informing about the acceptance of the Manifest Presentation (IE548). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-07-01-Process Manifest Presentation |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |

| | |
|---|---|
| Output Data | |
| Previous Objects | Record Manifest Presentation |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.469: Task Notify Trader at Exit of Manifest Presentation Acceptance

### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |
| Event Type | End event |
| Description | AES accepted the Trader's Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification<br>L4-EXP-01-07-01-Process Manifest Presentation |
| Previous Objects | Notify Trader at Exit of Manifest Presentation Acceptance |

Table 5.470: Event Manifest Presentation Accepted

## 5.33. Process L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration

### 5.33.1. Objective of Process

This process is initiated when a Manifest Presentation is Accepted or the Goods are Released for Immediate Leave.

1. One of the following two cases is fulfilled:

1.1. Exit Information is available through Commercial or Port or Transport system and AES records the Exit Information and the process continues from point 2.

1.2. An ‘Exit Notification’ (IE590) is received and AES performs validation. Based on the outcome of the Exit Notification validation, two cases may occur:

1.2.1. Exit Notification is valid, AES records the Exit Notification and the process continues from point 2.

1.2.2. Exit Notification is invalid and AES notifies the Trader at Exit of the invalid Exit Notification via a ‘Rejection from Office of Exit’ (IE557). he process continues from point 1.

2. AES identifies if Exit Information/‘Exit Notification’ (IE590) refers to Partial Exit. Based on the outcome of the previous check, two cases may occur:

2.1. Exit Information/‘Exit Notification’ (IE590) refers to Partial Exit, the movement state is changed to ‘Partially Exited’ and the process ends at this point.

2.2. Exit Information/‘Exit Notification’ (IE590) doesn’t refer to Partial Exit and the process ends at this point with the outcome ‘Exit Results Available’, having the movement state ‘Exited’.

Final Situation:
- If the Exit Information/‘Exit Notification’ (IE590) refers to Partial Exit then the state is changed to ‘Partially Exited’ and the process ends at this point.
- If the Exit Information/‘Exit Notification’ (IE590) doesn’t refer to Partial Exit then the process ends at this point with outcome ‘Exit Results Available’ and movement state turns to ‘Exited’.

### 5.33.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-11-02 |
| Full Name | Handle Exit Notification-Exit Summary Declaration |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.471: Process Details

### 5.33.3. Tasks and Events

#### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | Conditional start event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification<br>L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | |

Table 5.472: Event Goods Released for Immediate Leave

#### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |

| | |
|---|---|
| Event Type | Conditional start event |
| Description | AES accepted the Carrier's Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | |

Table 5.473: Event Manifest Presentation Accepted

### Event Exit Information Available Through Commercial or Port or Transport System

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Information Available Through Commercial or Port or Transport System |
| Event Type | Conditional intermediate event |
| Description | The Exit information is available to the customs authorities through existing commercial, port or transport information systems. The system will then record the available exit information. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Notify Trader at Exit of Exit Notification Rejection<br>Manifest Presentation Accepted<br>Goods Released for Immediate Leave |

Table 5.474: Event Exit Information Available Through Commercial or Port or Transport System

### Task Record Exit Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Information |
| Task Type | Service task |
| Description | When the exit Information is available through commercial or port or transport system it is automatically recorded in the AES System, linked to the relevant unique reference numbers. |
| Actor | AES |
| Input Data | MRN<br>Exit Information |
| Output Data | Exit Information |
| Previous Objects | Exit Information Available Through Commercial or Port or Transport System |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.475: Task Record Exit Information

### Event Receive Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Notification |
| Event Type | Message intermediate event (catch) |
| Description | A notification IE is received by the authority in charge of verifying the actual exit of the goods from the Union to the Actual Office of Exit about the fact that the goods have left the Union. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Trader at Exit<br>Notify Trader at Exit of Exit Notification Rejection<br>Manifest Presentation Accepted<br>Goods Released for Immediate Leave |

Table 5.476: Event Receive Exit Notification

### Task Validate Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Exit Notification |
| Task Type | Service task |
| Description | When the Exit Notification IE is received, it must be automatically validated.<br>Syntactic checks should include, at minimum:<br>- Data compliance to its associated Rules & Conditions. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Input Data | |
| Output Data | Exit Notification Validation Result |

| | |
|---|---|
| Previous Objects | Receive Exit Notification |
| Information Exchange | Exit Notification |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.477: Task Validate Exit Notification

### Task Notify Trader at Exit of Exit Notification Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Exit Notification Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Exit Notification is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Exit Notification by indicating the reasons for the rejection (IE557). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Input Data | Exit Notification Validation Result<br>MRN<br>Manifest Number<br>E_EXT_NOT |
| Output Data | |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.478: Task Notify Trader at Exit of Exit Notification Rejection

### Task Record Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Notification |
| Task Type | Service task |
| Description | When the exit Information is available through the Exit Notification IE the data elements following are automatically recorded in AES linked to the reference number. |
| Actor | AES |
| Input Data | Exit Notification Validation Result<br>E_EXT_NOT<br>MRN |
| Output Data | Exit Summary Declaration<br>Result of Full Consignment Exited Check<br>E_EXT_NOT |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0038<br>AES_FUR_0002 |
| Data Set | |
| Events | Exit Notification (Other than the first or the last received) Valid<br>Exit Notification Valid<br>Exit Notification (First Exit Notification Received AND Manifest Presentation has been Received for the specific Exit Notification) Valid<br>Manifest Presentation (Other than the first of the last received) Valid |
| State | Exited<br>Partially Exited |

Table 5.479: Task Record Exit Notification

### Task Identify if Exit Information refers to Partial Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Exit Information refers to Partial Exit |
| Task Type | Service task |
| Description | AES identifies if the Exit Information refers to partial or final exit of goods. |
| Actor | AES |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification |
| Input Data | Result of Full Consignment Exited Check<br>MRN |
| Output Data | Result of Full Consignment Exited Check |
| Previous Objects | Record Exit Information<br>Record Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0038 |
| Data Set | |
| Events | |
| State | |

Table 5.480: Task Identify if Exit Information refers to Partial Exit

### Event Exit Results Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available |
| Event Type | End event |
| Description | |
| Previous Objects | Partially Exited? |

Table 5.481: Event Exit Results Available

### Event Partially Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Partially Exited |
| Event Type | End event |
| Description | In case of ‘Partially Exited’ state, the Customs Authorities expect a new Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Partially Exited? |

Table 5.482: Event Partially Exited

## 5.34. Process L4-EXP-01-11-Exit Summary Declaration-Process Exit

### 5.34.1. Objective of Process

This process is triggered by an end event included in one of the following processes:
L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival or L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification.
1. The process starts with the Goods Released for Exit.
2. AES identifies if the goods shall be stored based on the 'Exit Release Notification' (IE525). The following cases may occur:
2.1. Goods are released for immediate leave and the process continues from point 3.
2.2. Goods are held for storing and the process continues with L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration. Manifest Presentation is accepted, and the process continues from point 3.
3. The process L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration is executed. The following cases may occur:
3.1. Exit Results are Available and
3.1.1. AES stops the time limit to Receive Exit Notification.
3.1.2. State is changed to 'Exited' and the process ends at this point.
3.2. Goods are "Partially Exited" and the process continues from point 2.2 until a Manifest Presentation, with "final shipment" designation is received.

Final Situation:
The Exit Results are available, the state is changed to 'Exited' and the process ends at this point.

### 5.34.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-11 |
| Full Name | Exit Summary Declaration-Process Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-12-Exit Summary Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.483: Process Details

### 5.34.3. Tasks and Events

#### Task Identify if Goods shall be stored based on the Arrival at Exit information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods shall be stored based on the Arrival at Exit information |
| Task Type | Service task |
| Description | Based on the information on Arrival (Arrival at Exit - IE507), it shall be checked whether goods were requested to be stored or not. |

| | |
|---|---|
| | In case goods are not stored, then movement state at Office of Exit has changed to 'Goods Released for Immediate Leave'.<br>Otherwise, in case goods are stored, then movement state at the Office of Exit has changed to 'Goods Held for Storing'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit |
| Input Data | E_EXT_REL |
| Output Data | Result of Storing prior to Exit Check |
| Previous Objects | Goods Released for Exit |
| Information Exchange | |
| Requirements | AES_FUR_0105 |
| Data Set | |
| Events | |
| State | |

Table 5.484: Task Identify if Goods shall be stored based on the Arrival at Exit information

### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | Conditional start event |
| Description | AES has identified that the goods can be released for exit. |
| Appears also in the following processes | L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Previous Objects | |

Table 5.485: Event Goods Released for Exit

### Task Process Manifest Presentation-Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Process Manifest Presentation-Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-11-01 |
| Actor | AES |

| | |
|---|---|
| Input Data | |
| Output Data | |
| Previous Objects | Goods Partially Exited OR Exit Results Available?<br>Goods Held for Storing or Goods Released for Immediate Leave? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.486: Task Process Manifest Presentation-Exit Summary Declaration

### Task Handle Exit Notification-Exit Summary Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Exit Notification-Exit Summary Declaration |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-11-02 |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave?<br>Process Manifest Presentation-Exit Summary Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.487: Task Handle Exit Notification-Exit Summary Declaration

### Task Stop Time Limit to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Time Limit to Receive Exit Notification |
| Task Type | Service task |
| Description | AES at Customs Office of Exit stops the time limit to Receive Exit Notification. |
| Actor | AES |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-22-Exit Summary Declaration/Re-Export Notification Invalidation |
| Input Data | MRN<br>Timer to Receive Exit Notification Information |
| Output Data | Timer to Receive Exit Notification Stopped |
| Previous Objects | Goods Partially Exited OR Exit Results Available? |
| Information Exchange | |
| Requirements | AES_FUR_0095 |
| Data Set | |
| Events | |
| State | |

Table 5.488: Task Stop Time Limit to Receive Exit Notification

### Event Goods Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Exited |
| Event Type | End event |
| Description | The goods have left the Union. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification |
| Previous Objects | Stop Time Limit to Receive Exit Notification |

Table 5.489: Event Goods Exited

## 5.35. Process L4-EXP-01-12-Amendment of Exit Summary Declaration

### 5.35.1. Objective of Process

The process starts when an amendment request is submitted by the Declarant/Representative using electronic means via an IE613 “Exit Summary Declaration Amendment” message. It contains all values of the original declaration altered with the requested amendments.

1. AES validates the Exit Summary Declaration Amendment request and then two cases may occur:

1.1. If the Exit Summary Declaration Amendment request is valid. AES records the Exit Summary Declaration Amendment request and the declaration data are amended. The process continues and AES notifies the Declarant/Representative of the Exit Summary Declaration Amendment request Acceptance (IE604). The process ends at this point with “Exit Summary Declaration Amended”.

1.2. If the Exit Summary Declaration Amendment request is invalid, AES notifies the Declarant/Representative of the Exit Summary Declaration Amendment request rejection (□□557) and the process ends at this point with "Exit Summary Declaration Amendment Rejected".

Final situation:
· If the amendment is rejected, the outcome of the process is "Exit Summary Declaration Amendment Rejected".
If the amendment is accepted, the outcome of the process is "Exit Summary Declaration Amendment Amended".

### 5.35.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-12 |
| Full Name | Amendment of Exit Summary Declaration |
| Assumptions | N/A |
| Constraints | The process starts when an amendment request is submitted by the Declarant/Representative using electronic means via an 'Exit Summary Declaration Amendment' (IE613) message. It contains all values of the original declaration altered with the requested amendments.<br>AES validates the Exit Summary Declaration Amendment request and then two cases may occur:<br>1. If the Exit Summary Declaration Amendment request is valid. AES records the Exit Summary Declaration Amendment request and the declaration data are amended. The process continues and AES notifies the Declarant/Representative of 'Exit Summary Declaration Amendment Acceptance' (IE604). The process ends at this point with 'Exit Summary Declaration Amended'.<br>2. If the Exit Summary Declaration Amendment request is invalid, AES notifies the Declarant/Representative of the Exit Summary Declaration Amendment request rejection with 'Rejection from the Office of Exit' (□□557) and the process ends at this point with 'Exit Summary Declaration Amendment Rejected'.<br><br>Final situation:<br>● If the amendment is rejected, the outcome of the process is 'Exit Summary Declaration Amendment Rejected';<br>If the amendment is accepted, the outcome of the process is 'Exit Summary Declaration Amendment Amended'. |
| L3 Reference Models | L3-EXP-01-05-Amendment of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.490: Process Details

### 5.35.3. Tasks and Events

#### Task Validate Exit Summary Declaration Amendment Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Exit Summary Declaration Amendment Request |
| Task Type | Service task |
| Description | AES validates the received exit summary declaration amendment request against the business rules and conditions. If the validation against the business rules and conditions fails, the amendment request is rejected.<br>AES checks whether the amendment request is lodged by the same trader who has lodged the exit summary declaration advice or his representative indicated in the exit summary declaration. If the trader or the representative is not the same, AES rejects the amendment request.<br>AES checks if the amendment request is made for an exit summary declaration which has been registered by the Customs Office of Exit. If the exit summary declaration has not been registered the amendment request is rejected.<br>AES checks if the data elements, indicated in the amendment request, are allowed to be amended. If any of the data elements is not allowed to be amended, the amendment request is rejected.<br>AES checks if the amendment request can still be accepted. The amendment request cannot be accepted if:<br>The Trader has been informed about the decision to control the goods, or<br>The Customs Office of Exit has detected that the particulars in question are incorrect, or<br>the goods have been released for exit. |
| Actor | AES |
| Input Data | |
| Output Data | Exit Summary Declaration Information Validation Result |
| Previous Objects | Receive Exit Summary Declaration  Amendment Request |
| Information Exchange | Exit Summary Declaration Amendment |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.491: Task Validate Exit Summary Declaration Amendment Request

#### Event Receive Exit Summary Declaration  Amendment Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Summary Declaration  Amendment Request |
| Event Type | Message start event |
| Description | AES at the Office of Exit receives an exit summary declaration amendment request in an electronic format from the Trader. |
| Previous Objects | Declarant/Representative |

Table 5.492: Event Receive Exit Summary Declaration  Amendment Request

### Task Notify Declarant/Representative of Exit Summary Declaration Amendment Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Exit Summary Declaration Amendment Rejection |
| Task Type | Send task |
| Description | If the amendment request is not valid or because it came too late in the declaration data handling process, AES informs the Trader about the rejection of the amendment request indicating the reasons for rejection. The original Exit summary declaration (in case of multiple amendment requests, the latest accepted version of the declaration) remains valid. |
| Actor | AES |
| Input Data | MRN<br>E_EXS_AMD<br>EXS Amendment Rejection |
| Output Data | |
| Previous Objects | Exit Summary Declaration Amendment request Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.493: Task Notify Declarant/Representative of Exit Summary Declaration Amendment Rejection

### Task Record Exit Summary Declaration Amendment Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Summary Declaration Amendment Request |

| | |
|---|---|
| Task Type | Service task |
| Description | AES records the exit summary declaration amendment request. |
| Actor | AES |
| Input Data | E_EXS_AMD<br>Exit Summary Declaration Information Validation Result |
| Output Data | E_EXS_AMD |
| Previous Objects | Exit Summary Declaration Amendment request Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.494: Task Record Exit Summary Declaration Amendment Request

### Event Exit Summary Declaration Amendment Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Amendment Rejected |
| Event Type | End event |
| Description | Exit summary declaration amendment request is rejected by the Customs Office of Exit. |
| Previous Objects | Notify Declarant/Representative of Exit Summary Declaration Amendment Rejection |

Table 5.495: Event Exit Summary Declaration Amendment Rejected

### Task Amend Exit Summary Declaration Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend Exit Summary Declaration Data |
| Task Type | Service task |
| Description | This task could be a manual task. If the amendment request is valid and the exit summary declaration can be amended, AES accepts the amendment request and amends the exit summary declaration data accordingly. If this is a manual task, then AES informs the Customs Officer about the amendment request. The Customs Officer accepts the amendment request and amends the exit summary declaration. These actions are recorded in AES. The status of the exit summary declaration is set to 'Registered' and |

| | |
|---|---|
| | the process L4-EXP-01-06 continues from the task 'Perform Safety & Security Risk Analysis'. |
| Actor | AES |
| Input Data | E_EXS_DAT<br>E_EXS_AMD |
| Output Data | Exit Summary Declaration |
| Previous Objects | Record Exit Summary Declaration Amendment Request |
| Information Exchange | |
| Requirements | AES_FUR_0073 |
| Data Set | Exit Summary Declaration |
| Events | |
| State | |

Table 5.496: Task Amend Exit Summary Declaration Data

### Task Notify Person Lodging the Exit Summary Declaration of Amendment Request Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Person Lodging the Exit Summary Declaration of Amendment Request Acceptance |
| Task Type | Send task |
| Description | AES informs the Trader about the acceptance of the amendment request. |
| Actor | AES |
| Input Data | Exit Summary Declaration |
| Output Data | |
| Previous Objects | Amend Exit Summary Declaration Data |
| Information Exchange | Exit Summary Declaration Amendment Acceptance |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.497: Task Notify Person Lodging the Exit Summary Declaration of Amendment Request Acceptance

### Event Exit Summary Declaration Amended

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Summary Declaration Amended |
| Event Type | End event |
| Description | The amendment request has been accepted and the exit summary declaration has been amended. The status of the exit summary declaration is set to 'Registered' and the process L4-EXP-01-06 continues from the task 'Perform Risk Analysis'. |
| Previous Objects | Notify Person Lodging the Exit Summary Declaration of Amendment Request Acceptance |

Table 5.498: Event Exit Summary Declaration Amended

## 5.36. Process L4-EXP-01-13-01-Acceptance of Re-Export Notification

### 5.36.1. Objective of Process

The process is initiated when a 'Re-Export Notification' (IE570) is submitted to the Customs Office of Exit. The person lodging the Re-Export Notification must provide a local reference number (LRN) that - until the issuing of an MRN - allows the person lodging the Re-Export Notification and the Office of Exit to refer to the data in AES. AES checks that the provided LRN is unique.
The Person lodging the Re-Export Notification can specify a Representative (at Exit) being allowed to amend the Re-Export Notification on his/her behalf.
Validation of the Re-Export Notification must be performed. One of the following cases is fulfilled:
1. If the Re-Export notification is invalid, AES rejects it and notifies with a 'Rejection from Office of Exit' (IE557) the person lodged the re-export notification that the declaration is invalid, giving the reason of the rejection and the process stops at this point.
2. If the Re-export notification is valid, the Customs Office of Exit registers the notification and AES starts the timer to receive the exit notification. If the goods do not exit within the time limit, the Re-Export Notification is deemed not to be lodged and can be invalidated.
Next, a MRN is automatically generated and the notification submission date and time are stored in AES. The allocated MRN is immediately communicated with a 'Re-Export Notification Registration' (IE571) to the person lodged the re-export notification.
The automatic risk analysis will be performed at this stage, process L4-EXP-01-01-01-02-Request and Record Risk Analysis is executed. Random risk parameters should be used additionally. AES registers the results of the risk analysis.

Final situation:
- If the notification is not valid it is rejected and the person lodging the Re-Export Notification is informed with a 'Rejection from Office of Exit' (IE557);
- If the notification is valid, it is registered and an MRN is generated and allocated. The trader at exit is notified with 'Re-Export Notification Registration' (IE571) for the registration of the Re-Export Notification by the immediate issuance of an MRN. The result of the risk analysis performed is recorded. The movement state at the Office of Exit is set to 'Registered'.

### 5.36.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Process ID | L4-EXP-01-13-01 |
| Full Name | Acceptance of Re-Export Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.499: Process Details

### 5.36.3. Tasks and Events

#### Event Re-Export Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-Export Notification Received |
| Event Type | Conditional start event |
| Description | AES receives the Re-Export Notification (IE570) from the Trader. |
| Appears also in the following processes | L3-EXP-01-30-Registration of the Re-Export Notification |
| Previous Objects | |

Table 5.500: Event Re-Export Notification Received

#### Task Validate Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Re-Export Notification |
| Task Type | Service task |
| Description | AES validates the received re-export notification against business rules and conditions. If the validation fails, the re-export notification is rejected and the Trader is informed. |
| Actor | AES |
| Input Data | |
| Output Data | Re-Export Notification Validation Result |
| Previous Objects | Re-Export Notification Received |
| Information Exchange | Re-Export Notification |
| Requirements | AES_FUR_0090<br>AES_FUR_0001 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.501: Task Validate Re-Export Notification

### Task Record Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Re-Export Notification |
| Task Type | Service task |
| Description | AES records the re-export notification which has been successfully validated. |
| Actor | AES |
| Input Data | E_REE_NOT |
| Output Data | E_REE_NOT<br>Re-Export Notification<br>Re-Export Notification Registration Date |
| Previous Objects | Re-Export Notification Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Re-Export Notification |
| Events | Recorded Re-Export Notification |
| State | Registered |

Table 5.502: Task Record Re-Export Notification

### Task Start Timer to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer to Receive Exit Notification |
| Task Type | Service task |
| Description | AES starts the timer (150 days) and within this time limit the Trader has to send the exit notification. |
| Actor | AES |

| | |
|---|---|
| Input Data | LRN<br>Re-Export Notification Registration Date<br>Exit Notification Time Limit |
| Output Data | Exit Notification Expiry Date |
| Previous Objects | Re-Export Notification Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0072 |
| Data Set | |
| Events | |
| State | |

Table 5.503: Task Start Timer to Receive Exit Notification

### Task Notify Trader at Exit of Rejection of Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Rejection of Re-Export Notification |
| Task Type | Send task |
| Description | AES informs the Exporter about the rejection of the re-export notification indicating the reasons for rejection (IE557). |
| Actor | AES |
| Input Data | LRN<br>Re-Export Notification Validation Result<br>E_REE_NOT |
| Output Data | |
| Previous Objects | Re-Export Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.504: Task Notify Trader at Exit of Rejection of Re-Export Notification

### Event Re-Export Notification Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-Export Notification Rejected |
| Event Type | End event |
| Description | AES at the Customs Office of Exit rejects the invalid re-export notification. |
| Previous Objects | Notify Trader at Exit of Rejection of Re-Export Notification |

Table 5.505: Event Re-Export Notification Rejected

### Task Generate MRN for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Generate MRN for AES |
| Task Type | Service task |
| Description | AES generates and allocates the MRN for the customs declaration/summary declaration/re-export notification. The procedure identifier in the MRN (17th digit) has to have the following values:<br>Export declaration without safety and security data - 'A'<br>Export declaration with safety and security data - 'B'<br>Exit summary declaration - 'C'<br>Re-export advice - 'D' |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-01-01-Acceptance of Export Declaration |
| Input Data | LRN |
| Output Data | MRN |
| Previous Objects | Record Re-Export Notification<br>Start Timer to Receive Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0011 |
| Data Set | |
| Events | |
| State | |

Table 5.506: Task Generate MRN for AES

### Task Notify Trader at Exit of Registration of Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Registration of Re-Export Notification |
| Task Type | Send task |
| Description | AES informs the Trader about the registration of the Re-export Notification and the MRN allocated to this Re-export Notification. |
| Actor | AES |
| Input Data | Re-Export Notification<br>LRN |
| Output Data | |
| Previous Objects | Generate MRN for AES |
| Information Exchange | Re-Export Notification Registration Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.507: Task Notify Trader at Exit of Registration of Re-Export Notification

### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under |

| | |
|---|---|
| | Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Notify Trader at Exit of Registration of Re-Export Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.508: Task Request and Record Risk Analysis for AES

### Event Re-Export Notification Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-Export Notification Accepted |
| Event Type | End event |
| Description | AES at the Customs Office of Exit accepts the re-export notification. |
| Previous Objects | Request and Record Risk Analysis for AES |

Table 5.509: Event Re-Export Notification Accepted

## 5.37. Process L4-EXP-01-13-02-Decide to Control-Re-Export Notification

### 5.37.1. Objective of Process

The process L4-EXP-01-13-02-Decide to Control-Re-Export Notification is initiated with the receipt of the results of the risk analysis he/she had requested.
The Customs Officer compiles all the information he needs (the risk analysis results -retrieved by AES via the MRN), in order to decide whether a control is required. Even if the risk analysis is an automatic process, the final decision to control may be overruled by the Customs Officer.
Based on the risk analysis one of the following cases is fulfilled:
1. The Customs Officer registers his/her decision to perform controls and specifies the types of controls that will be performed. The movement state is set to 'Under Control'. The Trader at Exit and, where different, also the party lodging the re-export notification, is notified with ‘Export Control Decision Notification’ (IE561) of the decision to control in order to allow him/her to be present during the controls.
2. The Customs Officer records in AES the decision not to control the consignment. The goods are ready to be released for exit (i.e. to be allowed to be stored prior to exit or to be allowed to immediate leave the Union). The movement state is set to ‘Goods Ready to be Released’.

Final situation:
One of the following decisions is registered:
- The movement state is set to ‘Goods Ready to be Released’ or,
- The movement state is set to ‘Under Control’.

### 5.37.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-13-02 |
| Full Name | Decide to Control-Re-Export Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.510: Process Details

### 5.37.3. Tasks and Events

#### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | Conditional start event |
| Description | The Customs Officer receives the results from the risk analysis he/she had requested. |
| Previous Objects | |

Table 5.511: Event Risk Analysis Results Recorded

#### Task Register Control Decision for Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for Re-Export Notification |
| Task Type | Task |
| Description | Based on the risk analysis result, the customs officer decides whether to control the goods or not.<br>The decision is registered in AES. If a decision to control the goods is taken, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check) |
| Actor | Customs Officer |
| Input Data | Risk Analysis<br>MRN |
| Output Data | Control Decision |

| | |
|---|---|
| Previous Objects | Risk Analysis Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.512: Task Register Control Decision for Re-Export Notification

### Task Record Control Decision for Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Decision for Re-Export Notification |
| Task Type | Service task |
| Description | AES records the decision of the customs officer regarding the control of the goods.<br>Movement state is set to 'Under Control' if the decision was to control the goods. If the decision of the customs officer is not to control the goods, the movement state is set to 'Goods Ready to be Released'. |
| Actor | AES |
| Input Data | MRN<br>Control Decision |
| Output Data | Re-Export Notification<br>Control Decision |
| Previous Objects | Register Control Decision for Re-Export Notification |
| Information Exchange | |
| Requirements | AES_FUR_0002<br>AES_FUR_0039 |
| Data Set | Re-Export Notification |
| Events | Decision Not to Control the Goods<br>Decision to Control the Goods |
| State | Under Control<br>Goods Ready to be Released |

Table 5.513: Task Record Control Decision for Re-Export Notification

## Task Notify Trader at Exit of Controls

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Controls |
| Task Type | Send task |
| Description | AES informs the Trader about the decision of the Customs Office of Exit to control the goods. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-02-Register and Record Control Decision-Exit Summary Declaration |
| Input Data | Exit Summary Declaration Information<br>MRN<br>Re-export Notification Information<br>Control Decision |
| Output Data | |
| Previous Objects | Goods to Be Controlled? |
| Information Exchange | Exit Control Decision Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.514: Task Notify Trader at Exit of Controls

## Event Goods to be Controlled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods to be Controlled |
| Event Type | End event |
| Description | The Customs Officer at the Customs Office of Exit decided to control the goods. |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Previous Objects | Notify Trader at Exit of Controls |

Table 5.515: Event Goods to be Controlled

### Event Goods Ready to Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready to Be Released |
| Event Type | End event |
| Description | The goods are ready to be released. |
| Previous Objects | Goods to Be Controlled? |

Table 5.516: Event Goods Ready to Be Released

## 5.38. Process L4-EXP-01-13-03-Register Control Results-Re-Export Notification

### 5.38.1. Objective of Process

This process is initiated after the goods have been controlled by the Customs Officer. The Customs Officer at the Office of Exit registers the results of the control in AES.
Based on the control results one of the following cases is fulfilled:
1. The control results are ‘satisfactory’ and AES records that the goods are ready to be released for exit. Minor discrepancies can be regarded as 'satisfactory'; the goods may also be ready to be released for exit.
2. The control results are "not satisfactory' and AES records that the goods are not allowed to exit. The movement is stopped here without prejudice of any subsequent measure to be taken. The movement state is set to ‘Goods Not Allowed to Exit’. AES will notify with an ‘Exit Release Rejection’ (IE522) the Trader at Exit about the rejection reason of the Exit Release, which is the final state and the process ends.

Final situation:
Control results are stored in AES:
- The goods are ready to be released (if ‘satisfactory' is considered), the movement state is set to ‘Goods Ready to be Released’;
- The goods are to be stopped (if 'not satisfactory' is considered), the movement state is set to ‘Goods not Allowed to Exit’.

### 5.38.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-13-03 |
| Full Name | Register Control Results-Re-Export Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.517: Process Details

### 5.38.3. Tasks and Events

#### Event Goods to be Controlled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods to be Controlled |
| Event Type | Conditional start event |
| Description | The Customs Officer at the Customs Office of Exit decided to control the goods. |
| Appears also in the following processes | L4-EXP-01-13-02-Decide to Control-Re-Export Notification<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Previous Objects | |

Table 5.518: Event Goods to be Controlled

#### Task Register Control Result for Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Result for Re-Export Notification |
| Task Type | Task |
| Description | Customs Officer registers the control results in AES. If the control revealed no discrepancies the control result will be 'Satisfactory'. If major discrepancies have been revealed during controls, the control result will be 'Unsatisfactory'. |
| Actor | Customs Officer |
| Input Data | Control Result<br>MRN |
| Output Data | Control Result |
| Previous Objects | Goods to be Controlled |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.519: Task Register Control Result for Re-Export Notification

#### Task Record Control Result for Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Result for Re-Export Notification |
| Task Type | Service task |
| Description | AES records the control results. If the control results are satisfactory, the movement state is set to 'Goods Ready to be Released'. If the control results are unsatisfactory, the movement state is set to 'Goods Not Allowed to Exit'. |
| Actor | AES |
| Input Data | Control Result<br>MRN |
| Output Data | Control Result<br>Re-Export Notification |
| Previous Objects | Register Control Result for Re-Export Notification |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Re-Export Notification |
| Events | Unsatisfactory Control Results Automatically Recorded<br>Control Results Satisfactory or Considered Satisfactory |
| State | Goods Ready to be Released<br>Goods not Allowed to Exit |

Table 5.520: Task Record Control Result for Re-Export Notification

### Event Goods Ready to Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready to Be Released |
| Event Type | End event |
| Description | |
| Appears also in the following processes | L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Previous Objects | Control Results Satisfactory? |

Table 5.521: Event Goods Ready to Be Released

### Task Notify Trader at Exit of Non Release for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Non Release for Exit |
| Task Type | Send task |
| Description | When it is identified that the control results are not satisfactory, a notification IE will automatically be sent to the Trader at Exit informing that the goods are not released for exit including the reasons for the non-release (IE522). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-21-02-Register Control Results at Office of Exit<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Input Data | Re-export Notification Information<br>MRN<br>Exit Summary Declaration Information<br>Export Declaration Information<br>Exit Release Rejection Information |
| Output Data | |
| Previous Objects | Control Results Satisfactory? |
| Information Exchange | Exit Release Rejection |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.522: Task Notify Trader at Exit of Non Release for Exit

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to Exit due to major discrepancies detected during the controls of the goods. |
| Appears also in the following processes | L4-EXP-01-21-02-Register Control Results at Office of Exit<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | Notify Trader at Exit of Non Release for Exit |

Table 5.523: Event Goods Not Allowed to Exit

## 5.39. Process L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification

### 5.39.1. Objective of Process

This process is initiated when either the Customs Officer registered decision not to control the goods at Exit or when the decision was taken to control the goods and the control results were satisfactory. In both cases, the state of the movement is ‘Goods Ready to be Released’.
‘Goods Ready to be Released’ are released either for immediately leaving the Union or for being stored in a warehouse prior to their exit (see L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification). The Trader at Exit and, where different, also the party lodging the re-export notification, is notified with ‘Exit Release Notification’ (IE525) consequently.

Final situation:
- The goods are released to exit, and the movement state is set to ‘Goods Released for Immediate Leave’.
- The goods are to be stored prior the exit and the movement state is set to 'Goods Held for Storing'.

### 5.39.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-13-04 |
| Full Name | Release Goods for Exit-Re-Export Notification |
| Assumptions | For any of the reasons listed below, the goods cannot be released or where, after their release, the goods are found not to have fulfilled the conditions for that release the customs authorities shall give the declarant a reasonable time-limit to remedy the situation of the goods: (i) it has not been possible, for reasons attributable to the declarant, to undertake or continue examination of the goods within the period prescribed by the customs authorities; (ii) the documents which must be provided before the goods can be placed under, or released for, the customs procedure requested have not been provided; (iii) Payments or a guarantee which should have been made or provided in respect of import or export duty, as the case may be, have not been made or provided within the prescribed period; (iv) the goods are subject to prohibitions or restrictions. |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.524: Process Details

### 5.39.3. Tasks and Events

#### Task Release Goods for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Exit |
| Task Type | Service task |
| Description | AES releases the goods for exit. The movement state is set to 'Goods Released for Immediate Leave'. If the goods are to be stored prior the exit, the movement status is set to 'Goods held for storing'. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Re-Export Notification |
| Previous Objects | Goods Ready to Be Released |
| Information Exchange | |
| Requirements | AES_FUR_0035 |
| Data Set | Re-Export Notification |
| Events | Storing Flag "No"<br>Storing Flag "Yes" |
| State | Goods Held for Storing<br>Goods Released for Immediate Leave |

Table 5.525: Task Release Goods for Exit

### Event Goods Ready to Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready to Be Released |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.526: Event Goods Ready to Be Released

### Task Notify Trader at Exit of Release for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Release for Exit |
| Task Type | Send task |
| Description | When the goods for exit are released, a notification IE will automatically be |

| | |
|---|---|
| | sent to the Trader at Exit informing that the goods are released for exit. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-06-Release Goods for Exit-Exit Summary Declaration [DEPRECATED]<br>L4-EXP-01-21-03-Release Goods for Exit |
| Input Data | Export Declaration Information<br>Re-export Notification Information<br>MRN |
| Output Data | |
| Previous Objects | Release Goods for Exit |
| Information Exchange | Exit Release Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.527: Task Notify Trader at Exit of Release for Exit

### Task Identify if Goods shall be stored based on Exit Release Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods shall be stored based on Exit Release Notification |
| Task Type | Service task |
| Description | AES identifies whether the goods will be stored prior their exit or not. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-14-Re-Export Notification-Process Exit |
| Input Data | Result of Full Consignment Exited Check<br>MRN |
| Output Data | Result of Full Consignment Exited Check |
| Previous Objects | Notify Trader at Exit of Release for Exit |
| Information Exchange | |
| Requirements | AES_FUR_0038 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.528: Task Identify if Goods shall be stored based on Exit Release Notification

### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | End event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification<br>L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave? |

Table 5.529: Event Goods Released for Immediate Leave

### Event Goods Held for Storing

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Held for Storing |
| Event Type | End event |
| Description | AES identified that the goods are held for storing based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit. This start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. |
| Appears also in the following processes | L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave? |

Table 5.530: Event Goods Held for Storing

## 5.40. Process L4-EXP-01-13-Re-Export Notification-Process Registration

### 5.40.1. Objective of Process

The process starts when the Custom Office of Exit receives a 'Re-Export Notification' from the trader (IE570).
1. The process L4-EXP-01-13-01 Acceptance of Re-Export Notification is executed. The re-export notification is validated by AES and one of the following cases is fulfilled:
1.1. The re-export notification is invalid, and it is rejected. The process flow stops at this point.
1.2. The re-export notification is valid and accepted and AES interfaces with the national risk analysis systems of the Member States to request a Risk Analysis. Based on the risk analysis one of the following cases is fulfilled:
1.2.1. The Customs Officer decides not to control the goods and the state of the movement is set to 'Goods Ready to be Released'. The process L4-EXP-01-13-04-Release Goods for Exit (Re-Export Notification) is executed and the process flow continues from point 2.
1.2.2. The Customs Officer decides to control the goods, hence the state of the movement is set to 'Under Control'. The process L4-EXP-01-13-03-Register Controls Results-Re-Export Notification is executed and one of the following cases is fulfilled:
1.2.2.1. The control results are 'satisfactory' and AES records that the goods are ready to be released for exit. The state of the movement is set to 'Goods Ready to be Released' and the process flow continues from point 2.
1.2.2.2. The control results are 'not satisfactory' and AES records that the goods cannot be released for exit. The movement is stopped here, and the state of the movement is set to 'Goods Not Allowed to Exit'.
Next, the process L4-EXP-01-13-04-Release Goods for Exit (Re-Export Notification) is executed and the movement state is set either to 'Goods Released for Immediate Leave' or to 'Goods Held for Storing'.

### 5.40.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-13 |
| Full Name | Re-Export Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.531: Process Details

### 5.40.3. Tasks and Events

#### Task Acceptance of Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acceptance of Re-Export Notification |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-13-01-Acceptance of Re-Export Notification is |

| | |
|---|---|
| | initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Re-export Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.532: Task Acceptance of Re-Export Notification

### Event Receive Re-export Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Re-export Notification |
| Event Type | Message start event |
| Description | AES at the Customs Office of Exit receives re-export advice from the Trader in an electronic format. |
| Previous Objects | Trader at Exit |

Table 5.533: Event Receive Re-export Notification

### Task Decide to Control-Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Decide to Control-Re-Export Notification |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-13-02-Decide to Control-Re-Export Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Re-Export Notification Accepted? |
| Information Exchange | |
| Requirements | |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.534: Task Decide to Control-Re-Export Notification

### Event Re-Export Notification Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-Export Notification Rejected |
| Event Type | End event |
| Description | The Re-Export Notification has been rejected. |
| Previous Objects | Re-Export Notification Accepted? |

Table 5.535: Event Re-Export Notification Rejected

### Task Register Control Results-Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Results-Re-Export Notification |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-13-03-Register Controls Results-Re-Export Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods to Be Examined? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.536: Task Register Control Results-Re-Export Notification

### Task Identify if Goods Can Be Released for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods Can Be Released for Exit |
| Task Type | Service task |
| Description | AES identifies if Goods Can Be Released for Exit or not. |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Input Data | |
| Output Data | |
| Previous Objects | Register Control Results-Re-Export Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.537: Task Identify if Goods Can Be Released for Exit

### Task Release Goods for Exit (Re-Export Notification)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Exit (Re-Export Notification) |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Are Goods Ready to Be Released for Exit?<br>Goods to Be Examined? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.538: Task Release Goods for Exit (Re-Export Notification)

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to Exit due to major discrepancies detected during the controls of the goods. |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Previous Objects | Are Goods Ready to Be Released for Exit? |

Table 5.539: Event Goods Not Allowed to Exit

### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | End event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification<br>L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave? |

Table 5.540: Event Goods Released for Immediate Leave

### Event Goods Held for Storing

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Held for Storing |
| Event Type | End event |
| Description | AES identified that the goods are held for storing based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit. This start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. |
| Appears also in the following processes | L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification |
| Previous Objects | Goods Held for Storing or Goods Released for Immediate Leave? |

Table 5.541: Event Goods Held for Storing

## 5.41. Process L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification

### 5.41.1. Objective of Process

The process starts with two start events:

- Goods Held for Storing;
- Receive Manifest Presentation in ‘Partially Exited’ state.

Next, the manifest for the goods covered by the re-export notification is submitted with a ‘Manifest Presentation’ (IE547) via electronic means to the actual Office of Exit and is registered and validated. The validation process can be a completely automatic process.
The manifest contains, apart from the data required in the international Convention and/or in the national provisions, one or more 'MRN' and – in case a partial Exit shall be processed linking the manifest to the arrival notification and to the re-export notification and to the item(s) concerned. The manifest presentation (or load list) shall include all goods to be loaded onto the ship, irrespective of their status and, where applicable, the MRN's linking the manifest to the re-export notification for the shipments concerned.
For each shipment AES also checks the marks and numbers, number of packages, gross weight, and the description of the goods (where coded on the manifest).
The validation performed by AES will result one of the following cases:
1. If the presentation is invalid, AES rejects the submitted ‘Manifest Presentation’ (IE547) and notifies with ‘Manifest Validation’ (IE548) the Trader at Exit that the presentation is invalid with the reasons of the rejection. The movement state at the actual Office of Exit remains 'Goods held for storing'. AES continues to wait for a valid Manifest Presentation or until the Customs Officer registers exit results.
2. If the presentation is valid, AES records the total or partial intended leave with the given means of transport and the goods are ready to immediately leave the Union. The Trader at Exit is notified consequently with ‘Manifest Validation’ (IE548). The movement state at the actual Office of Exit is set to 'Goods released for Immediate Leave' in case of whole Re-Export Notification and to ‘Goods released for Immediate Leave (Partial)’ in case of partial exit.
Final situation:
If the presentation of manifest is valid, the manifest is accepted and the movement state is set to ‘Goods released for Immediate Leave’ in case of final exit in one 'step' or ‘Goods released for Immediate Leave (Partial)’ in case of partial exit.

### 5.41.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-14-01 |
| Full Name | Process Manifest Presentation-Re-Export Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.542: Process Details

### 5.41.3. Tasks and Events

#### Event Goods Held for Storing

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Held for Storing |
| Event Type | Conditional start event |
| Description | AES identified that the goods are held for storing based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit. This start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. |
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation |
| Previous Objects | |

Table 5.543: Event Goods Held for Storing

#### Event Receive Manifest Presentation in 'Partially Exited' state

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Manifest Presentation in 'Partially Exited' state |
| Event Type | Message start event |
| Description | In case that more than one Manifests Presentation are to be prepared, the state upon all the following 'Manifest Presentation Notification' (IE547) received after the 1st valid one, shall be 'Partially Exited'. This state change has occurred after the first 'Exit Notification' (IE590) is sent to the Trader at Exit. |
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation |
| Previous Objects | |

Table 5.544: Event Receive Manifest Presentation in 'Partially Exited' state

#### Event Receive Manifest Presentation

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Manifest Presentation |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Customs Office of Exit receives exit notification from the Trader in an electronic format. |
| Previous Objects | Trader at Exit<br>Receive Manifest Presentation in 'Partially Exited' state<br>Goods Held for Storing<br>Notify Trader at Exit of Manifest Presentation Rejection |

Table 5.545: Event Receive Manifest Presentation

### Task Validate Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Manifest Presentation |
| Task Type | Service task |
| Description | AES checks if the received Manifest Presentation is valid or not. If the Manifest Presentation is invalid, AES rejects the notification, notifies the Trader at Exit with the reasons of rejection and continues to wait for a valid Manifest Presentation. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | |
| Output Data | Result of Manifest Presentation Validation Check |
| Previous Objects | Receive Manifest Presentation |
| Information Exchange | Manifest Presentation |
| Requirements | AES_FUR_0001<br>AES_FUR_0087 |
| Data Set | |
| Events | |
| State | |

Table 5.546: Task Validate Manifest Presentation

### Task Notify Trader at Exit of Manifest Presentation Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Manifest Presentation is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Manifest Presentation by indicating the reasons for the rejection (IE548). |
| Actor | AES |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |
| Output Data | |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.547: Task Notify Trader at Exit of Manifest Presentation Rejection

### Task Record Manifest Presentation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Manifest Presentation |
| Task Type | Service task |
| Description | AES records the manifest presentation. The manifest presentation can cover one or more movements. When the full consignment is released for immediate leave, the movement state is changed to 'Goods Released for Immediate Leave'. When the partial consignment is released for immediate leave, the movement state is changed to 'Goods Released for Immediate Leave (Partial)'. |
| Actor | AES |
| Input Data | |
| Output Data | E_MAN_PRE<br>Re-Export Notification |
| Previous Objects | Manifest Presentation Valid? |
| Information Exchange | Manifest Presentation |
| Requirements | AES_FUR_0002 |
| Data Set | Re-Export Notification |

| | |
|---|---|
| Events | Manifest Presentation (for the whole movement) Valid<br>Manifest Presentation (Covering part of the movement) Valid |
| State | Goods Released for Immediate Leave<br>Goods Released for Immediate Leave (Partial) |

Table 5.548: Task Record Manifest Presentation

### Task Notify Trader at Exit of Manifest Presentation Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Manifest Presentation Acceptance |
| Task Type | Send task |
| Description | When the Manifest Presentation is recorded, a notification IE will automatically be sent to the Trader at Exit informing about the acceptance of the Manifest Presentation (IE548). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Input Data | Result of Manifest Presentation Validation Check<br>E_MAN_PRE |
| Output Data | |
| Previous Objects | Record Manifest Presentation |
| Information Exchange | Manifest Validation |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.549: Task Notify Trader at Exit of Manifest Presentation Acceptance

### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |
| Event Type | End event |

| | |
|---|---|
| Description | AES accepted the Trader's Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-07-01-Process Manifest Presentation<br>L4-EXP-01-11-01-Process Manifest Presentation-Exit Summary Declaration |
| Previous Objects | Notify Trader at Exit of Manifest Presentation Acceptance |

Table 5.550: Event Manifest Presentation Accepted

## 5.42. Process L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification

### 5.42.1. Objective of Process

1. The process starts with two start events:
- Manifest Presentations Accepted;
- Goods Released for Immediate Leave.

Next, one of the following cases is fulfilled:
1.1. The actual Office of Exit is notified with an 'Exit Notification' (IE590) by the Trader at Exit that the goods have left the EU territory, either on the basis of the re-export notification (EXPORT OPERATION FOR IE590.MRN) or on the basis of the manifest linked to a given means of transport (EXPORT OPERATION FOR IE590.Manifest Number). The manifest can refer either to the whole shipment (final) or to a part of it (partial).
The process continues with the validation of the received 'Exit Notification' (IE590) by AES. One of the following cases is fulfilled:
1.1.1. If the received 'Exit Notification' (IE590) is invalid, AES informs the trader at exit about the exit notification rejection with 'Rejection from Office of Exit' (IE557) and loops back waiting for a new 'Exit Notification' (IE590).
1.1.2. If the received Exit Notification is valid, based on the re-export notification MRN or on the MRNs/item numbers referred to the manifest, AES records the actual exit of the goods from the Union.
1.2. AES receives the Exit Information that is available through the Commercial or Port or Transport System linked to the re-export notification (MRN) or on the basis of the manifest document and the process flow continues from point 2.
2. Next, AES identifies if the Exit Information refers to partial or final exit of goods.
2.1. In case a partial exit is recorded the movement state changes to 'Partially Exited' and the process ends at this point.
2.2. In case a final shipment is recorded, then the timer to receive the exit notification stops, the state changes to 'Exited' and the process ends at this point.

Final Situation:
- If partial exit is recorded, the movement state is set to Partially Exited'.
- If total exit is recorded, the movement state is set to 'Exited'.

### 5.42.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-14-02 |
| Full Name | Handle Exit Notification-Re-Export Notification |

| | |
|---|---|
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.551: Process Details

### 5.42.3. Tasks and Events

#### Event Manifest Presentation Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Manifest Presentation Accepted |
| Event Type | Conditional start event |
| Description | AES accepted the Carrier's Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | |

Table 5.552: Event Manifest Presentation Accepted

#### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | Conditional start event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification<br>L4-EXP-01-14-Re-Export Notification-Process Exit<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | |

Table 5.553: Event Goods Released for Immediate Leave

#### Event Exit Information Available Through Commercial or Port or Transport System

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Information Available Through Commercial or Port or Transport System |

| | |
|---|---|
| Event Type | Conditional intermediate event |
| Description | The Exit information is available to the customs authorities through existing commercial, port or transport information systems. The system will then record the available exit information. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Goods Released for Immediate Leave<br>Manifest Presentation Accepted<br>Notify Trader at Exit of Exit Notification Rejection |

Table 5.554: Event Exit Information Available Through Commercial or Port or Transport System

### Task Record Exit Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Information |
| Task Type | Service task |
| Description | When the exit information is available through commercial or port or transport system it is automatically recorded in the AES System, linked to the relevant unique reference numbers. |
| Actor | AES |
| Input Data | Manifest Number<br>MRN<br>Re-Export Notification |
| Output Data | Re-Export Notification<br>Result of Full Consignment Exited Check |
| Previous Objects | Exit Information Available Through Commercial or Port or Transport System |
| Information Exchange | |
| Requirements | AES_FUR_0038<br>AES_FUR_0002 |
| Data Set | Re-Export Notification |
| Events | Exit Notification (First Exit Notification Received AND Manifest Presentation has been Received for the specific Exit Notification) Valid<br>Exit Notification Valid |
| State | Partially Exited<br>Exited |

| | |
|---|---|

Table 5.555: Task Record Exit Information

### Event Receive Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Notification |
| Event Type | Message intermediate event (catch) |
| Description | A notification IE is received by the authority in charge of verifying the actual exit of the goods from the Union to the Actual Office of Exit about the fact that the goods have left the Union. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Goods Released for Immediate Leave<br>Manifest Presentation Accepted<br>Notify Trader at Exit of Exit Notification Rejection<br>Trader at Exit |

Table 5.556: Event Receive Exit Notification

### Task Validate Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Exit Notification |
| Task Type | Service task |
| Description | When the Exit Notification IE is received, it must be automatically validated.<br>Syntactic checks should include, at minimum:<br>- Data compliance to its associated Rules & Conditions. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Input Data | |
| Output Data | Exit Notification Validation Result |
| Previous Objects | Receive Exit Notification |
| Information Exchange | Exit Notification |
| Requirements | AES_FUR_0001 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.557: Task Validate Exit Notification

### Task Notify Trader at Exit of Exit Notification Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Exit Notification Rejection |
| Task Type | Send task |
| Description | When it is identified that the received Exit Notification is invalid, a notification IE will automatically be sent to the Trader at Exit informing about the rejection of the Exit Notification by indicating the reasons for the rejection (IE557). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Input Data | Exit Notification Validation Result<br>MRN<br>Manifest Number<br>E_EXT_NOT |
| Output Data | |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.558: Task Notify Trader at Exit of Exit Notification Rejection

### Task Record Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Notification |
| Task Type | Service task |

| | |
|---|---|
| Description | When the exit information is available through the Exit Notification IE the data elements following are automatically recorded in AES linked to the reference number. |
| Actor | AES |
| Input Data | Exit Notification Validation Result<br>Manifest Number<br>E_EXT_NOT<br>MRN |
| Output Data | Re-Export Notification<br>Result of Full Consignment Exited Check<br>E_EXT_NOT |
| Previous Objects | Exit Notification Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0038<br>AES_FUR_0002 |
| Data Set | Re-Export Notification |
| Events | Exit Notification (Other than the first or the last received) Valid<br>Exit Notification (First Exit Notification Received AND Manifest Presentation has been Received for the specific Exit Notification) Valid<br>Manifest Presentation (Other than the first of the last received) Valid<br>Exit Notification Valid |
| State | Exited<br>Partially Exited |

Table 5.559: Task Record Exit Notification

### Task Identify if Exit Information refers to Partial Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Exit Information refers to Partial Exit |
| Task Type | Service task |
| Description | AES identifies if the Exit Information refers to partial or final exit of goods. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration |
| Input Data | Result of Full Consignment Exited Check<br>MRN |

| | |
|---|---|
| Output Data | Result of Full Consignment Exited Check |
| Previous Objects | Record Exit Information<br>Record Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0038 |
| Data Set | |
| Events | |
| State | |

Table 5.560: Task Identify if Exit Information refers to Partial Exit

### Event Partially Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Partially Exited |
| Event Type | End event |
| Description | In case of 'Partially Exited' state, the Customs Authorities expect a new Manifest Presentation. |
| Appears also in the following processes | L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification |
| Previous Objects | Partially Exited? |

Table 5.561: Event Partially Exited

### Task Stop Timer to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Receive Exit Notification |
| Task Type | Service task |
| Description | The timer to receive exit notification is stopped. |
| Actor | AES |
| Input Data | Exit Notification Record Date<br>MRN |
| Output Data | Exit Notification End Date |
| Previous Objects | Partially Exited? |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0095 |
| Data Set | |
| Events | |
| State | |

Table 5.562: Task Stop Timer to Receive Exit Notification

### Event Goods Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Exited |
| Event Type | End event |
| Description | The goods have left the Union. |
| Appears also in the following processes | L4-EXP-01-11-Exit Summary Declaration-Process Exit |
| Previous Objects | Stop Timer to Receive Exit Notification |

Table 5.563: Event Goods Exited

## 5.43. Process L4-EXP-01-14-Re-Export Notification-Process Exit

### 5.43.1. Objective of Process

This process is triggered by the end events included in L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification.
1. The AES at the Customs Office of Exit identifies whether goods shall be stored based on Exit Release Notification information. One of the following cases is fulfilled:
1.1. The goods are held for storing, hence L4-EXP-01-14-01 is executed. The manifest presentation is accepted in L4-EXP-01-14-01 and then process continues from point 2.
1.2. If the goods are released for immediate leave and then process continues from point 2.
2. L4-EXP-01-14-02 is executed and there are two possible cases:
2.1. Partial exit is recorded, and the movement state is set to ‘Partially Exited’. The process flow continues from point 1.1. but while the state is in ‘Partially Exited’.
2.2. Final exit is recorded, and the movement state is set to ‘Exited’. The process ends at this point.
The manifest can happen for the whole Re-Export Notification and not only for Partial Exit Consignment. The manifest presentation (or load list) shall include all goods to be loaded onto the ship, irrespective of their status and, where applicable, the MRN's linking the manifest to the re-export notification for the shipments concerned.

Final situation:
The goods are exited.

### 5.43.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-14 |
| Full Name | Re-Export Notification-Process Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-14-Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.564: Process Details

### 5.43.3. Tasks and Events

#### Event Goods Released for Immediate Leave

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Immediate Leave |
| Event Type | Conditional start event |
| Description | AES determined that goods can be released for exit and the state of the goods is 'Goods Released for Immediate Leave'. |
| Appears also in the following processes | L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification<br>L4-EXP-01-11-02-Handle Exit Notification-Exit Summary Declaration<br>L4-EXP-01-07-02-Handle Exit Notification<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | |

Table 5.565: Event Goods Released for Immediate Leave

#### Task Identify if Goods shall be stored based on Exit Release Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods shall be stored based on Exit Release Notification |
| Task Type | Service task |
| Description | AES identifies whether the goods will be stored prior their exit or not. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification |
| Input Data | Result of Full Consignment Exited Check<br>MRN |

| | |
|---|---|
| Output Data | Result of Full Consignment Exited Check |
| Previous Objects | Goods Released for Immediate Leave<br>Goods Held for Storing |
| Information Exchange | |
| Requirements | AES_FUR_0038 |
| Data Set | |
| Events | |
| State | |

Table 5.566: Task Identify if Goods shall be stored based on Exit Release Notification

### Event Goods Held for Storing

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Held for Storing |
| Event Type | Conditional start event |
| Description | AES identified that the goods are held for storing based on the information provided on arrival at exit. This state was reached after communication of 'Export Release Notification' (IE525) to the Trader at Exit. This start event shall be used the first time a 'Manifest Presentation' (IE547) is about to be received. |
| Appears also in the following processes | L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | |

Table 5.567: Event Goods Held for Storing

### Task Process Manifest Presentation (Re-Export Notification)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Process Manifest Presentation (Re-Export Notification) |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-14-01-Process Manifest Presentation-Re-Export Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Gateway |

| | |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.568: Task Process Manifest Presentation (Re-Export Notification)

### Task Handle Exit Notification-Re-Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Exit Notification-Re-Export Notification |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-14-02-Handle Exit Notification-Re-Export Notification is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Process Manifest Presentation (Re-Export Notification)<br>Goods Held for Storing or Goods Released for Immediate Leave? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.569: Task Handle Exit Notification-Re-Export Notification

### Event Goods Exited

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Exited |
| Event Type | End event |
| Description | The goods have exited the customs territory. |
| Appears also in the following processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Partially Exited? |

Table 5.570: Event Goods Exited

## 5.44. Process L4-EXP-01-15-Amendment of the Re-Export Notification

### 5.44.1. Objective of Process

This process starts when an 'Re-Export Notification Amendment Request' (IE573) is received. AES validates the received Re-Export Notification Amendment Request against the business rules and conditions. One of the following cases is fulfilled:

1. If the validation against the business rules and conditions fails, the request is rejected. AES records the rejection reasons and informs with 'Rejection from Office of Exit' (IE557) the trader at exit accordingly. The process ends at this point and the state of the movement at Exit remains as-is (either 'Registered' or 'Goods Ready to be Released').
2. If the validation of the re-export notification amendment request is successful, then AES records the re-export notification request and amends the customs declaration data accordingly while the movement state remains as-is (either 'Registered' or 'Goods Ready to be Released'). Next, AES informs the trader at exit with 'Re-Export Notification Amendment Acceptance' (IE574) and the process ends at this point.

Final situation:

- The Re-export Amendment request rejected.
- The Re-export Amendment request accepted.

### 5.44.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-15 |
| Full Name | Amendment of the Re-Export Notification |
| Assumptions | This process is only used if an amendment request (IE573) is received.<br>The amendment request must be sent to an Office which is located in the same Member State as the previously declared Office of Exit (i.e. the initial Re-Export Notification must be available in the national system).<br>The amendment request can be accepted only if it is sent by the Person lodging the Re-Export Notification (if he has access to the Customs AES at Exit) or by his Representative at Exit declared in the initial Re-Export Notification (IE570).<br>An amendment request may concern the creation, modification or deletion of data within a Re-Export Notification (e.g. adding, modifying or deleting goods items in a Re-Export Notification).<br>The Re-Export Notification amendment request cannot be accepted if:<br>- The Trader was informed about the customs decision to control the goods;<br>- The Re-Export Notification Particulars are incorrect;<br>- The Goods are released for exit. |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-05-Amendment of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.571: Process Details

### 5.44.3. Tasks and Events

#### Event Receive Re-export Notification Amendment Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Re-export Notification Amendment Request |
| Event Type | Message start event |
| Description | AES at the Office of Exit receives a re-export notification amendment request in an electronic format from the Trader. |
| Previous Objects | Trader at Exit |

Table 5.572: Event Receive Re-export Notification Amendment Request

#### Task Validate Re-export Notification Amendment Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Re-export Notification Amendment Request |
| Task Type | Service task |
| Description | AES validates the received re-export notification amendment request against the business rules and conditions. If the validation against the business rules and conditions fails, the amendment request is rejected.<br>AES checks whether the amendment request is lodged by the same trader who has lodged the re-export notification. If the trader is not the same, AES rejects the amendment request.<br>AES checks if the amendment request is made for a re-export notification which has been registered by the Customs Office of Exit. If the re-export notification has not been registered the amendment request is rejected.<br>AES checks if the data elements, indicated in the amendment request, are allowed to be amended. If any of the data elements is not allowed to be amended, the amendment request is rejected.<br>AES checks if the amendment request can still be accepted. The amendment request cannot be accepted if:<br>The Trader has been informed about the decision to control the goods, or<br>The Customs Office of Exit has detected that the particulars in question are incorrect, or<br>The goods have been released for exit. |
| Actor | AES |
| Input Data | |
| Output Data | Re-export Notification Amendment Request Validation Result |
| Previous Objects | Receive Re-export Notification Amendment Request |
| Information Exchange | Re-Export Notification Amendment Request |

| | |
|---|---|
| Requirements | AES_FUR_0001 |
| Data Set | Re-export Notification Amendment Request Validation Result |
| Events | |
| State | |

Table 5.573: Task Validate Re-export Notification Amendment Request

### Task Identify Re-export Notification Amendment Rejection Reason

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Re-export Notification Amendment Rejection Reason |
| Task Type | Service task |
| Description | AES identifies the rejection reason of the Re-export Notification Amendment Request. |
| Actor | AES |
| Input Data | MRN<br>Re-Export Notification Amendment Request Information<br>E_REE_AMD<br>Re-export Notification Amendment Request Validation Result |
| Output Data | Re-export Notification Amendment Request Rejection Information |
| Previous Objects | Re-Export Notification Amendment Request Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0117 |
| Data Set | |
| Events | |
| State | |

Table 5.574: Task Identify Re-export Notification Amendment Rejection Reason

### Task Record Re-export Notification Amendment Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Re-export Notification Amendment Rejection |
| Task Type | Service task |
| Description | AES records the rejection reason of the Re-Export Notification Amendment Request. |
| Actor | AES |

| | |
|---|---|
| Input Data | MRN<br>E_REE_AMD<br>Re-export Notification Amendment Request Rejection Information |
| Output Data | Re-export Notification Amendment Request Rejection Information<br>E_REE_AMD<br>Re-Export Notification |
| Previous Objects | Identify Re-export Notification Amendment Rejection Reason |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Re-Export Notification |
| Events | |
| State | |

Table 5.575: Task Record Re-export Notification Amendment Rejection

## Task Notify Person Lodging the Re-export Notification of the Amendment Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Person Lodging the Re-export Notification of the Amendment Rejection |
| Task Type | Send task |
| Description | If the amendment request is not valid or because it came too late in the re-export advice data handling process, AES informs the Trader about the rejection of the amendment request indicating the reasons for rejection. The original re-export notification (in case of multiple amendment requests, the latest accepted version of the re-export notification) remains valid. |
| Actor | AES |
| Input Data | Re-export Notification Amendment Request Rejection Information<br>MRN<br>E_REE_AMD |
| Output Data | |
| Previous Objects | Record Re-export Notification Amendment Rejection |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.576: Task Notify Person Lodging the Re-export Notification of the Amendment Rejection

### Task Record Re-export Notification Amendment Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Re-export Notification Amendment Request |
| Task Type | Service task |
| Description | AES records the re-export notification amendment request. |
| Actor | AES |
| Input Data | E_REE_AMD<br>MRN<br>Re-Export Notification Amendment Request Information |
| Output Data | E_REE_AMD<br>Re-Export Notification Amendment Request Information |
| Previous Objects | Re-Export Notification Amendment Request Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.577: Task Record Re-export Notification Amendment Request

### Event Re-export Notification Amendment Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-export Notification Amendment Rejected |
| Event Type | End event |
| Description | Re-export notification amendment request is rejected by the Customs Office of Exit. |
| Previous Objects | Notify Person Lodging the Re-export Notification of the Amendment Rejection |

Table 5.578: Event Re-export Notification Amendment Rejected

### Task Amend Re-export Notification Data

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Amend Re-export Notification Data |
| Task Type | Service task |
| Description | This task could be a manual task. If the amendment request is valid and the re-export advice can be amended, AES accepts the amendment request and amends the customs declaration data accordingly.<br>If this is a manual task, then AES informs the Customs Officer about the amendment request. The Customs Officer accepts the amendment request and amends the re-export advice. These actions are recorded in AES. The status of the customs declaration is set to 'Accepted' and the process L4-EXP-01-01 continues from the task 'Perform Risk Analysis'. |
| Actor | AES |
| Input Data | E_REE_AMD<br>Re-Export Notification Amendment Request Information<br>E_REE_NOT |
| Output Data | Re-Export Notification |
| Previous Objects | Record Re-export Notification Amendment Request |
| Information Exchange | |
| Requirements | AES_FUR_0070 |
| Data Set | Re-Export Notification |
| Events | |
| State | |

Table 5.579: Task Amend Re-export Notification Data

### Task Notify Person Lodging the Re-export Notification of Amendment Request Acceptance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Person Lodging the Re-export Notification of Amendment Request Acceptance |
| Task Type | Send task |
| Description | AES informs the Trader about the acceptance of the amendment request. |
| Actor | AES |
| Input Data | E_REE_AMD<br>Re-Export Notification Amendment Request Information<br>MRN |
| Output Data | |

| | |
|---|---|
| Previous Objects | Amend Re-export Notification Data |
| Information Exchange | Re-Export Notification Amendment Acceptance |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.580: Task Notify Person Lodging the Re-export Notification of Amendment Request Acceptance

### Event Re-export Notification Amended

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Re-export Notification Amended |
| Event Type | End event |
| Description | The amendment request has been accepted and the re-export advice has been amended. The status of the re-export advice is set to 'Registered' and the process L4-EXP-01-01 continues from the task 'Perform Risk Analysis'. |
| Previous Objects | Notify Person Lodging the Re-export Notification of Amendment Request Acceptance |

Table 5.581: Event Re-export Notification Amended

## 5.45. Process L4-EXP-01-16-01-Submit Request for Export Operation Information

### 5.45.1. Objective of Process

The process starts with the Customs Officer from any customs office to register a request for export operation information based on a given MRN to AES.
AES records the operation information query and then identifies if the requesting information is available. One of the following cases is fulfilled:
1. The export operation information is available at the office where the request is submitted. AES displays the information to the Customs Officer immediately and the process ends at this point.
2. The export operation information is not available at the Office where the request is submitted. AES notifies the Customs Officer that the information is not available and automatically sends a ‘Export Query’ (IE527) to the Country of Export and the process ends at this point.

Final situation:
- The export operation information is available and is displayed to the Customs Officer.

A request for export operation information is submitted to the Country of Export.

### 5.45.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Process ID | L4-EXP-01-16-01 |
| Full Name | Submit Request for<br>Export Operation Information |
| Assumptions | N/A |
| Constraints | The export operation information may not be available in the AES of the Member State where the information is required. In such cases the export data must be requested the appropriate Member State. |
| L3 Reference Models | L3-EXP-01-07-Operation Information Query |
| Existing Specifications | DDNXA-v5.13 |

Table 5.582: Process Details

### 5.45.3. Tasks and Events

#### Event Operation Information  Query Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information  Query Initiated |
| Event Type | Start event |
| Description | A Customs Officer initiates the process to a request AES for export operation information based on a given MRN. |
| Previous Objects | |

Table 5.583: Event Operation Information  Query Initiated

#### Task Register Operation Information Query

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Operation Information Query |
| Task Type | User task |
| Description | A customs Officer with an appropriate level of authorisation and access to AES at any Customs Office requests the export movement data with a given MRN. |
| Actor | Customs Officer |
| Input Data | MRN |
| Output Data | Export Query Reason Code<br>Date of Operation Information Query<br>CTL_Control<br>MRN |
| Previous Objects | Operation Information  Query Initiated |

| | |
|---|---|
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.584: Task Register Operation Information Query

### Task Record Operation Information Query

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Operation Information Query |
| Task Type | Service task |
| Description | AES at the requesting customs office records the operation information query. |
| Actor | AES |
| Input Data | MRN<br>Date of Operation Information Query<br>Export Query Reason |
| Output Data | Export Query Reason<br>Date of Operation Information Query |
| Previous Objects | Register Operation Information Query |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.585: Task Record Operation Information Query

### Task Identify if Operation Information Is Available

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Operation Information Is Available |
| Task Type | Service task |

| | |
|---|---|
| Description | AES at the requesting customs office checks whether information about the given MRN is available in the system or not. If information is available, it is displayed to the requesting customs officer. If this information is not available, it is requested from the Customs Office of Export. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Result of Operation Information Available Check |
| Previous Objects | Record Operation Information Query |
| Information Exchange | |
| Requirements | AES_FUR_0074 |
| Data Set | |
| Events | |
| State | |

Table 5.586: Task Identify if Operation Information Is Available

### Task Acknowledge Operation Information Query Result

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acknowledge Operation Information Query Result |
| Task Type | User task |
| Description | The requesting customs officer receives the response to the information query. AES displays the available information. |
| Actor | Customs Officer |
| Input Data | MRN<br>C_AER_SND |
| Output Data | C_AER_SND<br>MRN |
| Previous Objects | Operation Information Available? |
| Information Exchange | |
| Requirements | AES_FUR_0004 |
| Data Set | |
| Events | |
| State | |

Table 5.587: Task Acknowledge Operation Information Query Result

### Task Notify Customs Office of Export of Operation Information Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Export of Operation Information Request |
| Task Type | Send task |
| Description | AES at the requesting customs office sends the operation information request to the AES of the requested customs office (Customs Office of Export). |
| Actor | AES |
| Input Data | Export Query Reason<br>C_EXP_QUE<br>Country of dispatch/export code |
| Output Data | |
| Previous Objects | Operation Information Available? |
| Information Exchange | Export Query |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.588: Task Notify Customs Office of Export of Operation Information Request

### Event Operation Information Query Result Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information Query Result Available |
| Event Type | End event |
| Description | This event indicates that the operation information is available and AES displays the available information. |
| Appears also in the following processes | L4-EXP-01-16-Operation Information Query |
| Previous Objects | Acknowledge Operation Information Query Result |

Table 5.589: Event Operation Information Query Result Available

### Event Export Query Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Query Sent |
| Event Type | End event |
| Description | Requesting Customs Office sent the export query (IE527) to the Customs Office of Export. |
| Previous Objects | Notify Customs Office of Export of Operation Information Request |

Table 5.590: Event Export Query Sent

## 5.46. Process L4-EXP-01-16-02-Handle Request for Export Operation Information

### 5.46.1. Objective of Process

The process starts when the Customs Office of Export receives an 'Export Query' (IE527) from a Customs Office. AES records the request and identifies if the export operation information for the requested MRN is available.
1. If the operation information is available the AES retrieves this information and sends a positive 'Response to Export Query' (IE538) to the requesting Customs Office and the process ends at this point.
2. If the operation information is not available, the AES sends a negative 'Response to Export Query' (IE538) to the requesting customs office that contains the reason for not providing the movement details (e.g. unknown MRN).

Final situation:
A positive/negative 'Response to Export Query' (IE538) is sent by the Customs Office of Export to the Requesting Customs Office.

### 5.46.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-16-02 |
| Full Name | Handle Request for Export Operation Information |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-07-Operation Information Query |
| Existing Specifications | DDNXA-v5.13 |

Table 5.591: Process Details

### 5.46.3. Tasks and Events

#### Event Receive Operation Information Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Operation Information Request |

| | |
|---|---|
| Event Type | Message start event |
| Description | This event indicates that the operation information request is received. |
| Appears also in the following processes | L4-EXP-01-16-Operation Information Query |
| Previous Objects | Requesting Customs Office |

Table 5.592: Event Receive Operation Information Request

### Task Record Operation Information Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Operation Information Request |
| Task Type | Service task |
| Description | AES at the requested customs office (Customs Office of Export) records the operation information query request. |
| Actor | AES |
| Input Data | |
| Output Data | Export Query Reason<br>C_EXP_RSP |
| Previous Objects | Receive Operation Information Request |
| Information Exchange | Export Query |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.593: Task Record Operation Information Request

### Task Identify if Operation Information is available at the Customs Office of Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Operation Information is available at the Customs Office of Export |
| Task Type | Service task |
| Description | When movement query is recorded, AES automatically checks if Movement Information is available at the Customs Office of Export.<br>If the Movement Information is available at the Customs Office of Export then a positive 'Response to Export Query' (IE538) is sent. If |

| | |
|---|---|
| | the Movement Information is not available then a negative 'Response to Export Query' (IE538) is sent to the Requesting Customs Office. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Result of Operation Information Available Check |
| Previous Objects | Record Operation Information Request |
| Information Exchange | |
| Requirements | AES_FUR_0074 |
| Data Set | |
| Events | |
| State | |

Table 5.594: Task Identify if Operation Information is available at the Customs Office of Export

### Task Retrieve Requested Operation Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Retrieve Requested Operation Information |
| Task Type | Service task |
| Description | AES at the requested customs office retrieves the requested information from the system. |
| Actor | AES |
| Input Data | MRN |
| Output Data | C_EXP_RSP |
| Previous Objects | Is Operation Information available at the Customs Office of Export? |
| Information Exchange | |
| Requirements | AES_FUR_0075 |
| Data Set | |
| Events | |
| State | |

Table 5.595: Task Retrieve Requested Operation Information

### Task Notify Requesting Customs Office of Operation Information Query Result

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Requesting Customs Office of Operation Information Query Result |
| Task Type | Send task |
| Description | The process starts when the Customs Office of Export receives (IE527) a request for export operation information from a Customs Office. AES records the request and identifies if the export operation information for the requested MRN is available.<br>1. If the operation information is available the AES retrieves this information and sends a positive 'Response to Export Query' (IE538) to the requesting Customs Office and the process ends at this point.<br>2. If the operation information is not available, the AES sends a negative 'Response to Export Query' (IE538) to the requesting customs office that contains the reason for not providing the movement details (e.g. unknown MRN).<br>Final situation:<br>A positive/negative 'Response to Export Query' (IE538) is sent by the Customs Office of Export to the Requesting Customs Office. |
| Actor | AES |
| Input Data | C_EXP_RSP |
| Output Data | |
| Previous Objects | Is Operation Information available at the Customs Office of Export?<br>Retrieve Requested Operation Information |
| Information Exchange | Response To Export Query |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.596: Task Notify Requesting Customs Office of Operation Information Query Result

### Event Operation Information Query Result Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information Query Result Sent |
| Event Type | End event |
| Description | This event indicates that either a positive or a negative 'Response to Export Query' was sent by the Customs Office of Export. |
| Appears also in the following processes | L4-EXP-01-16-Operation Information Query |

| | |
|---|---|
| Previous Objects | Notify Requesting Customs Office of Operation Information Query Result |

Table 5.597: Event Operation Information Query Result Sent

## 5.47. Process L4-EXP-01-16-03-Display Requested Export Operation Information

### 5.47.1. Objective of Process

The process starts when the Requesting Customs Office receives either a positive or a negative 'Response to Export Query'(IE538). AES records the response and displays either the received information that was available or the reasons for not providing the requested information and the process ends at this point.
Final situation:
The response to export query (positive/negative) is provided to the Requesting Customs Office.

### 5.47.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-16-03 |
| Full Name | Display Requested<br>Export Operation Information |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-07-Operation Information Query |
| Existing Specifications | DDNXA-v5.13 |

Table 5.598: Process Details

### 5.47.3. Tasks and Events

#### Event Response to Export Query Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Response to Export Query Received |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.599: Event Response to Export Query Received

#### Task Record Response to Export Query

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Response to Export Query |

| | |
|---|---|
| Task Type | Service task |
| Description | AES at the requesting customs office records the received operation information query result. The result can be either positive if the operation information was provided by the Customs Office of Export or negative if the operation information was not available at the Customs Office of Export. |
| Actor | AES |
| Input Data | C_EXP_RSP |
| Output Data | C_EXP_RSP |
| Previous Objects | Response to Export Query Received |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.600: Task Record Response to Export Query

### Task Acknowledge Response to Export Query

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acknowledge Response to Export Query |
| Task Type | User task |
| Description | AES displays the operation information of the Response to Export Query to the Customs Officer if it was provided by the Customs Office of Export. If the operation information was not available AES displays the reason for not providing it (e.g. MRN unknown). |
| Actor | Customs Officer |
| Input Data | C_EXP_RSP |
| Output Data | C_EXP_RSP |
| Previous Objects | Record Response to Export Query |
| Information Exchange | |
| Requirements | AES_FUR_0004 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.601: Task Acknowledge Response to Export Query

### Event Response to Export Query Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Response to Export Query Available |
| Event Type | End event |
| Description | This event indicates that the Response to Export Query (positive/negative) was provided. |
| Appears also in the following processes | L4-EXP-01-16-Operation Information Query |
| Previous Objects | Acknowledge Response to Export Query |

Table 5.602: Event Response to Export Query Available

## 5.48. Process L4-EXP-01-16-Operation Information Query

### 5.48.1. Objective of Process

The operation information query process is initiated by a Customs Officer at the requesting office.
The process L4-EXP-01-16-01 is executed where the Customs Officer registers his/her operation information query by submitting an MRN in AES. One of the following cases is fulfilled:
1. The requested information is available in AES. In this case the Requesting Customs Office retrieves the information and the process ends at this point.
2. The requested information is not available. AES at the requesting customs office sends an operation information request with 'Export Query' (IE527). The requested customs office (Customs Office of Export) receives the 'Export Query' (IE527) and attempts to retrieve the information to respond to the query. The process L4-EXP-01-16-02 Handle Request for Export Operation Information is executed. The Customs Office of Export sends either a positive 'Response To Export Query' (IE538) with the requested data or a negative export query response if the requested data for the specific MRN is not known. AES at the requesting customs office records this response and the process L4-EXP-01-16-03 Display Requested Export Operation Information is executed. The received data is displayed to the Customs Officer and the process ends when the operation information query result (either positive or negative) is available at the requesting customs office.

Final situation:
- The Operation Information Query Result sent by the Customs Office of Export.

The Operation Information Query Result received by the Requesting Customs Office.

### 5.48.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-16 |
| Full Name | Operation Information Query |

| | |
|---|---|
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-07-Operation Information Query |
| Existing Specifications | DDNXA-v5.13 |

Table 5.603: Process Details

### 5.48.3. Tasks and Events

#### Event Operation Information Query Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information Query Initiated |
| Event Type | Conditional start event |
| Description | This event indicates that the operation information query is initiated. |
| Previous Objects | |

Table 5.604: Event Operation Information Query Initiated

#### Task Submit Request for Export Operation Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Submit Request for Export Operation Information |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-16-01 Submit Request for Export Operation Information is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Operation Information Query Initiated |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.605: Task Submit Request for Export Operation Information

#### Event Operation Information Query Result Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information Query Result Available |
| Event Type | End event |
| Description | This event indicates that the operation information is available and AES displays the available information. |
| Appears also in the following processes | L4-EXP-01-16-01-Submit Request for Export Operation Information |
| Previous Objects | Operation Information Query Result Available or Export Query Sent? |

Table 5.606: Event Operation Information Query Result Available

### Event Receive Response to Export Query

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Response to Export Query |
| Event Type | Message intermediate event (catch) |
| Description | This event indicates that the operation information query result is received. |
| Previous Objects | Handle Request for Export Operation Information<br>Operation Information Query Result Available or Export Query Sent? |

Table 5.607: Event Receive Response to Export Query

### Task Display Requested Export Operation Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Display Requested Export Operation Information |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-16-03 Display Requested Export Operation Information is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Response to Export Query |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.608: Task Display Requested Export Operation Information

### Event Receive Operation Information Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Operation Information Request |
| Event Type | Message start event |
| Description | This event indicates that the operation information request is received. |
| Appears also in the following processes | L4-EXP-01-16-02-Handle Request for Export Operation Information |
| Previous Objects | Submit Request for Export Operation Information |

Table 5.609: Event Receive Operation Information Request

### Task Handle Request for Export Operation Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Request for Export Operation Information |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-16-02 Handle Request for Export Operation Information is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Operation Information Request |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.610: Task Handle Request for Export Operation Information

### Event Response to Export Query Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Response to Export Query Available |
| Event Type | End event |
| Description | This event indicates that the Response to Export Query (positive/negative) was provided. |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-16-03-Display Requested Export Operation Information |
| Previous Objects | Display Requested Export Operation Information |

Table 5.611: Event Response to Export Query Available

### Event Operation Information Query Result Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Information Query Result Sent |
| Event Type | End event |
| Description | This event indicates that either a positive or a negative 'Response to Export Query' was sent by the Customs Office of Export. |
| Appears also in the following processes | L4-EXP-01-16-02-Handle Request for Export Operation Information |
| Previous Objects | Handle Request for Export Operation Information |

Table 5.612: Event Operation Information Query Result Sent

## 5.49. Process L4-EXP-01-17-01-Initiate Operation Status Request

### 5.49.1. Objective of Process

The process is initiated either by the Customs Officer or by the Enquiry Procedure or by the Invalidation.
The Customs Officer must register his/her Operation Status Request.
The Requesting Customs Office requests the status from the Customs Office of Exit by means of a ‘Status Request’ (IE594). The process ends at this point and the process which initiated this process can now continue.

Final situation:
AES sends the operations ‘Status Request’ (IE594) to the Customs Office of Exit.

### 5.49.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-17-01 |
| Full Name | Initiate Operation Status Request |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-08-Operation Status Check |
| Existing Specifications | DDNXA-v5.13 |

Table 5.613: Process Details

### 5.49.3. Tasks and Events

#### Event Operation Status Check Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Check Initiated |
| Event Type | Conditional start event |
| Description | A Competent Customs Officer at the Requesting Customs Office initiated the operation status check. |
| Previous Objects | |

Table 5.614: Event Operation Status Check Initiated

#### Task Register Operation Status Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Operation Status Request |
| Task Type | User task |
| Description | A competent Customs Officer registers the operation status request. |
| Actor | Customs Officer |
| Input Data | MRN |
| Output Data | Operation Status Request Registration Date<br>Status Information |
| Previous Objects | Operation Status Check Initiated |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.615: Task Register Operation Status Request

#### Event Operation Status to Be Verified

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status to Be Verified |

| | |
|---|---|
| Event Type | Conditional start event |
| Description | This event indicates that the operation status check was initiated by the enquiry procedure. |
| Previous Objects | |

Table 5.616: Event Operation Status to Be Verified

### Task Record Operation Status Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Operation Status Request |
| Task Type | Service task |
| Description | AES records the Operation Status Request. |
| Actor | AES |
| Input Data | MRN<br>Declaration Invalidation Decision<br>Status Information<br>Enquiry Procedure Decision |
| Output Data | Status Information<br>Declaration Invalidation Decision<br>Enquiry Procedure Decision |
| Previous Objects | Operation Status to Be Verified<br>Register Operation Status Request |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.617: Task Record Operation Status Request

### Task Notify Customs Office of Exit of Status Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit of Status Request |
| Task Type | Send task |
| Description | The AES of the requesting customs office informs the requested customs office of the status operation check. |
| Actor | AES |

| | |
|---|---|
| Input Data | MRN |
| Output Data | |
| Previous Objects | Record Operation Status Request |
| Information Exchange | Status Request |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.618: Task Notify Customs Office of Exit of Status Request

### Event Operation Status Request Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Request Sent |
| Event Type | End event |
| Description | AES sent an Operation Status Request (IE594) to the Customs Office of Exit. |
| Previous Objects | Notify Customs Office of Exit of Status Request |

Table 5.619: Event Operation Status Request Sent

## 5.50. Process L4-EXP-01-17-02-Handle Operation Status Request

### 5.50.1. Objective of Process

1. AES receives an Operation ‘Status Request’ from the requesting customs office for an export operation identified by a specific MRN (IE594) and records it in its systems.
2. AES retrieves the operation status of the export operation, identified by the MRN given in the status request.
3. AES informs the requesting customs office by sending a ‘Status Response’ (IE595) that contains the MRN and the movement state.
4. AES identifies whether the movement state indicates if the goods have already exited or not and one of the following occurs:
4.1. If the movement state shows that the goods have exited (the movement state is set to ‘Exited’, 'Goods not Allowed to Exit'), then AES informs the requesting customs office that the goods have exited by sending the ‘Exit Results (IE518)’. The process ends at this point.
4.2. If the movement state shows that the goods have not exited, then the process ends at this point.

Final situation:
One of the following decisions is taken:

- If the movement state shows that the goods have exited, then AES sends a 'Status Response' (IE595) and the 'Exit Results' (IE518) to the requesting customs office.
- If the movement state shows that the goods have not exited, then AES sends a 'Status Response' (IE595) to the requesting customs office.

### 5.50.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-17-02 |
| Full Name | Handle Operation Status Request |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-08-Operation Status Check |
| Existing Specifications | DDNXA-v5.13 |

Table 5.620: Process Details

### 5.50.3. Tasks and Events

#### Task Record Status Request for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Status Request for AES |
| Task Type | Service task |
| Description | AES of the requested customs office records the Operation Status Request received from the requesting customs office. |
| Actor | AES |
| Input Data | |
| Output Data | C_STD_REQ |
| Previous Objects | Operation Status Request Received |
| Information Exchange | Status Request |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.621: Task Record Status Request for AES

### Event Operation Status Request Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Request Received |
| Event Type | Conditional start event |
| Description | The requested Customs Office received a status request (IE594) for an export operation identified by a specific MRN. |
| Previous Objects | |

Table 5.622: Event Operation Status Request Received

### Task Retrieve Operation Status

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Retrieve Operation Status |
| Task Type | Service task |
| Description | AES retrieves the operation status of an export operation that is identified by the MRN given in the status request. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Status Information |
| Previous Objects | Record Status Request for AES |
| Information Exchange | |
| Requirements | AES_FUR_0079 |
| Data Set | |
| Events | |
| State | |

Table 5.623: Task Retrieve Operation Status

### Task Notify Requesting Customs Office of Status Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Requesting Customs Office of Status Results |
| Task Type | Send task |
| Description | AES of the requested customs office informs the requesting office by sending a Status Response that contains the MRN and movement state. |
| Actor | AES |

| | |
|---|---|
| Input Data | MRN<br>Status Information |
| Output Data | |
| Previous Objects | Retrieve Operation Status |
| Information Exchange | Status Response |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.624: Task Notify Requesting Customs Office of Status Results

### Task Identify Operation Status

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Operation Status |
| Task Type | Service task |
| Description | AES identifies the operation status. If the goods have exited or were not allowed to exit, the customs office of exit will send the Exit Results to the requesting customs office. The movement state was sent to the requesting customs office with IE595. |
| Actor | AES |
| Input Data | Status Information<br>MRN |
| Output Data | Availability of Exit Results Check |
| Previous Objects | Notify Requesting Customs Office of Status Results |
| Information Exchange | |
| Requirements | AES_FUR_0080 |
| Data Set | |
| Events | |
| State | |

Table 5.625: Task Identify Operation Status

### Task Notify Requesting Customs Office of Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Requesting Customs Office of Exit Results |
| Task Type | Send task |
| Description | If the movement state shows show that the goods have exited, AES of the requested customs office informs the requesting customs office that the goods have exited by sending the Exit Results to the requesting customs office. |
| Actor | AES |
| Input Data | C_EXT_RES<br>Availability of Exit Results Check |
| Output Data | |
| Previous Objects | Is the State of the Goods 'Exited', 'Goods Not Allowed to Exit'? |
| Information Exchange | Exit Results |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.626: Task Notify Requesting Customs Office of Exit Results

### Event Exit Results Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Sent |
| Event Type | End event |
| Description | The goods of the export operation wherefore an operation status check has been performed have exited and the exit results have been sent to the requesting customs office. |
| Appears also in the following processes | L4-EXP-01-17-Operation Status Check<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Notify Requesting Customs Office of Exit Results |

Table 5.627: Event Exit Results Sent

### Event Operation Status Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Operation Status Sent |
| Event Type | End event |
| Description | The goods of the export operation wherefore an operation status check has been performed have not exited and the operation status update has been sent to the requesting customs office. |
| Appears also in the following processes | L4-EXP-01-17-Operation Status Check |
| Previous Objects | Is the State of the Goods 'Exited', 'Goods Not Allowed to Exit'? |

Table 5.628: Event Operation Status Sent

## 5.51. Process L4-EXP-01-17-03-Handle Operation Status Response

### 5.51.1. Objective of Process

AES receives a ‘Status Response’ (IE595) on the Operation ‘Status Request’ (IE594) that has been sent before.
AES compares the newly recorded export movement state with the current movement state for the export operation that is identified by a specific MRN.
One of the following cases is fulfilled:
1. If both states match, then the current movement state is verified and the process ends at this point.
2. If the states don't match, then AES updates the current movement state of the export operation with the movement state it received in the Status Response of the requested customs office. At this point, the following may occur:
2.1. The Requesting Customs Office receives the ‘Exit Results’ (IE518) from the Customs Office of Exit. Once AES receives the Exit Results, it records them and the process ends at this point.
2.2. The Requesting Customs Office does not receive the ‘Exit Results’ (IE518) and then the process ends at this point.

Final situation:
One of the following decisions is taken:
- If the movement state received with the Status Response matches with the movement state of the export operation at stake, then there is no state update.
- If the movement state received with the Status Response does not match with the movement state of the export operation at stake, the movement state is updated with the reception of the Exit Results (IE518) from the Office of Exit.
- If the movement state received with the Status Response does not match with the movement state of the export operation at stake and no Exit Results are received from the Customs Office of Exit in the meantime, the movement state is updated.

### 5.51.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-17-03 |
| Full Name | Handle Operation Status Response |
| Assumptions | N/A |

| | |
|---|---|
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-08-Operation Status Check |
| Existing Specifications | DDNXA-v5.13 |

Table 5.629: Process Details

### 5.51.3. Tasks and Events

#### Task Record Operation Status Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Operation Status Response |
| Task Type | Service task |
| Description | AES of the requesting customs office records the movement state that has been received from the requested customs office in the Status Response information exchange. |
| Actor | AES |
| Input Data | |
| Output Data | C_STD_RSP |
| Previous Objects | Receive Status  Response |
| Information Exchange | Status Response |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.630: Task Record Operation Status Response

#### Event Receive Status  Response

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Status  Response |
| Event Type | Conditional start event |
| Description | AES of the requesting customs office receives a response on the operation status request that has been sent before. |
| Previous Objects | |

Table 5.631: Event Receive Status  Response

### Task Compare Available Operation Status with Received Operation Status

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Compare Available Operation Status with Received Operation Status |
| Task Type | Service task |
| Description | AES compares the newly recorded export movement state with the current movement state for the export operation that is identified by a specific MRN. If both states match, then the current movement state is verified and the process ends. If the states don't match, the movement state has to be updated. |
| Actor | AES |
| Input Data | MRN<br>Received Operation Status<br>Available Operation Status |
| Output Data | Result of Comparison Available with Received Operation Status |
| Previous Objects | Record Operation Status Response |
| Information Exchange | |
| Requirements | AES_FUR_0076 |
| Data Set | |
| Events | |
| State | |

Table 5.632: Task Compare Available Operation Status with Received Operation Status

### Task Update Operation Status

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Update Operation Status |
| Task Type | Service task |
| Description | AES updates the movement state of the export operation for a specific MRN with the movement state it received in the Status Response of the requested customs office. |
| Actor | AES |
| Input Data | MRN<br>Available Operation Status<br>Result of Comparison Available with Received Operation Status<br>Received Operation Status |
| Output Data | Status Information |

| | |
|---|---|
| Previous Objects | Received Operation Status Matches Available Operation Status? |
| Information Exchange | |
| Requirements | AES_FUR_0077 |
| Data Set | |
| Events | |
| State | |

Table 5.633: Task Update Operation Status

### Event Receive Exit Results

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Exit Results |
| Event Type | Conditional intermediate event |
| Description | The requesting customs office receives the Exit Results from the requested customs office. |
| Previous Objects | Update Operation Status |

Table 5.634: Event Receive Exit Results

### Event Exit Results were not Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results were not Received |
| Event Type | Conditional intermediate event |
| Description | The Exit Results were not received by the Customs Office of Exit. |
| Previous Objects | Update Operation Status |

Table 5.635: Event Exit Results were not Received

### Task Record Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Results |
| Task Type | Service task |
| Description | AES records the exit results received from the Customs Office of Exit or in case of an Operation Status Check from the Requested Customs Office. |
| Actor | AES |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-03-02-Receive Exit Results [DEPRECATED]<br>L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | C_EXT_RES |
| Previous Objects | Receive Exit Results |
| Information Exchange | Exit Results |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.636: Task Record Exit Results

### Event Operation Status Verified

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Verified |
| Event Type | End event |
| Description | The received export operation state matches the current operation state, the operation status is verified. |
| Previous Objects | Received Operation Status Matches Available Operation Status? |

Table 5.637: Event Operation Status Verified

### Event Exit Results Available at Customs Office of Export (after operation status check)

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available at Customs Office of Export (after operation status check) |
| Event Type | End event |
| Description | The requesting customs office received the exit results from the requested customs office. These exit results are now available at the requesting customs office. |
| Previous Objects | Record Exit Results |

Table 5.638: Event Exit Results Available at Customs Office of Export (after operation status check)

### Event Operation Status Updated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Updated |
| Event Type | End event |
| Description | The existing operation state is updated by the received export operation state. |
| Previous Objects | Exit Results were not Received |

Table 5.639: Event Operation Status Updated

## 5.52. Process L4-EXP-01-17-Operation Status Check

### 5.52.1. Objective of Process

The Operation Status Check process is initiated either by the Customs Officer or by the Enquiry Procedure or by the Invalidation processes. The Requesting Customs Office requests the status from the Customs Office of Exit by means of a 'Status Request' (IE594). The Customs Office of Exit handles the Operation Status Check.
The Requesting Customs Office receives the 'Status Response' (IE595) and if there are available 'Exit Results' (IE518), this information should be also resent from the Customs Office of Exit. The movement state is up to date. The Exit Results, if received, are recorded and the process ends at this point.

Final situation:
The movement state is up to date

### 5.52.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-17 |
| Full Name | Operation Status Check |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-08-Operation Status Check |
| Existing Specifications | DDNXA-v5.13 |

Table 5.640: Process Details

### 5.52.3. Tasks and Events

### Event Initiate Operation Status Check

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Initiate Operation Status Check |
| Event Type | Conditional start event |
| Description | A Competent Customs Officer at the Requesting Customs Office initiates the operation status check. |
| Previous Objects | |

Table 5.641: Event Initiate Operation Status Check

### Event Verify Operation Status Check

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Verify Operation Status Check |
| Event Type | Conditional start event |
| Description | The operation status check is initiated either by the enquiry procedure, or the invalidation or the amendment of export declaration processes. |
| Previous Objects | |

Table 5.642: Event Verify Operation Status Check

### Task Initiate Operation Status Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Initiate Operation Status Request |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-17-01-Initiate Operation Status Request is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Initiate Operation Status Check<br>Verify Operation Status Check |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.643: Task Initiate Operation Status Request

### Task Handle Operation Status Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Operation Status Response |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-17-03-Handle Operation Status Response is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Initiate Operation Status Request<br>Handle Operation Status Request |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.644: Task Handle Operation Status Response

### Event Operation Status Request Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Request Initiated |
| Event Type | Message start event |
| Description | The requested Customs Office receives a status request (IE594) for an export operation identified by a specific MRN. |
| Previous Objects | Initiate Operation Status Request |

Table 5.645: Event Operation Status Request Initiated

### Task Handle Operation Status Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Operation Status Request |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-17-02 is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Operation Status Request Initiated |

| | |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.646: Task Handle Operation Status Request

### Event Operation Status Check Completed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Check Completed |
| Event Type | End event |
| Description | The Operation Status Check has been completed and the movement state is up to date. |
| Previous Objects | Handle Operation Status Response |

Table 5.647: Event Operation Status Check Completed

### Event Exit Results Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Sent |
| Event Type | End event |
| Description | The goods of the export operation wherefore an operation status check has been performed have exited and the exit results have been sent to the requesting customs office. |
| Appears also in the following processes | L4-EXP-01-17-02-Handle Operation Status Request<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Exit Results Sent? |

Table 5.648: Event Exit Results Sent

### Event Operation Status Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Operation Status Sent |
| Event Type | End event |
| Description | The goods of the export operation wherefore an operation status check has been performed have not exited and the operation status update has been sent to the requesting customs office. |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-17-02-Handle Operation Status Request |
| Previous Objects | Exit Results Sent? |

Table 5.649: Event Operation Status Sent

## 5.53. Process L4-EXP-01-19-Handle Diversion

### 5.53.1. Objective of Process

The process L4-EXP-01-19 is initiated when the Customs Office of Exit has not any information on the declaration data (AER Not Available). This may occur either because the AER is not available at the Customs Office of Exit (Declared) due to technical issues (AER missing case) or because the Customs Office of Exit that the goods presented is not the declared one (i.e. Customs Office of Exit (Actual) in Diversion case). This process is initiated either from the L4-EXP-01-00-AES Master Process (considering AER missing case or Diversion case) or from the L4-EXP-01-25-01-Cross-Check of the Export MRN(s) (considering arrival of 'Transit Presentation Notification' (IE190), thus Export Followed by Transit case and the AES system shall check the Export MRN(s) unknown, in order to reply back to NCTS Customs Office of Departure with a positive or negative 'Transit Presentation Notification Response' (IE191)).

1. The AES system in Customs Office of Exit (Actual) requests AER by sending an ‘Export Declaration Request’ (IE502) to the Customs Office of Export and the movement state changes to ‘AER Requested’.

2. AES at the Office of Export checks for the requested data (export customs declaration) referenced by the MRN in order to identify if they are available in the system and if a negative or positive ‘AER Response’ (IE503) must be sent. One of the following occurs:

2.1. If the customs declaration with the given MRN exists, AES forwards the subset of the customs declaration data to the Customs Office of Exit (Actual). A positive response ‘AER Response’ (IE503) shall be sent if the MRN is related to the export declaration. The response may contain the safety and security risk analysis results. This customs declaration data shall be forwarded to Office of Exit (the initially declared one), in case of AER missing, too - this means that, Office of Exit may have not received the ‘AER’ (IE501) while goods arrive in that office.

Next, it is investigated whether diversion has occurred, in order the Customs Office of Export to inform the Customs Office of Exit (Declared) on this change of the movement.

2.1.1. If diversion occurred, AES at the Customs Office of Export also sends a ‘Forwarded Arrival Advice’ (IE524) to the Customs Office of Exit (Declared). In the case of international diversion a ‘Forwarded Arrival Advice’ (IE524) is sent in the Office of Exit (Declared) (which has already received an ‘ AER’ (IE501), in order to close the movement that has been presented in another Customs Office of Exit (Actual)). The process ends at this point in the Customs Office of Export with ‘AER Request Accepted’ and continues with the Customs Office of Exit (Declared) in point 3 and Customs Office of Exit (Actual) in point 4.

2.1.2. In the exceptional case of missing ‘AER’ (IE501) in the Customs Office of Exit (Declared), then no diversion has occurred and therefore, no ‘Forwarded Arrival Advice’ (IE524) is sent. The process ends at this point in the Customs Office of Export with ‘AER Request Accepted’ and continues with Customs Office of Exit (which in this case is the Declared one) directly from point 4.

2.2. If the customs declaration with the given MRN is not known to the Customs Office of Export or the diversion cannot be accepted because of the state of the movement (e.g. goods have already exited), then a negative ‘AER Response’ (IE503) is sent to the Customs Office of Exit (Actual). A negative response, shall be sent in one of following cases:

2.2.1. If the requested Country does not know the MRN, in the context of an export customs declaration, the process at Customs Office of Export ends with ‘AER Request Rejected’.

2.2.2. If the movement is not in movement state ‘Goods Released for Export’, the process at Customs Office of Export ends with ‘AER Request Rejected’.

2.2.3. If a diversion occurred, but AES at the Customs Office of Export rejects it. The process at Customs Office of Export ends with ‘AER Request Rejected’.
3. The Customs Office of Exit (Declared) receives the ‘Forwarded Arrival Advice’ (IE524) and records it in its AES system.
3.1. The diversion is recorded, and the movement state alters to ‘Arrived Elsewhere’ at this point.
3.2. The Timer to Receive Arrival at Exit is initiated and then, one of the following two cases might occur:
3.2.1. Despite of this diversion, the Goods are finally presented in the Customs Office of Exit (Declared) at a later point. Thus, it shall be re-considered whether declaration data are available or need to be requested from the Customs Office of Export with 'Declaration Request Export' (IE502). The process at the Customs Office of Exit (Declared) ends with ‘Arrival at Exit’. The process for the Customs Office of Exit (Declared) ends at this point and shall continue from L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification.
3.2.2. The Timer to receive Arrival at Exit expires and no goods are presented at this Customs Office of Exit (Declared), thus, the process ends at this point at the at the Customs Office of Exit (Declared) and the movement state is changed to ‘Handled Elsewhere', which is a final state.
4. The Customs Office of Exit (Actual) (in case of AER missing, this Customs Office of Exit is the Declared one) receives the AER response (sent by the Office of Export), records it and the following cases might occur:
4.1. If the AER data were available (positive 'AER Response' (IE503)) in the Customs Office of Export, AES system in the Customs Office of Exit (Actual) checks whether the AER request concerned an unknown Export MRN for an Export Movement Followed by Transit and one of the following cases might occur:
4.1.1. If the AER Request was not for an Export Movement Followed by Transit, the process at the Customs Office of Exit (Actual) ends at this point with the movement state changing to 'Goods presented at exit'. The process which initiated this process can now continue.
4.1.2. If the AER Request was for an Export Movement Followed by Transit, the process at the Customs Office of Exit (Actual) ends at this point with ‘Positive AER Response received for an Export Movement Followed by Transit’. The process which initiated this process can now continue.
4.2. If the AER data were not available (negative 'AER Response' (IE503)) in the Customs Office of Export, AES system in the Customs Office of Exit (Actual) checks whether the AER request concerned an unknown Export MRN for an Export Movement Followed by Transit and one of the following cases might occur:
4.2.1. If the AER Request was not for an Export Movement Followed by Transit:
4.2.1.1. A new Timer to receive Arrival at Exit information is initiated.
4.2.1.2. The Trader at Exit is notified of Diversion rejection with a ‘Diversion Rejection Notification’ (IE521).
4.2.1.3. Following, one of the following two cases is fulfilled:
4.2.1.3.1. The Goods arrive at Exit in this Customs Office of Exit at a later point; thus, it shall be re-considered whether declaration data are available or need to be requested from another Customs Office of Export. The process at the Customs Office of Exit (Actual) ends with ‘Arrival at Exit’. The process for the Customs Office of Exit (Actual) ends at this point and shall continue from L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification.
4.2.1.3.2. The Timer to receive Arrival at Exit expires and no goods are presented at this Customs Office of Exit or Arrival at Exit information is made available, thus, the process ends at this point at the at the Customs Office of Exit (Actual) and the movement state is changed to ‘Handled Elsewhere', which is a final state.
4.2.2. If the AER Request was for an Export Movement Followed by Transit, the process at the Customs Office of Exit (Actual) ends at this point with ‘Negative AER Response received for an Export Movement Followed by Transit’. The process which initiated this process can now continue.

Final situation:
- AES at the Customs Office of Export accepts the AER Request and sends the declaration data available to the Customs Office of Exit requesting this information. The process ends with ‘AER Request Accepted’.

- AES at the Customs Office of Export rejects the AER Request and sends a negative notification to AER Request to the Customs Office of Exit requesting this information. The process ends with ‘AER Request Rejected’.
- AES at the Customs Office of Exit (Declared) receives a ‘Forwarded Arrival Advice’ (IE524) from the Customs Office of Export, records the diversion, but later on goods arrive at this Customs Office of Exit or Arrival at Exit is submitted by Trader at Exit for this MRN. The process ends with 'Arrival at Exit'.
- AES at the Customs Office of Exit (Declared) receives a ‘Forwarded Arrival Advice’ (IE524) from the Customs Office of Export, records the diversion and no goods arrive at this Customs Office of Exit or Arrival at Exit is submitted by Trader at Exit for this MRN. The movement state is set to ‘Handled Elsewhere', which is a final state.
- AES at the Customs Office of Exit (Actual) receives the declaration data with ‘AER Response’ (IE503) from the Customs Office of Export, records the AER Response, the movement does not concern Export Followed by Transit, thus, the movement state is set to ‘Goods Presented at Exit'.
- AES at the Customs Office of Exit (Actual) receives the declaration data with ‘AER Response’ (IE503) from the Customs Office of Export, records the AER Response, the movement concerns Export Followed by Transit, thus, the process ends with ‘Positive AER Response received for an Export Movement Followed by Transit’.
- AES at the Customs Office of Exit (Actual) receives ‘negative’ response to declaration request with ‘AER Response’ (IE503) from the Customs Office of Export, the movement concerns Export Followed by Transit, thus, the process ends with ‘Negative AER Response received for an Export Movement Followed by Transit’.
- AES at the Customs Office of Exit (Actual) receives ‘negative’ response to declaration request (IE503) from the Customs Office of Export, but later on goods arrive at this Customs Office of Exit or Arrival at Exit is submitted by Trader at Exit for this MRN. The process ends with 'Arrival at Exit'.
- AES at the Customs Office of Exit (Actual) receives ‘negative’ response to declaration request with ‘AER Response’ (IE503) from the Customs Office of Export, and no goods arrive at this Customs Office of Exit or Arrival at Exit is submitted by Trader at Exit for this MRN. The movement state is set to ‘Handled Elsewhere’, which is a final state.

### 5.53.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-19 |
| Full Name | Handle Diversion |
| Assumptions | In case of AER missing (Customs Office of Exit has not received the AER IE501 but goods presented at Exit), the pool "Customs Office of Exit (Actual)" is handled as the "Customs Office of Exit". |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-26-Diversion |
| Existing Specifications | DDNXA-v5.13 |

Table 5.650: Process Details

### 5.53.3. Tasks and Events

#### Event Receive Declaration Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Declaration Request |
| Event Type | Message start event |
| Description | |
| Appears also in the following processes | L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED] |
| Previous Objects | Request AER |

Table 5.651: Event Receive Declaration Request

### Task Record Declaration Request Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Declaration Request Export |
| Task Type | Service task |
| Description | AES at the Customs Office of Export records the Declaration Request (IE502) from the Customs Office of Exit (Actual). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-01-Handle Declaration Request [DEPRECATED] |
| Input Data | |
| Output Data | C_EXP_REQ |
| Previous Objects | Receive Declaration Request |
| Information Exchange | Declaration Request Export |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.652: Task Record Declaration Request Export

### Task Identify if Positive or Negative AER Response must be Sent

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Positive or Negative AER Response must be Sent |
| Task Type | Service task |

| | |
|---|---|
| Description | AES checks whether the requested customs declaration data is available in the system.<br>If the customs declaration with the given MRN exists, AES forwards the subset of the customs declaration data to the Customs Office of Exit (Actual). This customs declaration data shall be forwarded to Office of Exit (the initially declared one), in case of AER missing, too - this means that, Office of Exit may have not received the AER (IE501) while goods arrive in that office.<br>At any case, when diversion occurs, AES also sends a Forwarded Arrival Advice (IE524) to the Customs Office of Exit (Declared). If the customs declaration with the given MRN is not known to the Customs Office of Export or the diversion cannot be accepted because of the state of the movement (e.g. goods have already exited), then a negative response (IE503) is sent to the Customs Office of Exit (Actual). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-01-Handle Declaration Request [DEPRECATED] |
| Input Data | |
| Output Data | Result of Goods Not Exited Check<br>Result of Declaration Data Availability Check<br>Result of Diversion at Customs Office of Exit Check<br>Result of Diversion at Customs Office of Exit Acceptance Check |
| Previous Objects | Record Declaration Request Export |
| Information Exchange | Declaration Request Export |
| Requirements | AES_FUR_0028<br>AES_FUR_0027 |
| Data Set | |
| Events | |
| State | |

Table 5.653: Task Identify if Positive or Negative AER Response must be Sent

### Task Notify Customs Office of Exit with AER Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit with AER Response |
| Task Type | Send task |
| Description | AES forwards the customs declaration data (IE503) to the Customs Office of Exit in case of AER missing from the Customs Office of Exit goods have arrived or in case of diversion (MRN known and in appropriate state).<br>In case it is identified that the declaration data cannot be forwarded or the |

| | |
|---|---|
| | diversion cannot be accepted, a "negative" notification IE (IE503) will automatically be sent to the Customs Office of Exit informing about the rejection and indicating its reasons. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-01-Handle Declaration Request [DEPRECATED] |
| Input Data | Result of Goods Not Exited Check<br>Result of Declaration Data Availability Check<br>Result of Diversion at Customs Office of Exit Acceptance Check<br>C_AER_SND<br>Result of Diversion at Customs Office of Exit Check |
| Output Data | |
| Previous Objects | Identify if Positive or Negative AER Response must be Sent |
| Information Exchange | AER Response |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.654: Task Notify Customs Office of Exit with AER Response

### Task Request AER

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request AER |
| Task Type | Send task |
| Description | AES requests the Anticipated Export Record from the Customs Office of Export. The movement state changes to 'AER Requested'. |
| Actor | AES |
| Input Data | E_ARR_EXT<br>Result of AER Availability Check<br>MRN |
| Output Data | Export Declaration |
| Previous Objects | AER Not Available |
| Information Exchange | Declaration Request Export |

| | |
|---|---|
| Requirements | AES_FUR_0022 |
| Data Set | Export Declaration |
| Events | Arrival at Exit Valid and AER not available |
| State | AER Requested |

Table 5.655: Task Request AER

### Event AER Not Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Not Available |
| Event Type | Conditional start event |
| Description | AER is not available at the Customs Office of Exit. |
| Appears also in the following processes | L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Previous Objects | |

Table 5.656: Event AER Not Available

### Event AER Response Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Response Received |
| Event Type | Message intermediate event (catch) |
| Description | Office of Exit receives the declaration data ("positive" IE503) or the negative response to diversion ("negative" IE503). |
| Appears also in the following processes | L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Previous Objects | Notify Customs Office of Exit with AER Response<br>Request AER |

Table 5.657: Event AER Response Received

### Task Record AER Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record AER Response |
| Task Type | Service task |

| | |
|---|---|
| Description | AES records and validates the AER response sent by the Customs Office of Export.<br>If the AER response is negative, the process ends and the movement state is changed to 'Diversion Rejected'.<br>If the AER response is positive the declaration data is recorded and the movement state changes to 'Goods Presented at Exit'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Input Data | |
| Output Data | C_AER_RSP<br>Export Declaration |
| Previous Objects | AER Response Received |
| Information Exchange | AER Response |
| Requirements | AES_FUR_0030<br>AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | AER Response Positive<br>AER Response Negative |
| State | Goods Presented at Exit<br>Diversion Rejected |

Table 5.658: Task Record AER Response

### Event AER Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Request Rejected |
| Event Type | End event |
| Description | AER request is rejected. |
| Previous Objects | Positive or Negative AER Response to be Sent? |

Table 5.659: Event AER Request Rejected

### Task Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Positive Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Positive Response |
| Task Type | Service task |
| Description | AES checks whether the AER request concerns an unknown MRN for en Export Movement Followed by Transit. |
| Actor | AES |
| Input Data | C_AER_RSP<br>N_XFT_REQ |
| Output Data | Movement concerns Exit Followed by Transit Check |
| Previous Objects | Declaration Data Available? |
| Information Exchange | |
| Requirements | AES_FUR_0111 |
| Data Set | |
| Events | |
| State | |

Table 5.660: Task Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Positive Response

### Task Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Negative Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Negative Response |
| Task Type | Service task |
| Description | AES checks whether the AER request concerns an unknown MRN for en Export Movement Followed by Transit. |
| Actor | AES |
| Input Data | C_AER_RSP<br>N_XFT_REQ |
| Output Data | Movement concerns Exit Followed by Transit Check |
| Previous Objects | Declaration Data Available? |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0111 |
| Data Set | |
| Events | |
| State | |

Table 5.661: Task Identify if Movement concerns Unknown MRN Request in Exit Followed by Transit for this AER Negative Response

### Task Start Timer to Receive Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer to Receive Arrival at Exit |
| Task Type | Service task |
| Description | The timer to receive Arrival at Exit (with duration 200 days) will be initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Input Data | MRN<br>Timer to Receive Arrival at Exit Information |
| Output Data | Timer to Receive Arrival at Exit Expiration Date |
| Previous Objects | Concerns Exit Followed by Transit? |
| Information Exchange | |
| Requirements | AES_FUR_0112 |
| Data Set | |
| Events | |
| State | |

Table 5.662: Task Start Timer to Receive Arrival at Exit

### Event Goods Presented at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Presented at Exit |
| Event Type | End event |
| Description | The goods have been successfully presented at the Office of Exit. |
| Appears also in the following | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration |

| | |
|---|---|
| processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration<br>L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Previous Objects | Concerns Exit Followed by Transit? |

Table 5.663: Event Goods Presented at Exit

### Event Positive AER Response received for an Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Positive AER Response received for an Export Movement Followed by Transit |
| Event Type | End event |
| Description | The process at the Customs Office of Exit (Actual) ends at this point with 'Positive AER Response received for an Export Movement Followed by Transit'. The process which initiated this process can now continue. |
| Previous Objects | Concerns Exit Followed by Transit? |

Table 5.664: Event Positive AER Response received for an Export Movement Followed by Transit

### Task Notify Trader at Exit of Diversion Rejection

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Diversion Rejection |
| Task Type | Send task |
| Description | When it is identified that the declaration data is not available, a notification IE will automatically be sent to the Trader at Exit informing that the diversion was rejected due to specific reasons. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Input Data | Exit Summary Declaration Information<br>C_AER_RSP<br>C_EXS_RSP |
| Output Data | |

| | |
|---|---|
| Previous Objects | Start Timer to Receive Arrival at Exit |
| Information Exchange | Diversion Rejection Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.665: Task Notify Trader at Exit of Diversion Rejection

### Event Goods Arrived at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Arrived at Exit |
| Event Type | Conditional intermediate event |
| Description | The goods have arrived at this Office of Exit while timer to receive arrival at exit is still active. Customs Authorities shall check whether declaration data are available or not and decide whether a new declaration request export (IE502) will be sent or not. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Notify Trader at Exit of Diversion Rejection |

Table 5.666: Event Goods Arrived at Exit

### Task Notify Customs Office of Exit of Forwarded Arrival Advice

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit of Forwarded Arrival Advice |
| Task Type | Send task |
| Description | AES forwards the arrival advice (IE524) to the Customs Office of Exit (Declared), which has already received an IE501, in order to close the movement that has been presented in another Office of Exit (Actual). The movement state changes to 'Diversion Accepted'. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-01-Handle Declaration Request [DEPRECATED] |
| Input Data | C_AER_SND<br>C_EXP_REQ |
| Output Data | Export Declaration |

| | |
|---|---|
| Previous Objects | Is There Diversion at the Customs Office of Exit? |
| Information Exchange | Forwarded Arrival Advice |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | Diversion and AER response is Positive (for the first Diversion that occur) |
| State | Diversion Accepted |

Table 5.667: Task Notify Customs Office of Exit of Forwarded Arrival Advice

### Event Timer to Receive Arrival at Exit Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Timer to Receive Arrival at Exit Expired |
| Event Type | Timer intermediate event |
| Description | Timer to receive arrival at exit expires. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Notify Trader at Exit of Diversion Rejection |

Table 5.668: Event Timer to Receive Arrival at Exit Expired

### Task Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit |
| Task Type | Service task |
| Description | The timer to receive arrival at exit has expired and no goods have arrived at Office of Exit/Office of Exit has not received any Arrival at Exit (IE507) for that specific MRN, thus, the movement state changes to "Handled Elsewhere". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Input Data | MRN<br>Timer to Receive Arrival at Exit Expiration Date |

| | |
|---|---|
| Output Data | Export Declaration<br>Timer to Receive Arrival at Exit Expiration Date |
| Previous Objects | Timer to Receive Arrival at Exit Expired |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Timer to Receive Arrival at Exit Expired |
| State | Handled Elsewhere |

Table 5.669: Task Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit

### Event Negative AER Response received for an Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Negative AER Response received for an Export Movement Followed by Transit |
| Event Type | End event |
| Description | the process at the Customs Office of Exit (Actual) ends at this point with "Negative AER Response received for an Export Movement Followed by Transit'. The process which initiated this process can now continue. |
| Previous Objects | Concerns Exit Followed by Transit? |

Table 5.670: Event Negative AER Response received for an Export Movement Followed by Transit

### Event Arrival at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit |
| Event Type | End event |
| Description | Goods have arrived at Exit. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Goods Arrived at Exit |

Table 5.671: Event Arrival at Exit

### Event Handled Elsewhere

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Handled Elsewhere |
| Event Type | End event |
| Description | The diversion at the Declared Customs Office of Exit has been registered and timer to receive arrival at exit has expired. This is a final state. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-00-AES Master Process |
| Previous Objects | Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit |

Table 5.672: Event Handled Elsewhere

### Event AER Request Accepted

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Request Accepted |
| Event Type | End event |
| Description | AER request is accepted. |
| Appears also in the following processes | L4-EXP-01-03-01-Handle Declaration Request [DEPRECATED] |
| Previous Objects | Notify Customs Office of Exit of Forwarded Arrival Advice<br>Is There Diversion at the Customs Office of Exit? |

Table 5.673: Event AER Request Accepted

### Event Receive Forwarded Arrival Advice

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Forwarded Arrival Advice |
| Event Type | Message start event |
| Description | AES at the Customs Office of Exit (Declared) receives a Forwarded Arrival Advice (IE524) from the Customs Office of Export that there has been a diversion. |
| Previous Objects | Notify Customs Office of Exit of Forwarded Arrival Advice |

Table 5.674: Event Receive Forwarded Arrival Advice

### Task Record Diversion at Declared Customs Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Diversion at Declared Customs Office of Exit |

| | |
|---|---|
| Task Type | Service task |
| Description | AES at the Customs Office of Exit (Declared) records the diversion. In the Office of Exit (Declared), the state of the movement will change to "Arrived Elsewhere" which is a final state. |
| Actor | AES |
| Input Data | |
| Output Data | C_ARR_FWD<br>Export Declaration |
| Previous Objects | Receive Forwarded Arrival Advice |
| Information Exchange | Forwarded Arrival Advice |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Forwarded Arrival Advice Received |
| State | Arrived Elsewhere |

Table 5.675: Task Record Diversion at Declared Customs Office of Exit

### Task Start Timer to Receive Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Timer to Receive Arrival at Exit |
| Task Type | Service task |
| Description | The timer to receive Arrival at Exit (with duration 200 days) will be initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Input Data | MRN<br>Timer to Receive Arrival at Exit Information |
| Output Data | Timer to Receive Arrival at Exit Expiration Date |
| Previous Objects | Record Diversion at Declared Customs Office of Exit |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0112 |
| Data Set | |
| Events | |
| State | |

Table 5.676: Task Start Timer to Receive Arrival at Exit

### Event Goods Arrived at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Arrived at Exit |
| Event Type | Conditional intermediate event |
| Description | The goods have arrived at this Office of Exit while timer to receive arrival at exit is still active. Customs Authorities shall check whether declaration data are available or not and decide whether a new declaration request export (IE502) will be sent or not. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Start Timer to Receive Arrival at Exit |

Table 5.677: Event Goods Arrived at Exit

### Event Timer to Receive Arrival at Exit Expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Timer to Receive Arrival at Exit Expired |
| Event Type | Timer intermediate event |
| Description | Timer to receive arrival at exit expires. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Start Timer to Receive Arrival at Exit |

Table 5.678: Event Timer to Receive Arrival at Exit Expired

### Task Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit |
| Task Type | Service task |
| Description | The timer to receive arrival at exit has expired and no goods have arrived at Office of Exit/Office of Exit has not received any Arrival at Exit (IE507) for that specific MRN, thus, the movement state changes to "Handled |

| | |
|---|---|
| | Elsewhere". |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Input Data | MRN<br>Timer to Receive Arrival at Exit Expiration Date |
| Output Data | Export Declaration<br>Timer to Receive Arrival at Exit Expiration Date |
| Previous Objects | Timer to Receive Arrival at Exit Expired |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Timer to Receive Arrival at Exit Expired |
| State | Handled Elsewhere |

Table 5.679: Task Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit

### Event Arrival at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit |
| Event Type | End event |
| Description | Goods have arrived at Exit. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | Goods Arrived at Exit |

Table 5.680: Event Arrival at Exit

### Event Handled Elsewhere

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Handled Elsewhere |
| Event Type | End event |
| Description | The diversion at the Declared Customs Office of Exit has been registered and timer to receive arrival at exit has expired. This is a final state. |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-00-AES Master Process |
| Previous Objects | Record Expiry of Time Limit to Receive Arrival at Exit from Trader at Exit |

Table 5.681: Event Handled Elsewhere

## 5.54. Process L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit

### 5.54.1. Objective of Process

The process L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit is executed in case 'Risk Analysis Results Recorded' in the process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods. The Customs Officer at the Customs Office of Exit compiles all the information (the export movement information and the risk analysis result) in order to register control decision. Even if the risk analysis is an automatic process, the final decision to control must be taken by the Customs Officer.
The Customs Officer registers the control decision for AES and this decision is recorded in the AES system of the Customs Office of Exit. Following, one of the two cases might occur:
1. The Control Decision indicates that goods need to be controlled and the type of controls to be performed (e.g. documentary, physical check).
1.1. The AES at the Customs Office of Exit notifies the Trader at Exit about the decision to control with an 'Exit Control Decision Notification' (IE561). The movement state is set to 'Under Control'. The process which initiated this process might continue.
2. The Control Decision indicates that goods do not need to be controlled, thus, the movement state change to 'Goods Ready to Be Released' for exit. The process which initiated this process might continue.
Final Situation:
One of the following decisions is registered:

- No control at all, movement state is set to 'Goods Ready to Be Released';
- Controls will be performed; the movement state is set to 'Under Control'.

### 5.54.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-21-01 |
| Full Name | Register and Record Control Decision at Office of Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-22-Perform Controls |
| Existing Specifications | DDNXA-v5.13 |

Table 5.682: Process Details

### 5.54.3. Tasks and Events

#### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | Conditional start event |
| Description | |
| Previous Objects | |

Table 5.683: Event Risk Analysis Results Recorded

#### Task Register Control Decision for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Decision for AES |
| Task Type | User task |
| Description | Based on the risk analysis result the customs officer decides whether or not to control the goods. The decision is registered in AES. If the decision is taken to control the goods, the customs officer indicates the type of controls to be performed (e.g. documentary, physical check). |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-10-02-Register and Record Control Decision-Exit Summary Declaration<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection |
| Input Data | Risk Analysis Result<br>MRN |
| Output Data | Control Decision |
| Previous Objects | Risk Analysis Results Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.684: Task Register Control Decision for AES

### Task Record Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Decision |
| Task Type | Service task |
| Description | The system record the control decision of the Customs Officer at the Actual Office of Destination. |
| Actor | AES |
| Input Data | Control Decision<br>MRN |
| Output Data | Export Declaration<br>Control Decision |
| Previous Objects | Register Control Decision for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002<br>AES_FUR_0039 |
| Data Set | Export Declaration |
| Events | Decision to Control the Goods<br>Decision Not to Control the Goods |
| State | Under Control<br>Goods Ready to be Released |

Table 5.685: Task Record Control Decision

### Task Notify Trader at Exit for Control Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit for Control Decision |
| Task Type | Send task |
| Description | AES informs the person who is the holder of the AEO status about the planned controls. |
| Actor | AES |
| Input Data | Export Declaration Information<br>MRN<br>Control Decision |

| | |
|---|---|
| Output Data | |
| Previous Objects | Goods to Be Controlled? |
| Information Exchange | Exit Control Decision Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.686: Task Notify Trader at Exit for Control Decision

### Event Under Control

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Under Control |
| Event Type | End event |
| Description | Goods are Under Control. |
| Appears also in the following processes | L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Previous Objects | Notify Trader at Exit for Control Decision |

Table 5.687: Event Under Control

### Event Goods Ready To Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready To Be Released |
| Event Type | End event |
| Description | Goods are ready to be released for exit. |
| Appears also in the following processes | L4-EXP-01-21-03-Release Goods for Exit<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Previous Objects | Goods to Be Controlled? |

Table 5.688: Event Goods Ready To Be Released

## 5.55. Process L4-EXP-01-21-02-Register Control Results at Office of Exit

### 5.55.1. Objective of Process

The process L4-EXP-01-21-02-Register Control Results at Office of Exit is executed in case goods are 'Under Control' in the process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods. The process starts when controls have taken place (manual process).
The Customs Officer at the Customs Office of Exit registers the results of the control in AES. Control results recorded may be one of the following two:
1. The Control Results are satisfactory or with minor discrepancies, thus, the movement state changes to 'Goods Ready to Be Released' and process ends. In case of minor discrepancies, the minor discrepancies will be reported to the Office of Export in due time, with the Control 'Exit Results' (IE518). The process which initiated this process might continue.
2. The Control Results are not satisfactory, thus, the following two shall occur in parallel:
2.1. The Office of Export is notified about the 'Exit Results' (IE518 reporting the detected discrepancies)
2.2. The Trader at Exit is notified with 'Exit Release Rejection' (IE522) due to the detected discrepancies identified in Exit Controls.
In both two above cases, the goods are not allowed to exit, hence the export operation is stopped here without prejudice of any subsequent measure to be taken. The movement state changes to 'Goods Not Allowed to Exit', this process ends at this point and the process which initiated this process might continue.

Final situation:
Control results are stored in AES:
- Either the goods are ready to be released and the movement state is set to 'Goods Ready to Be Released';
- Or, goods are not allowed to exit (in case of 'not satisfactory' control results considered) and the movement state is set to 'Goods Not Allowed to Exit'.

### 5.55.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-21-02 |
| Full Name | Register Control Results at Office of Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-22-Perform Controls |
| Existing Specifications | DDNXA-v5.13 |

Table 5.689: Process Details

### 5.55.3. Tasks and Events

#### Task Register Control Result for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Result for AES |

| | |
|---|---|
| Task Type | User task |
| Description | Customs Officer registers the control results in AES. If the control revealed no discrepancies the control result will be 'Satisfactory'. If at any case, Customs Office of Exit (or PCO in CCE) was not able to perform the necessary controls, the control result shall be 'Considered Satisfactory'.If major discrepancies have been revealed during controls, the control result will be 'Unsatisfactory'. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED]<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Input Data | MRN<br>Control Result |
| Output Data | Control Result |
| Previous Objects | Under Control |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.690: Task Register Control Result for AES

## Event Under Control

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Under Control |
| Event Type | Conditional start event |
| Description | Goods are Under Control. |
| Appears also in the following processes | L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit |
| Previous Objects | |

Table 5.691: Event Under Control

## Task Record Control Result

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Control Result |
| Task Type | Service task |
| Description | AES records the control results. If the control results are satisfactory or with minor discrepancies, the movement state is set to 'Goods Ready to Be Released'. If the control results are unsatisfactory, the movement state is set to 'Goods Not Allowed to Exit'. |
| Actor | AES |
| Input Data | MRN<br>Control Result |
| Output Data | Export Declaration<br>Control Result |
| Previous Objects | Register Control Result for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Control Results Satisfactory or Considered Satisfactory<br>Control Results Unsatisfactory |
| State | Goods not Allowed to Exit<br>Goods Ready to be Released |

Table 5.692: Task Record Control Result

### Event Goods Ready To Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready To Be Released |
| Event Type | End event |
| Description | Goods are ready to be released for exit. |
| Appears also in the following processes | L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit<br>L4-EXP-01-21-03-Release Goods for Exit |
| Previous Objects | Control Results Satisfactory/Minor Discrepancies or Not Satisfactory? |

Table 5.693: Event Goods Ready To Be Released

### Task Notify Customs Office of Export of Negative Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Export of Negative Exit Results |
| Task Type | Send task |
| Description | A notification IE will automatically be sent to the Customs Office of Export informing that the Negative Exit Results (IE518). The state of the goods is set to 'Goods Not Allowed to Exit'. |
| Actor | AES |
| Input Data | MRN<br>Control Result<br>Export Declaration Information |
| Output Data | |
| Previous Objects | Control Results Satisfactory/Minor Discrepancies or Not Satisfactory? |
| Information Exchange | Exit Results |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.694: Task Notify Customs Office of Export of Negative Exit Results

### Task Notify Trader at Exit of Non Release for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Non Release for Exit |
| Task Type | Send task |
| Description | When it is identified that the control results are not satisfactory, a notification IE will automatically be sent to the Trader at Exit informing that the goods are not released for exit including the reasons for the non-release (IE522). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Input Data | Re-export Notification Information<br>MRN<br>Exit Summary Declaration Information<br>Export Declaration Information<br>Exit Release Rejection Information |

| | |
|---|---|
| Output Data | |
| Previous Objects | Control Results Satisfactory/Minor Discrepancies or Not Satisfactory? |
| Information Exchange | Exit Release Rejection |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.695: Task Notify Trader at Exit of Non Release for Exit

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to Exit due to major discrepancies detected during the controls of the goods. |
| Appears also in the following processes | L4-EXP-01-13-03-Register Control Results-Re-Export Notification<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Previous Objects | Notify Trader at Exit of Non Release for Exit<br>Notify Customs Office of Export of Negative Exit Results |

Table 5.696: Event Goods Not Allowed to Exit

## 5.56. Process L4-EXP-01-21-03-Release Goods for Exit

### 5.56.1. Objective of Process

The process L4-EXP-01-21-03-Release Goods for Exit is executed in case ‘Goods Ready To Be Released’ in the process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods. The goods are released for exit and AES at the Customs Office of Exit notifies the Trader at Exit with ‘Exit Release Notification’ (IE525). The movement state is set to 'Goods Released for Immediate Leave'. If the goods are to be stored prior the exit, the movement status is set to 'Goods held for storing'. In both cases, this process ends with ‘Goods Released for Exit’ and the process which initiated this process might continue.

Final Situation:
AES releases the goods for exit. The movement state is set to 'Goods released for Immediate Leave'. If the goods are to be stored prior the exit, the movement state is set to 'Goods Held for Storing'. In both cases, this process ends with ‘Goods Released for Exit’.

### 5.56.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-21-03 |
| Full Name | Release Goods for Exit |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.697: Process Details

### 5.56.3. Tasks and Events

#### Task Release Goods for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Exit |
| Task Type | Service task |
| Description | AES releases the goods for exit. The movement state is set to 'Goods Released for Immediate Leave'. If the goods are to be stored prior the exit, the movement status is set to 'Goods held for storing'. |
| Actor | AES |
| Input Data | Result of Release Goods for Exit Check<br>MRN<br>Export Declaration Information |
| Output Data | Export Declaration |
| Previous Objects | Goods Ready To Be Released |
| Information Exchange | |
| Requirements | AES_FUR_0035 |
| Data Set | Export Declaration |
| Events | Storing Flag "Yes"<br>Storing Flag "No" |
| State | Goods Held for Storing |

| | |
|---|---|
| | Goods Release for Immediate Leave |

Table 5.698: Task Release Goods for Exit

### Event Goods Ready To Be Released

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Ready To Be Released |
| Event Type | Conditional start event |
| Description | Goods are ready to be released for exit. |
| Appears also in the following processes | L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit<br>L4-EXP-01-21-02-Register Control Results at Office of Exit |
| Previous Objects | |

Table 5.699: Event Goods Ready To Be Released

### Task Notify Trader at Exit of Release for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Release for Exit |
| Task Type | Send task |
| Description | When the goods for exit are released, a notification IE will automatically be sent to the Trader at Exit informing that the goods are released for exit. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-06-Release Goods for Exit-Exit Summary Declaration [DEPRECATED]<br>L4-EXP-01-13-04-Release Goods for Exit-Re-Export Notification |
| Input Data | Export Declaration Information<br>Re-export Notification Information<br>MRN |
| Output Data | |
| Previous Objects | Release Goods for Exit |
| Information Exchange | Exit Release Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.700: Task Notify Trader at Exit of Release for Exit

### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | End event |
| Description | AES identified that the goods are released for Exit. |
| Appears also in the following processes | L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Previous Objects | Notify Trader at Exit of Release for Exit |

Table 5.701: Event Goods Released for Exit

## 5.57. Process L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods

### 5.57.1. Objective of Process

Process is initiated while 'Goods are Presented at Exit' either after L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification completion or after L4-EXP-01-19-Handle Diversion completion with AER Response being positive.

1. Following the presentation of goods at the Customs Office of Exit, the AES at the Customs Office of Exit might perform Risk Analysis, thus, the Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is executed.

2. Based on the above, the AES at the Customs Office of Exit decides whether controls are about to be performed and this decision is registered in its system in Process L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit, which is initiated.

3. Next, one of the below two cases might occur:

3.1. The decision shall indicate that goods shall be controlled.

3.1.1. After goods have been controlled, the process L4-EXP-01-21-02-Register Control Results at Office of Exit is executed, in order the control results to be registered. Based on the Control Results, one of the above might occur:

3.1.1.1. Either the control results will be not satisfactory, hence, the movement state changes to 'Goods Not Allowed to Exit' and process ends here, as this is a final state.

3.1.1.2. Or, the control results will be satisfactory/minor discrepancies, hence, the goods are ready to be released. Process continues from point 4.

3.2. The decision shall indicate that goods are not controlled and they are ready to be released. Process continues from point 4

4. Process L4-EXP-01-21-03-Release Goods for Exit is executed, where the goods are released for exit. Movement state changes to 'Goods Released for Exit' and this is a final state, thus, process ends at this point. Process shall continue with L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit.

Final Situation:

- The 'Goods Released for Exit' either after no controls performance or after satisfactory/minor discrepancies control results at the Customs Office of Exit.
- The 'Goods Not Allowed to Exit' after unsatisfactory controls results at the Customs Office of Exit.

### 5.57.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-21 |
| Full Name | Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.702: Process Details

### 5.57.3. Tasks and Events

#### Task Request and Record Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request and Record Risk Analysis for AES |
| Task Type | Subprocess (collapsed) |
| Description | Process L4-EXP-01-01-01-02-Request and Record Risk Analysis is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-13-01-Acceptance of Re-Export Notification<br>L4-EXP-01-10-07-Register Exit Summary Declaration<br>L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-06-01-Handle Anticipated Export Record [DEPRECATED]<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-01-03-03-Notify PCO for Pre-release/Control Recommendation or Release Rejection<br>L4-EXP-01-10-01-Handle Forwarded Exit Summary Declaration Data [DEPRECATED]<br>L4-EXP-01-01-03-02-Receive and Record Goods Control Results Under Centralised Clearance [DEPRECATED] |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Presented at Exit |

| | |
|---|---|
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.703: Task Request and Record Risk Analysis for AES

### Event Goods Presented at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Presented at Exit |
| Event Type | Conditional start event |
| Description | The goods have been successfully presented at the Office of Exit. |
| Appears also in the following processes | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration<br>L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration<br>L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |
| Previous Objects | |

Table 5.704: Event Goods Presented at Exit

### Task Register and Record Control Decision at Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register and Record Control Decision at Office of Exit |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-21-01-Register and Record Control Decision at Office of Exit is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Request and Record Risk Analysis for AES |
| Information Exchange | |
| Requirements | |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.705: Task Register and Record Control Decision at Office of Exit

### Task Register Control Results at Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Control Results at Office of Exit |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-21-02-Register Control Results at Office of Exit is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Under Control or Goods Ready to Be Released? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.706: Task Register Control Results at Office of Exit

### Task Identify if Goods Can Be Released for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods Can Be Released for Exit |
| Task Type | Service task |
| Description | AES identifies if Goods Can Be Released for Exit or not. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-13-Re-Export Notification-Process Registration |
| Input Data | |
| Output Data | |
| Previous Objects | Register Control Results at Office of Exit |
| Information Exchange | |

| | |
|---|---|
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.707: Task Identify if Goods Can Be Released for Exit

### Event Goods Not Allowed to Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Not Allowed to Exit |
| Event Type | End event |
| Description | The goods are not allowed to be released for exit. This is a final state. |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival<br>L4-EXP-01-10-05-Register Control Results-Exit Summary Declaration |
| Previous Objects | Goods Ready to Be Released? |

Table 5.708: Event Goods Not Allowed to Exit

### Task Release Goods for Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Release Goods for Exit |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-21-03-Release Goods for Exit is initiated. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Goods Ready to Be Released?<br>Goods Under Control or Goods Ready to Be Released? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.709: Task Release Goods for Exit

### Event Goods Released for Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Released for Exit |
| Event Type | End event |
| Description | AES identified that the goods are released for Exit. |
| Appears also in the following processes | L4-EXP-01-21-03-Release Goods for Exit |
| Previous Objects | Release Goods for Exit |

Table 5.710: Event Goods Released for Exit

## 5.58. Process L4-EXP-01-22-Exit Summary Declaration/Re-Export Notification Invalidation

### 5.58.1. Objective of Process

1. The process is triggered when one of the following two cases occur:
- Receive EXS/REN Invalidation Notification
- Time Limit to Receive Arrival at Exit expires

1.1. AES receives an EXS/REN Invalidation Notification via an IE614 “EXS/REN Invalidation Request and then,
1.1.1. AES records the EXS/REN Invalidation Request,
1.1.2. AES validates the EXS/REN Invalidation Request and based on the outcome of the validation, two cases may occur:
1.1.2.1. EXS/REN Invalidation Notification is valid and the process continues at point 2.
1.1.2.2. EXS/REN Invalidation Notification is invalid,
1.1.2.2.1. AES notifies the Trader at Exit of the rejection of the invalidation request via an IE557 “Rejection from Office of Exit” message and the process ends at this point with outcome “EXS/REN Invalidation Request Rejected.
1.2. Timer to Receive Arrival at Exit expires and then the process continues at point 2.
2. AES invalidates the EXS/REN,
3. AES notifies the Trader at Exit of EXS/REN Invalidation Decision via an IE609 “EXS/REN Invalidation Decision”,
4. AES stops the timer to Receive Exit Notification,
5. The movement state is changed to “Invalidated” and the process ends at this point.

Final Situation:
- AES invalidates EXS/REN and movement state is changed to “Invalidated”.

EXS/REN Invalidation Request is invalid and the process ends with outcome “EXS/REN Invalidation Notification Request Rejected”.

### 5.58.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-22 |
| Full Name | Exit Summary Declaration/ |

| | |
|---|---|
| | Re-Export Notification Invalidation |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-31-Invalidation of Exit Summary Declaration or Re-Export Notification |
| Existing Specifications | DDNXA-v5.13 |

Table 5.711: Process Details

### 5.58.3. Tasks and Events

#### Event 150 Days Time Limit Expiry to Receive Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | 150 Days Time Limit Expiry to Receive Exit Notification |
| Event Type | Timer start event |
| Description | The timer of 150 days to receive an exit notification expires. |
| Appears also in the following processes | L4-EXP-01-10-Exit Summary Declaration-Process Registration and Arrival |
| Previous Objects | |

Table 5.712: Event 150 Days Time Limit Expiry to Receive Exit Notification

#### Event Receive EXS/REN Invalidation Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive EXS/REN Invalidation Request |
| Event Type | Message start event |
| Description | AES receives the EXS or REN Invalidation Request from the Trader at Exit. |
| Previous Objects | Trader at Exit |

Table 5.713: Event Receive EXS/REN Invalidation Request

#### Task Validate EXS/REN Invalidation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate EXS/REN Invalidation Request |
| Task Type | Service task |
| Description | AES validates the EXS or REN invalidation request. |
| Actor | AES |

| | |
|---|---|
| Input Data | |
| Output Data | EXS/REN Invalidation Request Validation Result |
| Previous Objects | Receive EXS/REN Invalidation Request |
| Information Exchange | EXS/REN Invalidation Request |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.714: Task Validate EXS/REN Invalidation Request

### Task Record EXS/REN Invalidation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record EXS/REN Invalidation Request |
| Task Type | Service task |
| Description | AES Records the EXS or REN Invalidation Request. |
| Actor | AES |
| Input Data | E_ENV_REQ<br>MRN |
| Output Data | E_ENV_REQ |
| Previous Objects | Validate EXS/REN Invalidation Request |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.715: Task Record EXS/REN Invalidation Request

### Task Notify Trader at Exit of Rejection of EXS/REN Invalidation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of Rejection of EXS/REN Invalidation Request |

| | |
|---|---|
| Task Type | Send task |
| Description | AES notifies Trader at Exit that the EXS/REN Invalidation request has been rejected as invalid. |
| Actor | AES |
| Input Data | EXS/REN Invalidation Request Validation Result<br>E_ENV_REQ<br>MRN |
| Output Data | |
| Previous Objects | Is EXS/REN Invalidation Request Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.716: Task Notify Trader at Exit of Rejection of EXS/REN Invalidation Request

### Task Invalidate EXS/REN

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Invalidate EXS/REN |
| Task Type | Service task |
| Description | AES invalidates EXS/REN. |
| Actor | AES |
| Input Data | E_ENV_REQ<br>MRN<br>Exit Summary Declaration Information<br>Re-export Notification Information |
| Output Data | Exit Summary Declaration<br>Re-Export Notification |
| Previous Objects | Is EXS/REN Invalidation Request Valid?<br>150 Days Time Limit Expiry to Receive Exit Notification |
| Information Exchange | |
| Requirements | AES_FUR_0058 |

| | |
|---|---|
| Data Set | Exit Summary Declaration<br>Re-Export Notification |
| Events | EXS/REN Invalidation Request Received |
| State | Invalidated |

Table 5.717: Task Invalidate EXS/REN

### Task Notify Trader at Exit of EXS/REN Invalidation Decision

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit of EXS/REN Invalidation Decision |
| Task Type | Send task |
| Description | AES notifies the Trader at Exit regarding the EXS or REN invalidation decision. |
| Actor | AES |
| Input Data | E_INV_REQ<br>Exit Summary Declaration Information<br>MRN<br>Re-export Notification Information |
| Output Data | |
| Previous Objects | Invalidate EXS/REN |
| Information Exchange | EXS/REN Invalidation Decision |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.718: Task Notify Trader at Exit of EXS/REN Invalidation Decision

### Task Stop Time Limit to Receive Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Time Limit to Receive Exit Notification |
| Task Type | Service task |
| Description | AES at Customs Office of Exit stops the time limit to Receive Exit Notification. |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-11-Exit Summary Declaration-Process Exit |
| Input Data | MRN<br>Timer to Receive Exit Notification Information |
| Output Data | Timer to Receive Exit Notification Stopped |
| Previous Objects | Notify Trader at Exit of EXS/REN Invalidation Decision |
| Information Exchange | |
| Requirements | AES_FUR_0095 |
| Data Set | |
| Events | |
| State | |

Table 5.719: Task Stop Time Limit to Receive Exit Notification

### Event EXS/REN Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | EXS/REN Invalidated |
| Event Type | End event |
| Description | This process ends when the EXS/REN is invalidated. |
| Appears also in the following processes | L3-EXP-01-31-Invalidation of Exit Summary Declaration or Re-Export Notification |
| Previous Objects | Stop Time Limit to Receive Exit Notification |

Table 5.720: Event EXS/REN Invalidated

### Event EXS/REN Invalidation Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | EXS/REN Invalidation Request Rejected |
| Event Type | End event |
| Description | |
| Previous Objects | Notify Trader at Exit of Rejection of EXS/REN Invalidation Request |

Table 5.721: Event EXS/REN Invalidation Request Rejected

# 5.59. Process L4-EXP-01-23-01-Handle Timers

## 5.59.1. Objective of Process

1. The process is triggered when the timers needs to be handled.
2. At this point, a parallel course of events takes place and the following three cases are followed in parallel:
2.1. AES identifies if Timer to Certify Exit is still running and based on the outcome, two cases may occur:
2.1.1. If timer to Certify Exit is running, AES stops it and the process continues at point 3.
2.1.2. If timer to Certify Exit is not running, the process continues at point 3.
2.2. AES identifies if Timer to Receive Exit Results is still running and based on the outcome, two cases may occur:
2.2.1. If timer to Receive Exit Results is running, AES stops it and the process continues at point 3.
2.2.2. If timer to Receive Exit Results is not running, the process continues at point 3.
2.3. AES identifies if Timer to Receive Alternative Evidence is still running and based on the outcome, two cases may occur:
2.3.1. If timer to Receive Alternative Evidence is running, AES stops it and the process continues at point 3.
2.3.2. If timer to Receive Alternative Evidence is not running, the process continues at point 3.
3. The process ends at this point and the outcome is 'Timer Handled'.

Final situation:
After all the running timers have been stopped, the process ends at this point and the outcome is 'Timers Handled'.

## 5.59.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | 01-23-01 |
| Full Name | Handle Timers |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-01-17-Certification of Exit |
| Existing Specifications | DDNXA-v5.13 |

Table 5.722: Process Details

## 5.59.3. Tasks and Events

### Task Identify if TImer to Certify Exit is still running

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if TImer to Certify Exit is still running |
| Task Type | Service task |
| Description | AES checks whether Timer to Certify Exit is still running. |

| | |
|---|---|
| Actor | AES |
| Input Data | Timer to Certify Exit Expiration Date<br>MRN |
| Output Data | Timer to Certify Exit Expiration Check Result |
| Previous Objects | Handle Timers |
| Information Exchange | |
| Requirements | AES_FUR_0106 |
| Data Set | |
| Events | |
| State | |

Table 5.723: Task Identify if TImer to Certify Exit is still running

### Event Handle Timers

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Handle Timers |
| Event Type | Conditional start event |
| Description | AES system needs to handle timers. |
| Previous Objects | |

Table 5.724: Event Handle Timers

### Task Identify if Timer to Receive Exit Results is still running

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Timer to Receive Exit Results is still running |
| Task Type | Service task |
| Description | AES checks whether Timer to Receive Exit Results is still running. |
| Actor | AES |
| Input Data | MRN<br>Timer to Receive Exit Results Expiration Date |
| Output Data | Timer to Receive Exit Results Expiration Check Result |
| Previous Objects | Handle Timers |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0106 |
| Data Set | |
| Events | |
| State | |

Table 5.725: Task Identify if Timer to Receive Exit Results is still running

### Task Stop Timer to Certify Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Certify Exit |
| Task Type | Service task |
| Description | AES stops the 'Certify Exit' timer. |
| Actor | AES |
| Input Data | MRN<br>Timer to Certify Exit Expiration Check Result |
| Output Data | Timer to Certify Exit End Date |
| Previous Objects | Timer to Certify Exit is still running? |
| Information Exchange | |
| Requirements | AES_FUR_0056 |
| Data Set | |
| Events | |
| State | |

Table 5.726: Task Stop Timer to Certify Exit

### Task Identify if Timer to Receive Alternative Evidence is still running

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Timer to Receive Alternative Evidence is still running |
| Task Type | Service task |
| Description | AES checks whether Timer to Receive Alternative Evidence is still running. |
| Actor | AES |
| Input Data | MRN<br>Timer to Receive Alternative Evidence Expiration Date |

| | |
|---|---|
| Output Data | Timer to Receive Alternative Evidence Expiration Check Result |
| Previous Objects | Handle Timers |
| Information Exchange | |
| Requirements | AES_FUR_0106 |
| Data Set | |
| Events | |
| State | |

Table 5.727: Task Identify if Timer to Receive Alternative Evidence is still running

### Task Stop Timer to Receive Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Receive Exit Results |
| Task Type | Service task |
| Description | AES stops the 'Receive Exit results' timer. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-02-Receive Exit Results [DEPRECATED]<br>L4-EXP-01-25-Process Transit Release Notification |
| Input Data | MRN<br>Timer to Receive Exit Results Expiration Check Result |
| Output Data | Timer to Receive Exit Results End Date |
| Previous Objects | Timer to Receive Exit Results is still running? |
| Information Exchange | |
| Requirements | AES_FUR_0045 |
| Data Set | |
| Events | |
| State | |

Table 5.728: Task Stop Timer to Receive Exit Results

### Task Stop Timer to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Task Name | Stop Timer to Receive Alternative Evidence |
| Task Type | Service task |
| Description | AES stops Timer to Receive Alternative Evidence. |
| Actor | AES |
| Input Data | Timer to Receive Alternative Evidence Expiration Check Result<br>MRN |
| Output Data | Timer to Receive Alternative Evidence End Date |
| Previous Objects | Timer to Receive Alternative Evidence is still running? |
| Information Exchange | |
| Requirements | AES_FUR_0048 |
| Data Set | |
| Events | |
| State | |

Table 5.729: Task Stop Timer to Receive Alternative Evidence

### Event Timers Handled

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Timers Handled |
| Event Type | End event |
| Description | All running timers have been stopped, if were running. |
| Previous Objects | Timer to Receive Alternative Evidence is still running?<br>Stop Timer to Receive Alternative Evidence<br>Stop Timer to Certify Exit<br>Timer to Certify Exit is still running?<br>Timer to Receive Exit Results is still running?<br>Stop Timer to Receive Exit Results |

Table 5.730: Event Timers Handled

## 5.60. Process L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence

### 5.60.1. Objective of Process

The process L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence is triggered after one of the following events occur:

- Exit Results Available for Export Movement Followed by Transit after L4-EXP-01-25-Process Transit Release Notification completion;

● Sufficient Alternative Evidence Available for an Export Movement Followed by Transit after L4-EXP-01-25-Process Transit Release Notification completion;
● Customs Office of Export is the same office as the Customs Office of Exit and Exit Notification is available after L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit completion;
● Exit Results Available after L4-EXP-01-25-Process Transit Release Notification completion or L4-EXP-01-08-Enquiry Procedure completion or L4-EXP-01-07-Customs Formalities at Office(s) of Exit-Process Exit completion;
● Sufficient Alternative Evidence Available after L4-EXP-01-08-Enquiry Procedure completion.

1. The process starts with one the following:
1.1. If Exit Results for an export movement followed by Transit are available, the process continues at point 2.
1.2. If Sufficient Alternative Evidence for an export movement followed by Transit is available, the process continues at point 2.
1.3. If Customs Office of Export is the same office as the Customs Office of Exit and Exit Notification is Available, the process continues at point 2.
1.4. If Exit Results Received, AES records the Exit Results and the process continues at point 2.
1.5. If Sufficient Alternative Evidence is available, AES notifies Customs Office of Exit of Certification of Exit via a 'Certification of Exit on Alternative Evidence Notification' (IE588) and the process continues at point 2.
2. AES handles the Timers at this point and the process continues with L4-EXP-01-23-01-Handle Timers call-activity. It means that after exit results or exit notification were received or alternative evidence were registered, the relevant timer(s) stop.
3. At this point, a parallel course of events takes place and the following three cases are followed in parallel:
3.1. AES notifies the Declarant/Representative of the Export Notification and the process continues at point 4.
3.2. AES checks whether the movement concerns Centralised Clearance and if yes, the Customs Office of Export (acting as Supervising Customs Office) notifies Presentation Customs Office of the Exit Results via an 'Exit Results to PCO' (IE592) message and the process continues at point 4.
3.3. AES checks whether the movement concerns Excise Goods and if yes, AES notifies MSA of Export of the Exit Results via an 'Exit Results to MSA of Export' (IE598) message and the process continues at point 4.
4. The process ends at this point and the outcome is 'Export Formalities Completed'.

Final Situation:
● AES notifies Declarant/Representative, Presentation Customs Office or MSA of Export whoever is concerned and then the process ends and the outcome is 'Export Formalities Completed'.

Customs Office of Exit
1. The process is triggered when the Customs Office of Exit receives a Certification of Exit based on alternative evidence via a 'Certification of Exit on Alternative Evidence Notification' (IE588) message.
2. AES records the Certification of Exit based on Alternative Evidence.
3. AES records the Exit based on Alternative Evidence and the process ends at this point and the outcome is 'Exit Based on Alternative Evidence Recorded'.
Final Situation:
● AES records the Exit based on Alternative Evidence and the process ends at this point and the outcome is 'Exit Based on Alternative Evidence Recorded'.
Presentation Customs Office
1. The process is triggered when the Presentation Customs Office receives a the 'Exit Results to PCO' (IE592).
2. AES records the Exit Results at the AES of the Presentation Customs Office. Then, based on the Exit results, one of the following may occur:

2.1. In case of positive Exit Results where Customs Office of Export (acting as Supervising Customs Office) certifies the Exit, the movement state at the Presentation Customs Office changes to 'Exported'.
2.2. In case of negative Exit Results where Customs Office of Export (acting as Supervising Customs Office) does not certify Exit, the movement state at the Presentation Customs Office changes to 'Export Stopped, Discrepancies at Exit'.
Final Situation:
● AES records the Exit certification at the Presentation Customs Office and the movement state changes to 'Exported';
● AES at the Presentation Customs Office records the decision that Exit is not certified and the movement state changes to 'Export Stopped, Discrepancies at Exit'.

### 5.60.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-23 |
| Full Name | Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence |
| Assumptions | N/A |
| Constraints | This process comprises the notification of relevant information to the various actors which can be interested in an export operation. Each notification may vary in its content depending on the receiving organisation/actor. Consequently the notification and its content may be different one from the other. However, it is assumed that the full content of the notification may be submitted to the receiving organisations/actors and that they should be able to extract the data they need for their purposes. |
| L3 Reference Models | L3-EXP-01-01-Standard Customs Declaration<br>L3-EXP-01-09-Enquiry Procedure<br>L3-EXP-01-15-Centralised Clearance Standard Customs Declaration<br>L3-EXP-01-16-Centralised Clearance Simplified Customs Declaration<br>L3-EXP-01-17-Certification of Exit<br>L3-EXP-01-18-Simplified Export Declaration<br>L3-EXP-01-27-Export and Transit |
| Existing Specifications | DDNXA-v5.13 |

Table 5.731: Process Details

### 5.60.3. Tasks and Events

#### Event Exit Results Available for Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available for Export Movement Followed by Transit |
| Event Type | Conditional start event |

| | |
|---|---|
| Description | AES receives Exit Results for a movement that is followed by Transit. |
| Previous Objects | |

Table 5.732: Event Exit Results Available for Export Movement Followed by Transit

### Event Sufficient Alternative Evidence Available for an Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available for an Export Movement Followed by Transit |
| Event Type | Conditional start event |
| Description | AES receives sufficient alternative evidence for an export movement followed by Transit. |
| Previous Objects | |

Table 5.733: Event Sufficient Alternative Evidence Available for an Export Movement Followed by Transit

### Event CO of Export is the same office as the CO of Exit and Exit Notification is Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | CO of Export is the same office as the CO of Exit and Exit Notification is Available |
| Event Type | Conditional start event |
| Description | Exit Notification is available and CO Export and CO Exit is the same. |
| Previous Objects | |

Table 5.734: Event CO of Export is the same office as the CO of Exit and Exit Notification is Available

### Event Exit Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Received |
| Event Type | Conditional start event |
| Description | AES received the Exit Results. |
| Previous Objects | |

Table 5.735: Event Exit Results Received

### Task Record Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Results |
| Task Type | Service task |
| Description | AES records the exit results received from the Customs Office of Exit or in case of an Operation Status Check from the Requested Customs Office. |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-03-02-Receive Exit Results [DEPRECATED]<br>L4-EXP-01-17-03-Handle Operation Status Response<br>L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | C_EXT_RES |
| Previous Objects | Exit Results Received |
| Information Exchange | Exit Results |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.736: Task Record Exit Results

### Task Handle Timers

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Timers |
| Task Type | Call activity (collapsed) |
| Description | The timers are handled at this point in L4-EXP-01-23-01 call-activity. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-26-Export Declaration Invalidation |
| Input Data | |
| Output Data | |
| Previous Objects | Record Exit Results<br>Exit Results Available for Export Movement Followed by Transit<br>CO of Export is the same office as the CO of Exit and Exit Notification is Available<br>Notify Customs Office of Exit of Certification of Exit Based on Alternative Evidence<br>Sufficient Alternative Evidence Available for an Export Movement Followed by Transit |
| Information Exchange | |
| Requirements | |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.737: Task Handle Timers

### Task Notify Customs Office of Exit of Certification of Exit Based on Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit of Certification of Exit Based on Alternative Evidence |
| Task Type | Send task |
| Description | When it is identified that the exit is certified based on the alternative evidence, a notification IE588 will automatically be sent to the Customs Office Exit informing that the exit of the goods has been certified based on the alternative evidence. The movement state at the Customs Office of Exit can be set to 'Exited'. |
| Actor | AES |
| Input Data | Result of Alternative Evidence Sufficient Check<br>MRN<br>Export Declaration |
| Output Data | |
| Previous Objects | Sufficient Alternative Evidence Available |
| Information Exchange | Certification of Exit on Alternative Evidence Notification |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.738: Task Notify Customs Office of Exit of Certification of Exit Based on Alternative Evidence

### Event Sufficient Alternative Evidence Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available |
| Event Type | Conditional start event |
| Description | AES received Sufficient Alternative Evidence. |
| Previous Objects | |

Table 5.739: Event Sufficient Alternative Evidence Available

### Task Identify if Declaration Concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under the centralised clearance procedure in which case “AUTHORISATION.Type” should be equal to “C513”. In this case, the “CUSTOMS OFFICE OF PRESENTATION” is provided. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-01-Customs Formalities at Office of Export-Acceptance and Controls<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-Customs Formalities at Office of Export-Exit Certification [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release<br>L4-EXP-01-04-Supplementary Declaration |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Handle Timers |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.740: Task Identify if Declaration Concerns Centralised Clearance

### Task Identify Whether Accepted Export Declaration Contains ARC(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Whether Accepted Export Declaration Contains ARC(s) |
| Task Type | Service task |
| Description | AES checks whether a customs declaration which has been accepted, |

| | |
|---|---|
| | contains one or more ARC(s) (Administrative Reference Code) at the Goods Item level; in order to determine if the declared goods are under excise duty suspension arrangement. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-05-Amendment of the Export Declaration<br>L4-EXP-01-01-01-Acceptance of Export Declaration<br>L4-EXP-01-01-07-Do Not Release Goods at Office of Export<br>L4-EXP-01-03-03-Handle Exit Control Results [DEPRECATED]<br>L4-EXP-01-02-Customs Formalities at Office of Export-Release |
| Input Data | E_EXP_DAT<br>MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Handle Timers |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.741: Task Identify Whether Accepted Export Declaration Contains ARC(s)

### Event Receive Certification of Exit on Alternative Evidence Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Certification of Exit on Alternative Evidence Notification |
| Event Type | Message start event |
| Description | AES at the Customs Office of Exit receives a notification (IE588) from the Customs Office of Export that it certified the exit of goods based on alternative evidence. |
| Appears also in the following processes | L4-EXP-01-20-Handle Certification Based on Alternative Evidence at Customs Office of Exit [DEPRECATED] |
| Previous Objects | Notify Customs Office of Exit of Certification of Exit Based on Alternative Evidence |

Table 5.742: Event Receive Certification of Exit on Alternative Evidence Notification

## Task Record Exit Based on Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Based on Alternative Evidence |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit records the exit based on alternative evidence. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-20-Handle Certification Based on Alternative Evidence at Customs Office of Exit [DEPRECATED] |
| Input Data | |
| Output Data | C_ALT_EVD<br>Export Declaration |
| Previous Objects | Receive Certification of Exit on Alternative Evidence Notification |
| Information Exchange | Certification of Exit on Alternative Evidence Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Alternative Evidence Sufficient |
| State | Exited |

Table 5.743: Task Record Exit Based on Alternative Evidence

## Task Notify Declarant/Representative of Export Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Export Notification |
| Task Type | Send task |
| Description | AES notifies the Declarant or Representative of the Export Notification. |
| Actor | AES |
| Input Data | C_ALT_EVD<br>C_EXT_RES<br>Export Declaration |
| Output Data | Export Declaration |

| | |
|---|---|
| Previous Objects | Handle Timers |
| Information Exchange | Export Notification |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | Alternative Evidence Sufficient<br>Exit Results Unsatisfactory<br>Exit Results Satisfactory or Minor Discrepancies Identified |
| State | Export Stopped, Discrepancies at Exit<br>Exported |

Table 5.744: Task Notify Declarant/Representative of Export Notification

### Event Exit Based on Alternative Evidence Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Based on Alternative Evidence Recorded |
| Event Type | End event |
| Description | The exit based on alternative evidence has been registered at the Customs Office of Exit. This is a final state. |
| Appears also in the following processes | L4-EXP-01-20-Handle Certification Based on Alternative Evidence at Customs Office of Exit [DEPRECATED] |
| Previous Objects | Record Exit Based on Alternative Evidence |

Table 5.745: Event Exit Based on Alternative Evidence Recorded

### Task Notify Presentation Customs Office of Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Presentation Customs Office of Exit Results |
| Task Type | Send task |
| Description | AES notifies the Presentation Customs Office of the Exit Results via the IE592 message. |
| Actor | AES |
| Input Data | Result of Centralised Clearance Check<br>E_EXP_DAT |
| Output Data | |

| | |
|---|---|
| Previous Objects | Declaration concerns Centralized Clearance? |
| Information Exchange | Exit Results to PCO |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.746: Task Notify Presentation Customs Office of Exit Results

### Task Notify MSA of Export of Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Exit Results |
| Task Type | Send task |
| Description | AES notifies the MSA of Export of the Exit Results via IE598 message. |
| Actor | AES |
| Input Data | Export Declaration<br>Result of Goods under Excise Duty Suspension Arrangement Check |
| Output Data | |
| Previous Objects | Declaration contains ARC(s)? |
| Information Exchange | Exit Results to MSA of Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.747: Task Notify MSA of Export of Exit Results

### Event Export Formalities Completed

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Formalities Completed |
| Event Type | End event |
| Description | Export Formalities completed. |
| Appears also in the following | L3-EXP-01-27-Export and Transit |

| | |
|---|---|
| processes | L4-EXP-01-00-AES Master Process |
| Previous Objects | Declaration concerns Centralized Clearance?<br>Notify Presentation Customs Office of Exit Results<br>Notify MSA of Export of Exit Results<br>Declaration contains ARC(s)?<br>Notify Declarant/Representative of Export Notification |

Table 5.748: Event Export Formalities Completed

### Event Exit Results to PCO Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results to PCO Received |
| Event Type | Message start event |
| Description | The 'Exit Results to PCO' message has been received at PCO. |
| Previous Objects | Notify Presentation Customs Office of Exit Results |

Table 5.749: Event Exit Results to PCO Received

### Task Record Exit Results to PCO

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Results to PCO |
| Task Type | Service task |
| Description | AES at the Presentation Customs Office records the 'Exit Results to PCO' (IE592) information and the movement state shall turn to 'Exported', in case of positive exit results, or to 'Export Stopped, Discrepancies at Exit', in case of negative exit results. |
| Actor | PCO - Presentation Customs Office |
| Input Data | |
| Output Data | C_EXT_PCO<br>Export Declaration |
| Previous Objects | Exit Results to PCO Received |
| Information Exchange | Exit Results to PCO |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Exit Results to Presentation Customs Office Positive<br>Exit Results to Presentation Customs Office Negative |

| | |
|---|---|
| State | Export Stopped, Discrepancies at Exit<br>Exported |

Table 5.750: Task Record Exit Results to PCO

### Task Identify whether the SCO certifies the Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether the SCO certifies the Exit |
| Task Type | Service task |
| Description | AES at the PCO identifies whether SCO certified Exit or not. |
| Actor | PCO - Presentation Customs Office |
| Input Data | C_EXT_PCO |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Record Exit Results to PCO |
| Information Exchange | |
| Requirements | AES_FUR_0015 |
| Data Set | |
| Events | |
| State | |

Table 5.751: Task Identify whether the SCO certifies the Exit

### Event Exported

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exported |
| Event Type | End event |
| Description | The SCO certified the Exit and the movement at PCO is set to 'Exported' which is a final state. |
| Previous Objects | SCO certified the Exit? |

Table 5.752: Event Exported

### Event Export Stopped, Discrepancies at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Stopped, Discrepancies at Exit |
| Event Type | End event |
| Description | The SCO did not certify the Exit, thus the movement state at PCO is set to 'Export Stopped, Discrepancies at Exit' which is a final state. |
| Previous Objects | SCO certified the Exit? |

Table 5.753: Event Export Stopped, Discrepancies at Exit

## 5.61. Process L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification

### 5.61.1. Objective of Process

The process has the following start events at the Customs Office of Exit:
- Arrival at Exit Registered by a Customs Officer;
- Receive Arrival at Exit Notification.

In case the process starts by receiving an ‘Arrival at Exit’ (IE507):
1. AES validates the received IE against the business rules and conditions and one of the following cases is fulfilled:
1.1. The ‘Arrival at Exit’ notification is invalid, and AES rejects the notification and informs the Trader at Exit, indicating the reasons for the rejection with a ‘Rejection from Office of Exit’ (IE557). The process ends at this point and the movement state at the Customs Office of Exit remains as-is.
1.2 The ‘Arrival at Exit’ notification is valid. Next, AES identifies if the Timer to Receive Arrival at Exit is still running.
1.2.1. If the Timer to Receive Arrival at Exit is still running, then AES stops the timer and the process flow continues from point 2.
1.2.2. If the Timer to Receive Arrival at Exit has already stopped then the process continues from point 2.
2. Then AES checks if the AER for the MRN is already available in the system or not.
2.1. If the AER is available AES records the arrival at exit notification received from the trader in an electronic format or registered by the Customs Officer at the Customs Office of Exit. The movement state is changed to 'Goods Presented at Exit' and the process ends.
2.2. If the AER is not available, then the process ends at this point.
In case the goods physically arrive at the Customs Office of Exit they will be registered by the competent Customs Officer. The process flow continues from point 1.2.

Final situation:
- The goods have been successfully presented at the Customs Office of Exit. The movement state is changed to 'Goods Presented at Exit'.
- The 'Arrival at Exit Notification' (IE507) was rejected. The process ends with 'Arrival at Exit Notification Rejected' and the movement state remains as is.

The goods have been successfully presented but the process ends with 'AER was not available' at Office of Exit. Process continues under L4-EXP-01-19-Handle Diversion.

### 5.61.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-24 |

| | |
|---|---|
| Full Name | Customs Formalities at Office(s) of Exit-Process Arrival Notification |
| Assumptions | • In case of diversion or other exceptional situations where the declaration data (AER) are not available prior to IE507, AER is about to be Requested (i.e. see L4-EXP-01-19-Handle Diversion for the continuation of the process).<br>• At any point of the process, a Forwarded Arrival Advice (IE524) can be received by the Office of Export to certify the exit. The movement state will be then set to "Arrived Elsewhere". |
| Constraints | The Office of Exit may not know whether the MRN provided in the arrival notification (IE507) relates to an export declaration. If AES can not find the data related to the provided MRN, a declaration request (IE502) is sent in any case. This process is therefore used for export declarations processing. |
| L3 Reference Models | L3-EXP-01-10-Anticipated Export Record |
| Existing Specifications | DDNXA-v5.13 |

Table 5.754: Process Details

### 5.61.3. Tasks and Events

#### Event Arrival at Exit Registered by a Customs Officer

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit Registered by a Customs Officer |
| Event Type | Conditional start event |
| Description | The goods physically arrive at the Customs Office of Exit where they will be registered by the competent Customs Officer. |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Previous Objects | |

Table 5.755: Event Arrival at Exit Registered by a Customs Officer

#### Task Register Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Arrival at Exit |
| Task Type | User task |
| Description | Customs Officer at the Customs Office of Exit manually registers the arrival of the goods at exit. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Input Data | Export Declaration Information |

| | |
|---|---|
| | MRN<br>Exit Summary Declaration Information |
| Output Data | Arrival at Exit Information |
| Previous Objects | Arrival at Exit Registered by a Customs Officer |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.756: Task Register Arrival at Exit

### Event Receive Arrival At Exit Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Arrival At Exit Notification |
| Event Type | Message start event |
| Description | The Customs Office of Exit receives an Arrival at Exit Notification by the Trader at Exit. |
| Previous Objects | Trader at Exit |

Table 5.757: Event Receive Arrival At Exit Notification

### Task Validate Arrival at Exit Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Arrival at Exit Notification |
| Task Type | Service task |
| Description | AES validates the received arrival at exit notification against business rules and conditions. If the validation fails, the exit notification is rejected and the Trader is informed. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Input Data | |
| Output Data | Arrival at Exit Notification Information Validation Result |

| | |
|---|---|
| Previous Objects | Receive Arrival At Exit Notification |
| Information Exchange | Arrival at Exit |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.758: Task Validate Arrival at Exit Notification

### Task Identify if Timer to Receive Arrival at Exit is still running

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Timer to Receive Arrival at Exit is still running |
| Task Type | Service task |
| Description | AES identifies whether the Timer to Receive Arrival at Exit is still running. This may occur in case of this Office of Exit having previously received:<br>a) a negative AER Response (IE503) or;<br>b) a Forwarded Arrival Advice (IE524) for the specific movement. |
| Actor | AES |
| Input Data | MRN<br>Timer to Receive Arrival at Exit Expiration Date |
| Output Data | Timer to Receive Arrival at Exit Expiration Check Result |
| Previous Objects | Arrival at Exit  Notification Valid?<br>Register Arrival at Exit |
| Information Exchange | |
| Requirements | AES_FUR_0106 |
| Data Set | |
| Events | |
| State | |

Table 5.759: Task Identify if Timer to Receive Arrival at Exit is still running

### Task Notify Trader at Exit with Rejection from Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Trader at Exit with Rejection from Office of Exit |

| | |
|---|---|
| Task Type | Send task |
| Description | When it is identified that the received arrival at exit notification is invalid, a notification IE will automatically be sent to the Trader at Exit informing that the arrival at exit notification has been rejected, including the reasons of that rejection. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Input Data | Arrival at Exit Notification Information Validation Result<br>MRN<br>E_ARR_EXT |
| Output Data | |
| Previous Objects | Arrival at Exit Notification Valid? |
| Information Exchange | Rejection from Office of Exit |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.760: Task Notify Trader at Exit with Rejection from Office of Exit

### Task Stop Timer to Receive Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Receive Arrival at Exit |
| Task Type | Service task |
| Description | AES stops Timer to Receive Arrival at Exit. |
| Actor | AES |
| Input Data | Timer to Receive Arrival at Exit Expiration Check Result<br>MRN |
| Output Data | Timer to Receive Arrival at Exit End Date |
| Previous Objects | Timer to Receive Arrival at Exit still running? |
| Information Exchange | |
| Requirements | AES_FUR_0107 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.761: Task Stop Timer to Receive Arrival at Exit

### Event Arrival at Exit  Notification Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Arrival at Exit  Notification Rejected |
| Event Type | End event |
| Description | The Arrival at Exit Notification is rejected by AES. |
| Appears also in the following processes | L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration |
| Previous Objects | Notify Trader at Exit with Rejection from Office of Exit |

Table 5.762: Event Arrival at Exit  Notification Rejected

### Task Check if AER Is Available

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Check if AER Is Available |
| Task Type | Service task |
| Description | AES checks if the AER for a MRN is already available in the system. If not; the AER is requested from the customs office of export. |
| Actor | AES |
| Input Data | MRN |
| Output Data | Result of AER Availability Check |
| Previous Objects | Timer to Receive Arrival at Exit still running?<br>Stop Timer to Receive Arrival at Exit |
| Information Exchange | |
| Requirements | AES_FUR_0084 |
| Data Set | |
| Events | |
| State | |

Table 5.763: Task Check if AER Is Available

### Task Record Arrival at Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Arrival at Exit |
| Task Type | Service task |
| Description | AES records the arrival at exit notification received from the trader in an electronic format or registered by the customs officer at the Customs Office of Exit. The movement state is changed to 'Goods presented at exit'. |
| Actor | AES |
| Input Data | Arrival at Exit Notification Information Validation Result<br>E_ARR_EXT |
| Output Data | E_ARR_EXT<br>Export Declaration |
| Previous Objects | AER Available? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | Export Declaration |
| Events | Arrival at Exit Valid and AER available |
| State | Goods Presented at Exit |

Table 5.764: Task Record Arrival at Exit

### Event Goods Presented at Exit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Goods Presented at Exit |
| Event Type | End event |
| Description | The goods have been successfully presented at the Office of Exit. |
| Appears also in the following processes | L4-EXP-01-10-04-Handle Declaration Request Response-Exit Summary Declaration<br>L4-EXP-01-19-Handle Diversion<br>L4-EXP-01-10-03-Process Arrival Notification-Exit Summary Declaration<br>L4-EXP-01-21-Customs Formalities at Office(s) of Exit-Handle Controls and Release of Goods<br>L4-EXP-01-06-03-Handle Declaration Request Response [DEPRECATED] |

| | |
|---|---|
| Previous Objects | Record Arrival at Exit |

Table 5.765: Event Goods Presented at Exit

### Event AER Not Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Not Available |
| Event Type | End event |
| Description | AER is not available at the Customs Office of Exit. |
| Appears also in the following processes | L4-EXP-01-19-Handle Diversion |
| Previous Objects | AER Available? |

Table 5.766: Event AER Not Available

## 5.62. Process L4-EXP-01-25-01-Cross-Check of the Export MRN(s)

### 5.62.1. Objective of Process

The process can be initiated when at least one Export MRN is referenced into the previous documents (document type code N830) data group of the transit declaration and then the following condition is identified by AES at the Customs Office of Exit:

- Transit Presentation Notification Recorded.

When the above condition is met, the flow continues as per the below:

AES at the Customs Office of Exit identifies whether all Export MRN(s) mentioned in the Transit Presentation Notification are known and the declaration data are available. At this point, the following may occur:

1. Some of the Export MRN(s) are unknown to the Customs Office of Exit and thus the process 'L4-EXP-01-19-Handle Diversion' is initiated. At this point, the following may occur:

1.1. The AER Responses received were not all positive. The process ends at this point. The initial process that called this one can now resume.

1.2. The AER Responses received were all positive. AES at the Customs Office of Exit performs the Cross-Check of the Export MRN(s). At this point, the following may occur:

1.2.1. The Cross-Check of all the Export MRN(s) was successful. The flow ends here. The process that call this one may now resume.

1.2.2. The Cross-Check for at least one Export MRN was unsuccessful. The flow ends here. The process that call this one may now resume.

2. All the Export MRN(s) are known to the Customs Office of Exit and the Declaration data are available. The flow continues from point 1.1.2. at the point of performing the Cross-Check of the Export MRN(s).

Final situation:

- AER Responses received were not all positive;
- Cross-Check was not successful for all Export MRN(s);
- Cross-Check was successful for all Export MRN(s).

### 5.62.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-25-01 |
| Full Name | Cross-Check of the Export MRN(s) |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-27-Export and Transit |
| Existing Specifications | DDNXA-v5.13 |

Table 5.767: Process Details

### 5.62.3. Tasks and Events

#### Task Identify if all Export MRN(s) in the Transit Presentation Notification are known

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if all Export MRN(s) in the Transit Presentation Notification are known |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit checks if all Export MRN(s) included in the Transit Presentation Notification are known.<br>If yes, cross-check of them shall follow.<br>If no, it shall request declaration data using the IE502-IE503 mechanism from the respective Customs Offices of Export, responsible from the Export MRN(s). |
| Actor | AES |
| Input Data | Export Declaration<br>N_XFT_REQ |
| Output Data | Result of AER Availability Check |
| Previous Objects | Transit Presentation Notification Recorded |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.768: Task Identify if all Export MRN(s) in the Transit Presentation Notification are known

### Event Transit Presentation Notification Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Presentation Notification Recorded |
| Event Type | Conditional start event |
| Description | The Transit Presentation Notification is recorded. |
| Previous Objects | |

Table 5.769: Event Transit Presentation Notification Recorded

### Task Handle Diversion

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Diversion |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-19. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Are there all Export MRN(s) in the Transit Presentation Notification known? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.770: Task Handle Diversion

### Event AER Responses received were not all positive

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | AER Responses received were not all positive |
| Event Type | End event |
| Description | Not all the AER Responses received were positive and thus AES at the Customs Office of Exit wil reply to the Customs Office of Departure with a negative Transit Presentation Notification Response (IE191). |
| Previous Objects | Are all AER Request Response(s) received positive? |

Table 5.771: Event AER Responses received were not all positive

## Task Perform Cross-Check on the Export MRN(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Perform Cross-Check on the Export MRN(s) |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit verifies (cross check) that the referenced Export MRN(s) exist and are in appropriate state (i.e. AER Created, Goods Presented at Exit, Goods Ready to be Released)<br><br>The validations to be performed as part of the cross-checking that is provided by the AES at the Office of Exit are classified according to their optionality (Minimum-Mandatory, Extended-Recommended, Excise-Specific), as follows:<br>● Minimum-Mandatory: MRN existence and appropriate state (at the Office of Exit);<br>● Extended-Recommended: The same Commodity Code (between Transit Declaration and Export Declaration for the requested export MRN);<br>● Excise-Specific (in addition to the abovementioned checks):<br>o ARC+UBR;<br>o CN Code (in case the Extended-Recommended check is not enabled);<br>o Net mass;<br>o Supplementary units (not mandatory cross-check).<br>In case that a transit declaration is lodged under simplified procedure, then additionally to the above checks, the location of goods declared in the transit declaration/authorisation (ACR) is validated against the location data registered at the Office of Exit. |
| Actor | AES |
| Input Data | C_AER_RSP<br>Result of Export MRN(s) check<br>N_XFT_REQ |
| Output Data | Export MRN(s) Cross-Check Result |
| Previous Objects | Are all AER Request Response(s) received positive?<br>Are there all Export MRN(s) in the Transit Presentation Notification known? |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.772: Task Perform Cross-Check on the Export MRN(s)

### Event Cross-Check was not successful for all MRN(s)

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Cross-Check was not successful for all MRN(s) |
| Event Type | End event |
| Description | The cross-check on the Export MRN(s) was not successful for all Export MRN(s). The Customs Office of Exit will reply with a negative Transit Presentation Notification Response (IE191) to the Customs Office of Departure. |
| Previous Objects | Cross-Check was successful for all MRN(s)? |

Table 5.773: Event Cross-Check was not successful for all MRN(s)

### Event Cross-Check Successful for all MRN(s)

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Cross-Check Successful for all MRN(s) |
| Event Type | End event |
| Description | The cross-check of the Export MRN(s) was successful for all of them and thus the Customs Office of Exit will reply with a positive Transit Presentation Notification Response (IE191) to the Customs Office of Departure. |
| Previous Objects | Cross-Check was successful for all MRN(s)? |

Table 5.774: Event Cross-Check Successful for all MRN(s)

## 5.63. Process L4-EXP-01-25-Process Transit Release Notification

### 5.63.1. Objective of Process

When the ‘Transit Presentation Notification’ (IE190) (‘Receive Transit Presentation Notification’) is communicated from the Customs Office of Departure to the Customs Office of Exit, the L4-EXP-01-25-Process Transit Release Notification will be initiated.

1. AES at the Customs Office of Exit receives the ‘Transit Presentation Notification’ (IE190) from the Customs Office of Departure and records it in its system.
2. Next, upon its reception, the Customs Office of Exit shall verify (cross-check) that the referenced Export MRN(s) exist and are in the appropriate movement state (i.e. ‘AER Created’, ‘Goods Presented at Exit’, ‘Goods Ready to be Released’). For this reason, the process L4-EXP-01-25-01-Cross-Check of the Export MRN(s) is initiated. The cross-check result may be positive (i.e. the ‘Cross-check is successful for all the Export MRN(s)’) or negative (i.e. the ‘Cross-check was not successful for all the Export MRN(s)’ or ‘AER Responses received were not all positive’ for unknown Export MRN(s)).

3. Depending on the verification (cross-check) performed, one of the following cases might occur:
3.1. The Customs Office of Exit replies positively with a positive 'Transit Presentation Notification Response' (IE191). The process continues from point 4.
3.2. The Customs Office of Exit replies negatively with a negative 'Transit Presentation Notification Response' (IE191). The process ends with 'Transit Presentation Notification Response was Negative' and this is the final step. Customs Office of Exit waits for another 'Transit Presentation Notification' (IE190) or 'Arrival at Exit' (IE507), so as the L4-EXP-01-25-Process Transit Release Notification or L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification to be initiated, respectively.
4. The Customs Office of Exit receives a new 'Transit Presentation Notification' (IE190) from the Customs Office of Departure as allocation request of the transit MRN to the Export MRN(s) since the transit declaration has now been accepted and records it in its system. The movement state is set to 'Goods Presented at Transit' at the Customs Office of Exit.
5. AES at the Office of Exit allocates the Transit MRN for the specific Export MRN(s).
6. The Customs Office of Exit replies positively to the Customs Office of Departure by sending a positive 'Transit Presentation Notification Response' (IE191).
7. Then, one of the following cases might be fulfilled:
7.1. The Customs Office of Exit receives Destination Control Results for each referenced export MRN(s) in the transit declaration via the 'Destination Control Results to AES' (IE042) from the Customs Office of Departure, records it in its system and identifies whether the Destination Controls Results code indicating release for transit or not.
7.1.1. At any case, the AES at the Customs Office of Exit will generate and send to the AES at the Customs Office of Export, an instance of the 'Exit Results' (IE518) for each referenced Export MRN in the 'Destination Control Results to AES' (IE042) and the process at the Customs Office of Exit ends with 'Exit Results Received', which is final for the Customs Office of Exit. Process continues with point 7.1.2.
7.1.2. The 'Exit Results' (IE518) are received from the Customs Office of Exit, which is considered as the start event for the Customs Office of Export. AES at the Customs Office of Export records the Exit Results in its system and identifies whether the Exit Control Result code concerns unsatisfactory Control Results or satisfactory Control Results. Then, one of the following might occur:
7.1.2.1. If the Exit Control Result code concerns unsatisfactory Control Results ('B2' or 'B3'), the movement state at the Customs Office of Export turns to 'Under Exit Confirmation Request', the Timer to Receive Exit Results is stopped and the Customs Office of Export will initiate the request for Alternative Evidence in the L4-EXP-01-27-Handle Goods Movement Additional Information. The process ends with either 'Enquiry Information code was 'Will not Exit'' or 'Time Limit To Receive Alternative Evidence expired', which lead to initiation of L4-EXP-01-26-Export Declaration Invalidation, or 'Sufficient Alternative Evidence Available for an Export Movement Followed by Transit' which leads to initiation of L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence.
7.1.2.2. If the Exit Control Result code does not concern 'B2' or 'B3' Control Results (could be either 'A1', 'A4' or 'B1'), then the process ends with 'Exit Results Available for an Export Movement Followed by Transit'.Process L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence shall follow.
7.2. The Customs Office of Exit receives 'Recovery Communication to AES' (IE048) from the Customs Office of Departure and records it in its system. Then, sends the Exit Results to the Customs Office of Export with Exit Control Result Code 'B1' and the process continues from point 7.1.2.
7.3. The Customs Office of Exit receives the 'Transit Presentation Notification' (IE190) from the Customs Office of Departure (Transit Indicator Flag is set to 'Amendment of Export MRNs referenced in Transit Declaration') containing Export MRNs that exist into the Previous Documents of the amended transit declaration. AES at the Customs Office of Exit records it in its system.
7.3.1. Upon its reception, the Customs Office of Exit shall verify (cross-check) that the referenced Export MRN(s) exist, thus, the process L4-EXP-01-25-01-Cross-Check of the Export MRN(s) is initiated. Depending on the verification (cross-check) performed, one of the following cases might occur:
7.3.1.1. The Customs Office of Exit replies positively with a positive 'Transit Presentation Notification Response' (IE191).

7.3.1.1.1. AES at the Customs Office of Exit performs the appropriate de-association for the amended Export MRN(s) and any new association of Export MRN(s), if required. Process loops back to point 7, normally expecting for the 'Destination Control Results' (IE042).
7.3.1.2. The Customs Office of Exit replies negatively with a negative 'Transit Presentation Notification Response' (IE191). The process loops back to point 7, expecting for the next valid 'Transit Presentation Notification' (IE190) for amendment.
7.4. The Customs Office of Exit receives a 'Transit Invalidation Notification' (IE040) and AES at the Customs Office of Exit records it in its system.
7.4.1. AES at the Customs Office of Exit performs the appropriate de-association for the invalidated Export MRN(s) and the process ends with 'Transit Declaration was Invalidated' and this is the final step. For the invalidated export MRNs, the transition to their previous state before the transition to 'Goods Presented at Transit' is performed. That way, the Export MRNs become available for another procedure. Customs Office of Exit waits for another 'Transit Presentation Notification' (IE190) or 'Arrival at Exit' (IE507), so as the L4-EXP-01-25-Process Transit Release Notification or L4-EXP-01-24-Customs Formalities at Office(s) of Exit-Process Arrival Notification to be initiated, respectively.

Final Situation:
- At the Customs Office of Exit, due to negative cross-check (before acceptance of the transit declaration), the process ends with 'Transit Presentation Notification Response was Negative';
- At the Customs Office of Exit, due to invalidation of the transit declaration (after the acceptance of the transit declaration), the process ends with 'Transit Declaration was Invalidated';
- At the Customs Office of Exit, in case of Destination Control results received from the Customs Office of Departure, the 'Exit results sent' to the Customs Office of Export and the process ends;
- At the Customs Office of Export, upon reception of the Exit Results with code 'A1', 'A4' or 'B1' from the Customs Office of Exit, the process ends with 'Exit Results available for an Export Movement Followed by Transit';
- At the Customs Office of Export, upon reception of the unsatisfactory Exit Results ('B2' or 'B3') from the Customs Office of Exit and request for Alternative Evidence from the Declarant/Representative, the process ends with 'Enquiry Information code was 'Will not Exit''';
- At the Customs Office of Export, upon reception of the unsatisfactory Exit Results ('B2' or 'B3') from the Customs Office of Exit and request for Alternative Evidence from the Declarant/Representative, the process ends with 'Sufficient Alternative Evidence Available for an Export Movement Followed by Transit';
- At the Customs Office of Export, upon reception of the unsatisfactory Exit Results ('B2' or 'B3') from the Customs Office of Exit and request for Alternative Evidence from the Declarant/Representative, the process ends with 'Time Limit To Receive Alternative Evidence expired'.

### 5.63.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-25 |
| Full Name | Process Transit Release Notification |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-27-Export and Transit |
| Existing Specifications | DDNXA-v5.13 |

Table 5.775: Process Details

### 5.63.3. Tasks and Events

#### Task Record Transit Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Transit Presentation Notification |
| Task Type | Service task |
| Description | AES records the Transit Presentation Notification.<br><br>In case it concerns an amendment 'Transit Presentation Notification' (IE190) message (Transit Indicator Flag is set to 'Amendment of Export MRNs referenced in Transit Declaration'), it shall contain all the Export MRNs that exist into the Previous Documents of the amended transit declaration. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Receive Transit Presentation Notification |
| Information Exchange | Transit Presentation Notification<br>Transit Presentation Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.776: Task Record Transit Presentation Notification

#### Event Receive Transit Presentation Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Transit Presentation Notification |
| Event Type | Message start event |
| Description | AES at the Customs Office of Exit receives the Transit Presentation Notification (IE190). |
| Previous Objects | Customs Office of Departure |

Table 5.777: Event Receive Transit Presentation Notification

### Task Cross-Check of the Export MRN(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Cross-Check of the Export MRN(s) |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-25-01-Cross-Check of the Export MRN(s) is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Record Transit Presentation Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.778: Task Cross-Check of the Export MRN(s)

### Task Notify Office of Departure with a Transit Presentation Notification Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Office of Departure with a Transit Presentation Notification Response |
| Task Type | Send task |
| Description | AES at the Office of Exit notifies with a Transit Presentation Notification response the Customs Office of Departure. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | Export MRN(s) Cross-Check Result<br>N_XFT_REQ |
| Output Data | |
| Previous Objects | Cross-Check of the Export MRN(s) |
| Information Exchange | Transit Presentation Notification Response |
| Requirements | AES_FUR_0022 |

| | |
|---|---|
| Data Set | |
| Events | |
| State | |

Table 5.779: Task Notify Office of Departure with a Transit Presentation Notification Response

### Event Transit Presentation Notification Response was Negative

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Presentation Notification Response was Negative |
| Event Type | End event |
| Description | The Transit Presentation Notification Response was negative. |
| Previous Objects | Transit Presentation Notification Response Positive? |

Table 5.780: Event Transit Presentation Notification Response was Negative

### Event Transit Presentation Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Presentation Notification Received |
| Event Type | Message intermediate event (catch) |
| Description | A Transit Presentation Notification is received. |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Customs Office of Departure<br>Transit Presentation Notification Response Positive? |

Table 5.781: Event Transit Presentation Notification Received

### Task Record Transit Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Transit Presentation Notification |
| Task Type | Service task |
| Description | AES records the Transit Presentation Notification.<br><br>In case it concerns an amendment ‘Transit Presentation Notification’ (IE190) message (Transit Indicator Flag is set to ‘Amendment of Export MRNs referenced in Transit Declaration’), it shall contain all the Export MRNs that exist into the Previous Documents of the amended transit declaration. |

| | |
|---|---|
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Transit Presentation Notification Received |
| Information Exchange | Transit Presentation Notification<br>Transit Presentation Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.782: Task Record Transit Presentation Notification

## Task Record Allocation of the Transit MRN to the Export MRN(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Allocation of the Transit MRN to the Export MRN(s) |
| Task Type | Service task |
| Description | AES at the Customs Office of Export records the allocation request of the Transit MRN to the Export MRN(s). As the Transit declaration has now been accepted, the movement state at the Customs Office of Exit turns to "Goods PResented at Transit". |
| Actor | AES |
| Input Data | N_XFT_REQ<br>Allocation of the Transit MRN Information |
| Output Data | Allocation of the Transit MRN Information<br>N_XFT_REQ |
| Previous Objects | Record Transit Presentation Notification |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.783: Task Record Allocation of the Transit MRN to the Export MRN(s)

## Task Notify Office of Departure with a Transit Presentation Notification Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Office of Departure with a Transit Presentation Notification Response |
| Task Type | Send task |
| Description | AES at the Office of Exit notifies with a Transit Presentation Notification response the Customs Office of Departure. |
| Actor | AES |
| Input Data | N_XFT_REQ |
| Output Data | Export Declaration<br>N_XFT_RSP |
| Previous Objects | Record Allocation of the Transit MRN to the Export MRN(s) |
| Information Exchange | |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | Transit Presentation Notification Response Positive |
| State | Goods Presented at Transit |

Table 5.784: Task Notify Office of Departure with a Transit Presentation Notification Response

## Event Transit Presentation Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Presentation Notification Received |
| Event Type | Message intermediate event (catch) |
| Description | A Transit Presentation Notification is received. |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Update Association of the Export MRN(s) for Amendment<br>Positive Transit Presentation Notification Response?<br>Notify Office of Departure with a Transit Presentation Notification Response<br>Customs Office of Departure |

Table 5.785: Event Transit Presentation Notification Received

### Event Transit Invalidation Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Invalidation Notification Received |
| Event Type | Message intermediate event (catch) |
| Description | A Transit Invalidation Notification (IE040) received. |
| Previous Objects | Customs Office of Departure<br>Update Association of the Export MRN(s) for Amendment<br>Positive Transit Presentation Notification Response?<br>Notify Office of Departure with a Transit Presentation Notification Response |

Table 5.786: Event Transit Invalidation Notification Received

### Event  Destination Control Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Destination Control Results Received |
| Event Type | Message intermediate event (catch) |
| Description | Destination Control Results (IE042) received. |
| Previous Objects | Customs Office of Departure<br>Update Association of the Export MRN(s) for Amendment<br>Positive Transit Presentation Notification Response?<br>Notify Office of Departure with a Transit Presentation Notification Response |

Table 5.787: Event  Destination Control Results Received

### Task Record Destination Control Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Destination Control Results |
| Task Type | Service task |
| Description | |
| Actor | AES |
| Input Data | |
| Output Data | Export Declaration |
| Previous Objects | Destination Control Results Received |

| | |
|---|---|
| Information Exchange | Destination Control Results<br>Destination Control Results |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Destination Control Results to AES Type A1 or A4<br>Destination Control Results to AES Type B2 or B3 |
| State | Exited<br>Handled Elsewhere |

Table 5.788: Task Record Destination Control Results

### Task Record Transit Presentation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Transit Presentation Notification |
| Task Type | Service task |
| Description | AES records the Transit Presentation Notification.<br><br>In case it concerns an amendment 'Transit Presentation Notification' (IE190) message (Transit Indicator Flag is set to 'Amendment of Export MRNs referenced in Transit Declaration'), it shall contain all the Export MRNs that exist into the Previous Documents of the amended transit declaration. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Transit Presentation Notification Received |
| Information Exchange | Transit Presentation Notification<br>Transit Presentation Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.789: Task Record Transit Presentation Notification

### Event Recovery Communication Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Recovery Communication Received |
| Event Type | Message intermediate event (catch) |
| Description | Recovery communication (IE048) received. |
| Previous Objects | Update Association of the Export MRN(s) for Amendment<br>Positive Transit Presentation Notification Response?<br>Notify Office of Departure with a Transit Presentation Notification Response<br>Customs Office of Departure |

Table 5.790: Event Recovery Communication Received

### Task Record Recovery Communication

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Recovery Communication |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit records the Recovery Communication information. |
| Actor | AES |
| Input Data | |
| Output Data | Export Declaration |
| Previous Objects | Recovery Communication Received |
| Information Exchange | Recovery Communication To AES |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Recovery Communication to AES Received |
| State | Goods not Allowed to Exit |

Table 5.791: Task Record Recovery Communication

### Task Identify Destination Control Result Code

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Destination Control Result Code |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit checks for the Destination Control Results.Code. |
| Actor | AES |
| Input Data | N_DES_CON |
| Output Data | Exit Control Result Information |
| Previous Objects | Record Destination Control Results |
| Information Exchange | |
| Requirements | AES_FUR_0100<br>AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.792: Task Identify Destination Control Result Code

### Task Record Transit Invalidation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Transit Invalidation Notification |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit records the Transit Invalidation Notification. |
| Actor | AES |
| Input Data | |
| Output Data | |
| Previous Objects | Transit Invalidation Notification Received |
| Information Exchange | Transit Invalidation Notification<br>Transit Invalidation Notification |
| Requirements | AES_FUR_0002 |
| Data Set | |

| | |
|---|---|
| Events | |
| State | |

Table 5.793: Task Record Transit Invalidation Notification

### Task Cross-Check of the Export MRN(s)

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Cross-Check of the Export MRN(s) |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-25-01-Cross-Check of the Export MRN(s) is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | |
| Output Data | |
| Previous Objects | Record Transit Presentation Notification |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.794: Task Cross-Check of the Export MRN(s)

### Event Exit Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Received |
| Event Type | Message start event |
| Description | AES receives the Exit Results. |
| Appears also in the following processes | L3-EXP-01-27-Export and Transit |
| Previous Objects | Notify Customs Office of Export of Exit Results |

Table 5.795: Event Exit Results Received

### Task Notify Customs Office of Export of Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Task Name | Notify Customs Office of Export of Exit Results |
| Task Type | Send task |
| Description | If the customs office of export is not the same as the Custom Office of Exit, a notification IE will automatically be sent to the Customs Office of Export informing of the Exit Results (IE518). |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-07-03-Send Exit Results |
| Input Data | Exit Control Result Information<br>MRN |
| Output Data | |
| Previous Objects | Identify Destination Control Result Code<br>Record Recovery Communication |
| Information Exchange | Exit Results<br>Destination Control Results |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.796: Task Notify Customs Office of Export of Exit Results

### Task Record Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Results |
| Task Type | Service task |
| Description | AES records the exit results received from the Customs Office of Exit or in case of an Operation Status Check from the Requested Customs Office. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence<br>L4-EXP-01-03-02-Receive Exit Results [DEPRECATED]<br>L4-EXP-01-17-03-Handle Operation Status Response |
| Input Data | |
| Output Data | C_EXT_RES |

| | |
|---|---|
| Previous Objects | Exit Results Received |
| Information Exchange | Exit Results |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.797: Task Record Exit Results

### Task Update Association of the Export MRN(s) for Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Update Association of the Export MRN(s) for Invalidation |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit performs the appropriate de-association for the invalidated/amended Export MRN(s) and only in case of amendment, any new association of Export MRN(s), if required. |
| Actor | AES |
| Input Data | Export Declaration<br>N_INV_TRA<br>Allocation of the Transit MRN Information |
| Output Data | Export Declaration |
| Previous Objects | Record Transit Invalidation Notification |
| Information Exchange | |
| Requirements | AES_FUR_0120 |
| Data Set | |
| Events | Transit MRN is de-associated from the Export MRN |
| State | Goods Presented at Exit<br>AER Created<br>Goods Ready to be Released |

Table 5.798: Task Update Association of the Export MRN(s) for Invalidation

### Task Notify Office of Departure with a Transit Presentation Notification Response

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Office of Departure with a Transit Presentation Notification Response |
| Task Type | Send task |
| Description | AES at the Office of Exit notifies with a Transit Presentation Notification response the Customs Office of Departure. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-25-Process Transit Release Notification |
| Input Data | Export MRN(s) Cross-Check Result<br>N_XFT_REQ |
| Output Data | |
| Previous Objects | Cross-Check of the Export MRN(s) |
| Information Exchange | Transit Presentation Notification Response |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.799: Task Notify Office of Departure with a Transit Presentation Notification Response

### Event Exit Results Sent

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Sent |
| Event Type | End event |
| Description | The goods of the export operation wherefore an operation status check has been performed have exited and the exit results have been sent to the requesting customs office. |
| Appears also in the following processes | L4-EXP-01-17-02-Handle Operation Status Request<br>L4-EXP-01-17-Operation Status Check |
| Previous Objects | Notify Customs Office of Export of Exit Results |

Table 5.800: Event Exit Results Sent

### Task Identify whether Control Results Code is either "B2" or "B3"

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify whether Control Results Code is either "B2" or "B3" |
| Task Type | Service task |
| Description | AES checks the Exit Control Result.Code in order to identify whether it "B2" (Non Appropriate Customs Office of Destination) or "B3" (Unsatisfactory Destination Control Results). |
| Actor | AES |
| Input Data | C_EXT_RES |
| Output Data | Exit Control Result Information |
| Previous Objects | Record Exit Results |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.801: Task Identify whether Control Results Code is either "B2" or "B3"

### Event Transit Declaration was Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Transit Declaration was Invalidated |
| Event Type | End event |
| Description | Transit Declaration is invalidated. |
| Previous Objects | Update Association of the Export MRN(s) for Invalidation |

Table 5.802: Event Transit Declaration was Invalidated

### Task Update Association of the Export MRN(s) for Amendment

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Update Association of the Export MRN(s) for Amendment |
| Task Type | Service task |
| Description | AES at the Customs Office of Exit performs the appropriate de-association for the invalidated/amended Export MRN(s) and only in case of amendment, any new association of Export MRN(s), if required. |
| Actor | AES |

| | |
|---|---|
| Input Data | Export Declaration<br>Allocation of the Transit MRN Information<br>N_XFT_RSP |
| Output Data | Export Declaration |
| Previous Objects | Positive Transit Presentation Notification Response? |
| Information Exchange | |
| Requirements | AES_FUR_0120 |
| Data Set | |
| Events | Transit MRN is de-associated from the Export MRN |
| State | Goods Ready to be Released<br>Goods Presented at Exit<br>AER Created |

Table 5.803: Task Update Association of the Export MRN(s) for Amendment

### Task Record Exit Results with Control Result Code 'B2' or 'B3'

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Exit Results with Control Result Code 'B2' or 'B3' |
| Task Type | Service task |
| Description | AES stops the 'Receive Exit results' timer. |
| Actor | AES |
| Input Data | C_EXT_RES<br>Exit Control Result Information |
| Output Data | Exit Control Result Information<br>Export Declaration<br>C_EXT_RES |
| Previous Objects | Control Results Code is either 'B2' or 'B3'? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Exit Results Control Result Code B2 or B3 |

| | |
|---|---|
| State | Under Exit Confirmation Request |

Table 5.804: Task Record Exit Results with Control Result Code 'B2' or 'B3'

### Event Exit Results Available for Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Available for Export Movement Followed by Transit |
| Event Type | End event |
| Description | Upon reception of the Exit Results with Control Result Codes either ‘A1’, ‘A4’, ‘B1’ from the Customs Office of Exit, the process ends with ‘Exit Results available’. |
| Previous Objects | Control Results Code is either 'B2' or 'B3'? |

Table 5.805: Event Exit Results Available for Export Movement Followed by Transit

### Task Stop Timer to Receive Exit Results

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer to Receive Exit Results |
| Task Type | Service task |
| Description | AES stops the 'Receive Exit results' timer. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-01-Handle Timers<br>L4-EXP-01-03-02-Receive Exit Results [DEPRECATED] |
| Input Data | MRN<br>Timer to Receive Exit Results Expiration Check Result |
| Output Data | Timer to Receive Exit Results End Date |
| Previous Objects | Record Exit Results with Control Result Code 'B2' or 'B3' |
| Information Exchange | |
| Requirements | AES_FUR_0045 |
| Data Set | |
| Events | |
| State | |

Table 5.806: Task Stop Timer to Receive Exit Results

### Task Handle Goods Movement Additional Information

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Goods Movement Additional Information |
| Task Type | Call activity (collapsed) |
| Description | Process L4-EXP-01-08-01-Handle Goods Movement Additional Information is initiated. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure |
| Input Data | |
| Output Data | |
| Previous Objects | Stop Timer to Receive Exit Results |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.807: Task Handle Goods Movement Additional Information

### Event Enquiry Information code  was 'Will not Exit'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Enquiry Information code  was 'Will not Exit' |
| Event Type | End event |
| Description | The Declarant/ Representative informed the Customs Office of Export that the goods will not exit the Customs Territory of the Union. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.808: Event Enquiry Information code  was 'Will not Exit'

### Event Sufficient Alternative  Evidence Available for an Export Movement Followed by Transit

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative  Evidence Available for an Export Movement Followed by Transit |

| | |
|---|---|
| Event Type | End event |
| Description | The provided alternative evidence is considered sufficient in order to confirm the exit of the goods. |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.809: Event Sufficient Alternative Evidence Available for an Export Movement Followed by Transit

### Event Time Limit To Receive Alternative Evidence expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit To Receive Alternative Evidence expired |
| Event Type | End event |
| Description | The time limit to receive Alternative Evidence has expired. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-27-Handle Goods Movement Additional Information |
| Previous Objects | Handle Goods Movement Additional Information |

Table 5.810: Event Time Limit To Receive Alternative Evidence expired

## 5.64. Process L4-EXP-01-26-Export Declaration Invalidation

### 5.64.1. Objective of Process

Description:
Customs Office of Export
The process starts with one of the following events:

- Customs Office of Export receives an Invalidation request from the Declarant/Representative;
- Customs Officer decides to Initiate the Invalidation process for a movement;
- Time limit to Certify Exit expires OR Time limit to Receive Alternative Evidence expires.

1. The Customs Office of Export receives an Invalidation Request via an 'Export Invalidation Request' (IE514) from the Declarant/Representative. Then, AES validates the Export Invalidation Request and based on the outcome of the validation, two cases may occur:
1.1. Export Declaration Invalidation Request is valid, AES records the Invalidation Request and the process continues at point 4.
1.2. Export Declaration Invalidation Request is invalid, AES notifies the Declarant/Representative of the invalid Invalidation Request via a 'Rejection from Office of Export' (IE556) and the process ends at this point with outcome 'Export Declaration Invalidation Request Rejected'.
2. The Customs Officer decides to initiate the Invalidation process and registers his/her decision. The process continues at point 4.
3. Time limit to Certify Exit or Time limit to Receive Alternative Evidence expires and then AES records the expiry of the timer. The Customs Officer acknowledges the expiry of the timer and registers the decision to initiate the Invalidation process and the process continues at point 4.
4. AES identifies if the Goods are Release for Export and based on the outcome, two cases may occur:
4.1. Goods Released for Export. The Customs Officer determines if the Operation Status Check needs to be performed and based on the outcome, two cases may occur:

4.1.1. Customs Officer decides to perform Operation Status Check and the process continues with L4-EXP-01-17-Operation Status Check call-activity. AES identifies the outcome of Operation Status Check call-activity and the Customs Officer determines whether to proceed with the Export Declaration Invalidation or not and based on the decision, two cases may occur:
4.1.1.1. Decision to proceed with Export Declaration Invalidation. The Customs Officer registers the decision to proceed with Export Declaration invalidation and AES records it. The process continues at point 4.2.
4.1.1.2. Decision not to proceed with Export Declaration Invalidation and the process continues at point 4.1.2.3.1.
4.1.2. Customs Officer decides not to perform Operation Status Check and the process continues at point 4.2.
4.1.3. AES identifies if the Customs Office of Export is also the Customs Office of Exit and based on the outcome, two cases may occur:
4.1.3.1. Customs Office of Export is also the Customs Office of Exit and the process continues at point 4.1.2.3.1.
4.1.3.2. Customs Office of Export is not also the Customs Office of Exit. Then AES notifies Customs Office of Exit of the Invalidation Request via an 'Export Invalidation Notification' (IE510) message. AES receives the Invalidation Acknowledgement Response from the Customs Office of Exit via 'Invalidation Acknowledgement' (IE591) message and then identifies whether the Invalidation Acknowledgement Response is Positive or Negative. Based on the outcome, two cases may occur:
4.1.3.2.1. Invalidation Acknowledgement Response is Positive. AES Invalidates the Export Declaration and the timers are handled at this point which means that after the Export Declaration Invalidation, the relevant timer(s) stop, the process continues with L4-EXP-01-23-01 Handle Timer call-activity. It means that after exit results or exit notification were received or alternative evidence were registered, the relevant timer(s) stop. AES identifies if the Export Declaration concerns Centralised Clearance and based on the outcome, two cases may occur:
4.1.3.2.1.1. Export Declaration concerns Centralised Clearance. AES notifies the Presentation Customs Office of Invalidation via an 'Export Invalidation Notification' (IE510) message sent from the Customs Office of Export (acting as Supervising Customs Office) and the process continues at point 6.
4.1.3.2.1.2. Export Declaration doesn't concern Centralised Clearance and the process continues at point 6.
4.1.3.2.2. Invalidation Acknowledgement Response is negative. AES identifies if Invalidation Request has been lodged by the Trader and based on the outcome, two cases may occur:
4.1.3.2.2.1. Invalidation requested by the Trader. AES notifies the Declarant/Representative via an 'Rejection from Office of Export' (IE556) message and the process ends at this point and the outcome is 'Export Declaration Invalidation Request Rejected'.
4.1.3.2.2.2. Invalidation was not requested by the Trader and the process ends at this point and the outcome is 'Export Declaration Invalidation Request Rejected'.
5. Goods are not released for Export. AES invalidates the Export Declaration and the process continues at point 6.
6. AES Identifies in the Export Declaration concerns Excise Goods and based on the outcome, two cases may occur:
6.1. Export Declaration concerns Excise Goods and AES notifies the MSA of Export. The process continues at point 7.
6.2. Export Declaration does not concern Excise Goods and the process continues at point 7.
7. AES notifies the Declarant/Representative of the Invalidation of the Export Declaration via an 'Export Invalidation Decision' (IE509) message. The movement state is changed to 'Invalidated' and the process ends at this point.

Final Situation:
- AES notifies the Declarant/Representative of the Export Declaration Invalidation and the movement state is changed to 'Invalidated'.

Customs Office of Exit

1. The process is triggered when the Customs Office of Exit receives an Export Invalidation Notification via an 'Export Invalidation Notification' (IE510) message.
2. AES identifies is invalidation can be performed.
3. AES send back to the Customs Office of Export a Positive or a Negative Invalidation Acknowledgment Response via an 'Invalidation Acknowledgement' (IE591) message. In case of positive Invalidation Acknowledgement, the movement state changes to "Invalidated".
4. The process ends at this point with outcome 'Invalidation Acknowledgement has been communicated'.

Presentation Customs Office – PCO
1. The process is triggered when the Presentation Customs Office (PCO) receives an 'Export Invalidation Notification' message (IE510).
2. AES at the PCO records the Export Invalidation Notification and the movement state is set to 'Invalidated', which is a final state. The process ends at this point.

Final Situation:
- After sending the 'Invalidation Acknowledgement' (IE591) to the Customs Office of Export, the process ends at this point with outcome 'Invalidation Acknowledgement has been communicated'.

After AES recording the Export Invalidation Notification at the PCO, the process ends and the movement state is set to 'Invalidated' at the PCO.

### 5.64.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-26 |
| Full Name | Invalidate Export Declaration |
| Assumptions | N/A |
| Constraints | The Office of Export shall not accept a cancellation, if the Office of Exit had accepted an arrival at Exit notification (IE507) for the same movement at the Office of Exit and the goods have Partially exited. |
| L3 Reference Models | L3-EXP-01-06-Invalidation of the Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.811: Process Details

### 5.64.3. Tasks and Events

#### Task Acknowledge Expiry of Time Limit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Acknowledge Expiry of Time Limit |
| Task Type | User task |
| Description | |
| Actor | Customs Officer |
| Input Data | Time Limit to Receive Alternative Evidence Expiry Date<br>MRN |

| | |
|---|---|
| | Time Limit to Certify Exit Expiry Date |
| Output Data | Time Limit to Receive Alternative Evidence Expiry Date<br>Time Limit to Certify Exit Expiry Date |
| Previous Objects | Record Expiry of Time Limit |
| Information Exchange | |
| Requirements | AES_FUR_0004 |
| Data Set | |
| Events | |
| State | |

Table 5.812: Task Acknowledge Expiry of Time Limit

### Event 150 days Time Limit to Certify Exit expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | 150 days Time Limit to Certify Exit expired |
| Event Type | Timer start event |
| Description | |
| Previous Objects | |

Table 5.813: Event 150 days Time Limit to Certify Exit expired

### Event Time Limit to Receive Alternative Evidence expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit to Receive Alternative Evidence expired |
| Event Type | Timer start event |
| Description | The time limit to receive Alternative Evidence expired. |
| Previous Objects | |

Table 5.814: Event Time Limit to Receive Alternative Evidence expired

### Task Record Expiry of Time Limit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit |
| Task Type | Service task |
| Description | AES records the expiration of the corresponding timer. |
| Actor | AES |

| | |
|---|---|
| Input Data | MRN<br>Time Limit to Certify Exit Expiry Date<br>Time Limit to Receive Alternative Evidence Expiry Date |
| Output Data | Time Limit to Certify Exit Expiry Date<br>Time Limit to Receive Alternative Evidence Expiry Date |
| Previous Objects | Time Limit to Receive Alternative Evidence expired<br>150 days Time Limit to Certify Exit expired |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.815: Task Record Expiry of Time Limit

### Event Receive Invalidation Request

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Invalidation Request |
| Event Type | Message start event |
| Description | AES at the Customs Office of Export receives a request from the Trader in an electronic format to invalidate the export declaration. |
| Previous Objects | Declarant/Representative |

Table 5.816: Event Receive Invalidation Request

### Task Validate Export Invalidation Request

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Export Invalidation Request |
| Task Type | Service task |
| Description | AES validates the received export invalidation request against the business rules and conditions. If the validation against the business rules and conditions fails, the export Invalidation request is rejected. |
| Actor | AES |
| Input Data | |
| Output Data | Declaration Invalidation Request Validation Result |

| | |
|---|---|
| Previous Objects | Receive Invalidation Request |
| Information Exchange | Export Invalidation Request |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.817: Task Validate Export Invalidation Request

### Task Register Decision to Initiate Invalidation process

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision to Initiate Invalidation process |
| Task Type | User task |
| Description | Customs Office register in AES the decision to initiate the invalidation process after the expiration of a timer or after his own initiative. |
| Actor | Customs Officer |
| Input Data | Time Limit to Receive Alternative Evidence Expiry Date<br>MRN<br>Time Limit to Certify Exit Expiry Date |
| Output Data | Declaration Invalidation Decision |
| Previous Objects | Customs Office Initiates the Invalidation of the Export Declaration<br>Acknowledge Expiry of Time Limit |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.818: Task Register Decision to Initiate Invalidation process

### Task Notify Declarant/Representative of Rejection for Invalidation of Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Rejection for Invalidation of Export |

| | |
|---|---|
| | Declaration |
| Task Type | Send task |
| Description | |
| Actor | AES |
| Input Data | Declaration Invalidation Request Rejection information<br>E_EXP_INV<br>MRN |
| Output Data | |
| Previous Objects | Export Invalidation Request Valid? |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.819: Task Notify Declarant/Representative of Rejection for Invalidation of Export Declaration

### Event Customs Office Initiates the Invalidation of the Export Declaration

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Customs Office Initiates the Invalidation of the Export Declaration |
| Event Type | Conditional start event |
| Description | The Customs Officer initiates the invalidation of the export declaration. |
| Previous Objects | |

Table 5.820: Event Customs Office Initiates the Invalidation of the Export Declaration

### Task Record Decision to Initiate Invalidation process

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision to Initiate Invalidation process |
| Task Type | Service task |
| Description | AES records Customs Officer's decision to initiate the Invalidation process. |
| Actor | AES |
| Input Data | MRN<br>Declaration Invalidation Decision |
| Output Data | Declaration Invalidation Decision |

| | |
|---|---|
| Previous Objects | Register Decision to Initiate Invalidation process |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.821: Task Record Decision to Initiate Invalidation process

### Task Record Request for Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Request for Invalidation |
| Task Type | Service task |
| Description | AES records the request to invalidate the export declaration received from the Trader. |
| Actor | AES |
| Input Data | E_EXP_INV<br>Declaration Invalidation Request Validation Result |
| Output Data | E_EXP_INV |
| Previous Objects | Export Invalidation Request Valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.822: Task Record Request for Invalidation

### Event Export Declaration Invalidation Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Invalidation Request Rejected |
| Event Type | End event |
| Description | |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-26-Export Declaration Invalidation |
| Previous Objects | Notify Declarant/Representative of Rejection for Invalidation of Export Declaration |

Table 5.823: Event Export Declaration Invalidation Request Rejected

### Task Determine if Operation Status Check needs to be performed

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Determine if Operation Status Check needs to be performed |
| Task Type | User task |
| Description | |
| Actor | Customs Officer |
| Input Data | Goods Released for Export Check Result<br>MRN |
| Output Data | Operation Status Check execution Decision |
| Previous Objects | Goods Released for Export? |
| Information Exchange | |
| Requirements | AES_FUR_0075 |
| Data Set | |
| Events | |
| State | |

Table 5.824: Task Determine if Operation Status Check needs to be performed

### Task Identify if Goods are Released for Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Goods are Released for Export |
| Task Type | Service task |
| Description | AES identifies if the movement was released for Export. |
| Actor | AES |
| Input Data | Export Declaration Information<br>MRN |
| Output Data | Goods Released for Export Check Result |

| | |
|---|---|
| Previous Objects | Record Decision to Initiate Invalidation process<br>Record Request for Invalidation |
| Information Exchange | |
| Requirements | AES_FUR_0028 |
| Data Set | |
| Events | |
| State | |

Table 5.825: Task Identify if Goods are Released for Export

### Task Operation Status Check

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Operation Status Check |
| Task Type | Call activity (collapsed) |
| Description | This call-activity is modelled in a separate process: L4-EXP-01-17 |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-00-AES Master Process |
| Input Data | |
| Output Data | |
| Previous Objects | Decision to use Operation Status Check mechanism before the Invalidation process? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.826: Task Operation Status Check

### Task Determine if Invalidation of Export Declaration should be performed

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Determine if Invalidation of Export Declaration should be performed |
| Task Type | User task |
| Description | Customs Officer decided if the movement should be invalidated based on the movement's status at the Customs Office of Exit. |

| | |
|---|---|
| Actor | Customs Officer |
| Input Data | Movement Status<br>MRN |
| Output Data | Declaration Invalidation Decision |
| Previous Objects | Identify the status of the movement in the Customs Office of Exit |
| Information Exchange | |
| Requirements | AES_FUR_0004 |
| Data Set | |
| Events | |
| State | |

Table 5.827: Task Determine if Invalidation of Export Declaration should be performed

### Task Invalidate Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Invalidate Export Declaration |
| Task Type | Service task |
| Description | AES invalidates the export declaration. The movement status is set to 'Invalidated'. Where required, AES updates the information in related licenses, authorisations. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-18-Invalidate Export Declaration at Office of Exit [DEPRECATED]<br>L4-EXP-01-26-Export Declaration Invalidation |
| Input Data | Invalidation Acknowledgement Check Result<br>MRN |
| Output Data | Export Declaration |
| Previous Objects | Goods Released for Export? |
| Information Exchange | |
| Requirements | AES_FUR_0058 |
| Data Set | Export Declaration |

| | |
|---|---|
| Events | Invalidation Acknowledgement Positive |
| State | Invalidated |

Table 5.828: Task Invalidate Export Declaration

### Task Identify the status of the movement in the Customs Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify the status of the movement in the Customs Office of Exit |
| Task Type | Service task |
| Description | AES identifies the movement's status at the Customs Office of Exit based on the results of the Operation Status Check. |
| Actor | AES |
| Input Data | MRN<br>Operation Status Check Result Information |
| Output Data | Movement Status |
| Previous Objects | Operation Status Check |
| Information Exchange | |
| Requirements | AES_FUR_0076 |
| Data Set | |
| Events | |
| State | |

Table 5.829: Task Identify the status of the movement in the Customs Office of Exit

### Task Register Decision to Proceed with Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision to Proceed with Invalidation |
| Task Type | User task |
| Description | Customs Officer registers in AES the decision to invalidate the movement. |
| Actor | Customs Officer |
| Input Data | Movement Status<br>MRN |
| Output Data | Declaration Invalidation Decision |

| | |
|---|---|
| Previous Objects | Decision to proceed with Invalidation of Export Declaration? |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.830: Task Register Decision to Proceed with Invalidation

### Task Record Decision for Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision for Invalidation |
| Task Type | Service task |
| Description | When the decision concerning the invalidation of the customs declaration is registered, the data elements following this registration are automatically recorded in AES - linked to the reference number. |
| Actor | AES |
| Input Data | MRN<br>Declaration Invalidation Decision |
| Output Data | Declaration Invalidation Decision |
| Previous Objects | Register Decision to Proceed with Invalidation |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.831: Task Record Decision for Invalidation

### Task Identify if Customs Office of Export is Customs Office of Exit

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Customs Office of Export is Customs Office of Exit |
| Task Type | Service task |

| | |
|---|---|
| Description | AES identifies if the Customs Office of Export is the same office as the Customs Office of Exit. |
| Actor | AES |
| Input Data | MRN<br>Export Declaration Information |
| Output Data | Customs Office Check Result |
| Previous Objects | Decision to use Operation Status Check mechanism before the Invalidation process?<br>Record Decision for Invalidation |
| Information Exchange | |
| Requirements | AES_FUR_0067 |
| Data Set | |
| Events | |
| State | |

Table 5.832: Task Identify if Customs Office of Export is Customs Office of Exit

### Task Notify Customs Office of Exit of Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Exit of Invalidation |
| Task Type | Send task |
| Description | AES notifies the Customs Office of Exit regarding the Invalidation request. |
| Actor | AES |
| Input Data | Export Declaration Information<br>MRN |
| Output Data | |
| Previous Objects | Customs Office of Export is Customs Office of Exit? |
| Information Exchange | Export Invalidation Notification |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.833: Task Notify Customs Office of Exit of Invalidation

### Event Received Export Invalidation Notification

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Received Export Invalidation Notification |
| Event Type | Message start event |
| Description | Customs Office of Exit received the Export Invalidation Notification from Customs Office of Export. |
| Previous Objects | Notify Customs Office of Exit of Invalidation |

Table 5.834: Event Received Export Invalidation Notification

### Event Receive Export Invalidation Acknowledgement

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Export Invalidation Acknowledgement |
| Event Type | Message intermediate event (catch) |
| Description | Customs Office of Export receives the Export Declaration Invalidation Acknowledgement from the Customs Office of Exit. |
| Previous Objects | Notify Customs Office of Export of Invalidation Acknowledgement<br>Notify Customs Office of Exit of Invalidation |

Table 5.835: Event Receive Export Invalidation Acknowledgement

### Task Identify if Declaration Invalidation can be performed

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Declaration Invalidation can be performed |
| Task Type | Service task |
| Description | AES at Customs Office of Exit identifies if the movement can be invalidated or not. |
| Actor | AES |
| Input Data | MRN<br>Export Declaration Information |
| Output Data | Declaration Invalidation Acknowledgement Result |
| Previous Objects | Received Export Invalidation Notification |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0079<br>AES_FUR_0074 |
| Data Set | |
| Events | |
| State | |

Table 5.836: Task Identify if Declaration Invalidation can be performed

### Task Identify if Invalidation Acknowledgement response is positive or negative

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Invalidation Acknowledgement response is positive or negative |
| Task Type | Service task |
| Description | AES at Customs Office of Export identifies whether the response that was received from Customs Office of Exit is positive or negative regarding the invalidation of the Export Declaration. |
| Actor | AES |
| Input Data | MRN<br>C_INV_ACK |
| Output Data | Invalidation Acknowledgement Check Result |
| Previous Objects | Receive Export Invalidation Acknowledgement |
| Information Exchange | |
| Requirements | AES_FUR_0115 |
| Data Set | |
| Events | |
| State | |

Table 5.837: Task Identify if Invalidation Acknowledgement response is positive or negative

### Task Notify Customs Office of Export of Invalidation Acknowledgement

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Export of Invalidation Acknowledgement |
| Task Type | Send task |
| Description | Customs Office of Exit notifies Customs Office of Export either positively or negatively regarding the movements invalidation. In case of positive Invalidation Acknowledgement, the state at the Office of Exit changes to "Invalidated". |

| | |
|---|---|
| Actor | AES |
| Input Data | Declaration Invalidation Acknowledgement Result<br>MRN |
| Output Data | Export Declaration |
| Previous Objects | Identify if Declaration Invalidation can be performed |
| Information Exchange | Invalidation Acknowledgement |
| Requirements | AES_FUR_0022 |
| Data Set | Export Declaration |
| Events | Export Invalidation Notification |
| State | Invalidated |

Table 5.838: Task Notify Customs Office of Export of Invalidation Acknowledgement

### Task Invalidate Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Invalidate Export Declaration |
| Task Type | Service task |
| Description | AES invalidates the export declaration. The movement status is set to 'Invalidated'. Where required, AES updates the information in related licenses, authorisations. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-18-Invalidate Export Declaration at Office of Exit [DEPRECATED]<br>L4-EXP-01-26-Export Declaration Invalidation |
| Input Data | Invalidation Acknowledgement Check Result<br>MRN |
| Output Data | Export Declaration |
| Previous Objects | Customs Office of Export is Customs Office of Exit?<br>Invalidation Acknowledgement Positive? |
| Information Exchange | |

| | |
|---|---|
| Requirements | AES_FUR_0058 |
| Data Set | Export Declaration |
| Events | Invalidation Acknowledgement Positive |
| State | Invalidated |

Table 5.839: Task Invalidate Export Declaration

### Event Invalidation Acknowledgement has been communicated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Invalidation Acknowledgement has been communicated |
| Event Type | End event |
| Description | |
| Previous Objects | Notify Customs Office of Export of Invalidation Acknowledgement |

Table 5.840: Event Invalidation Acknowledgement has been communicated

### Task Identify if Invalidation Has Been Requested By the Trader

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Invalidation Has Been Requested By the Trader |
| Task Type | Service task |
| Description | AES at the Customs Office of Export checks whether the invalidation process has been initiated based on a request received from the trader. |
| Actor | AES |
| Input Data | Declaration Invalidation Decision<br>MRN |
| Output Data | Result of Availability of Request for Invalidation Check |
| Previous Objects | Decision to proceed with Invalidation of Export Declaration?<br>Invalidation Acknowledgement Positive? |
| Information Exchange | |
| Requirements | AES_FUR_0093 |
| Data Set | |
| Events | |

| | |
|---|---|
| State | |

Table 5.841: Task Identify if Invalidation Has Been Requested By the Trader

### Task Handle Timers

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Handle Timers |
| Task Type | Call activity (collapsed) |
| Description | The timers are handled at this point in L4-EXP-01-23-01 call-activity. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-23-Customs Formalities at Office of Export-Handle Exit Results/Alternative Evidence |
| Input Data | |
| Output Data | |
| Previous Objects | Invalidate Export Declaration |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.842: Task Handle Timers

### Task Notify Exporter/Declarant of Rejection for Invalidation of Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Exporter/Declarant of Rejection for Invalidation of Export Declaration |
| Task Type | Send task |
| Description | When it is identified that the invalidation process has been initiated based on a request received from the trader, a notification IE556 will automatically be sent to the Exporter or Declarant, informing about the rejection of the invalidation of the customs declaration. |
| Actor | AES |
| Input Data | Result of Availability of Request for Invalidation Check<br>MRN |
| Output Data | |
| Previous Objects | Invalidation Requested by Trader? |

| | |
|---|---|
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.843: Task Notify Exporter/Declarant of Rejection for Invalidation of Export Declaration

### Task Identify if Export Declaration concerns Centralised Clearance

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Export Declaration concerns Centralised Clearance |
| Task Type | Service task |
| Description | AES checks whether the customs declaration is lodged under centralised clearance. |
| Actor | AES |
| Input Data | MRN<br>Export Declaration Information |
| Output Data | Result of Centralised Clearance Check |
| Previous Objects | Handle Timers |
| Information Exchange | |
| Requirements | AES_FUR_0014 |
| Data Set | |
| Events | |
| State | |

Table 5.844: Task Identify if Export Declaration concerns Centralised Clearance

### Event Export Declaration Invalidation Request Rejected

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Invalidation Request Rejected |
| Event Type | End event |
| Description | |

| | |
|---|---|
| Appears also in the following processes | L4-EXP-01-26-Export Declaration Invalidation |
| Previous Objects | Invalidation Requested by Trader?<br>Notify Exporter/Declarant of Rejection for Invalidation of Export Declaration |

Table 5.845: Event Export Declaration Invalidation Request Rejected

### Task Notify Customs Office of Presentation of Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Customs Office of Presentation of Invalidation |
| Task Type | Send task |
| Description | When it is identified that the customs declaration is lodged under centralised clearance, a notification IE510 will automatically be sent to the Customs Office of Presentation informing about the invalidation of the customs declaration. |
| Actor | AES |
| Input Data | MRN<br>Result of Centralised Clearance Check |
| Output Data | |
| Previous Objects | Export Declaration concerns Centralised Clearance? |
| Information Exchange | Export Invalidation Notification |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.846: Task Notify Customs Office of Presentation of Invalidation

### Task Identify if Export Declaration concerns Excise Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify if Export Declaration concerns Excise Goods |
| Task Type | Service task |
| Description | AES checks if the customs declaration has been lodged for the goods subject to the excise suspension and if the MSA of Dispatch/Export has to be informed about the invalidation of the customs declaration. |
| Actor | AES |

| | |
|---|---|
| Input Data | MRN<br>Export Declaration Information |
| Output Data | Result of Goods under Excise Duty Suspension Arrangement Check |
| Previous Objects | Export Declaration concerns  Centralised Clearance?<br>Notify Customs Office of Presentation of Invalidation<br>Invalidate Export  Declaration |
| Information Exchange | |
| Requirements | AES_FUR_0018 |
| Data Set | |
| Events | |
| State | |

Table 5.847: Task Identify if Export Declaration concerns Excise Goods

## Event Export Invalidation Notification Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Invalidation Notification Received |
| Event Type | Message start event |
| Description | An Export Invalidation Notification has been received at PCO. |
| Previous Objects | Notify Customs Office of Presentation of Invalidation |

Table 5.848: Event Export Invalidation Notification Received

## Task Record Export Invalidation Notification

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Export Invalidation Notification |
| Task Type | Service task |
| Description | AES at the PCO records the 'Export Invalidation Notification' and the movement state is set to 'Invalidated'. |
| Actor | PCO - Presentation Customs Office |
| Input Data | C_INV_EXP |
| Output Data | C_INV_EXP<br>Export Declaration |

| | |
|---|---|
| Previous Objects | Export Invalidation Notification Received |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | Export Invalidation Notification Received |
| State | Invalidated |

Table 5.849: Task Record Export Invalidation Notification

### Task Notify MSA of Export of Invalidation

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify MSA of Export of Invalidation |
| Task Type | Send task |
| Description | When it is identified that the customs declaration has been lodged for the goods subject to the excise suspension and the MSA of Dispatch/Export has to be informed about the invalidation of the customs declaration, a notification IE536 will automatically be sent to the MSA of Dispatch/Export, informing about the invalidation of the customs declaration. |
| Actor | AES |
| Input Data | Result of Goods under Excise Duty Suspension Arrangement Check<br>MRN |
| Output Data | |
| Previous Objects | Declaration Contains ARC? |
| Information Exchange | Invalidation Notification to MSA of Export |
| Requirements | AES_FUR_0022 |
| Data Set | |
| Events | |
| State | |

Table 5.850: Task Notify MSA of Export of Invalidation

### Event Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|

| | |
|---|---|
| Event Name | Invalidated |
| Event Type | End event |
| Description | The movement state at PCO is set to 'Invalidated' which is a final state. |
| Previous Objects | Record Export Invalidation Notification |

Table 5.851: Event Invalidated

### Task Notify Exporter/Declarant of Invalidation of Export Declaration

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Exporter/Declarant of Invalidation of Export Declaration |
| Task Type | Send task |
| Description | Before AES invalidates the export declaration, a notification IE will automatically be sent to the Exporter or Declarant informing about the invalidation of the customs declaration. |
| Actor | AES |
| Input Data | Export Declaration Information<br>MRN |
| Output Data | |
| Previous Objects | Notify MSA of Export of Invalidation<br>Declaration Contains ARC? |
| Information Exchange | Export Invalidation Decision |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.852: Task Notify Exporter/Declarant of Invalidation of Export Declaration

### Event Export Declaration Invalidated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Export Declaration Invalidated |
| Event Type | End event |
| Description | The export declaration has been invalidated. This is a final state. |
| Appears also in the following processes | L4-EXP-01-18-Invalidate Export Declaration at Office of Exit [DEPRECATED]<br>L4-EXP-01-00-AES Master Process |

| | |
|---|---|
| Previous Objects | Notify Exporter/Declarant of Invalidation of Export Declaration |

Table 5.853: Event Export Declaration Invalidated

## 5.65. Process L4-EXP-01-27-Handle Goods Movement Additional Information

### 5.65.1. Objective of Process

The process of Handling Goods Movement Additional Information can be initiated with two possible start events as listed below:

- Enquiry Procedure was initiated by the Customs Officer and Exit Results have not been received (The movement is in state other than 'Exited', 'Goods not Allowed to Exit');
- Control Result Code in the Exit Results is either 'B2' or 'B3'.

When AES at the Customs Office of Export identifies one of the above, the following occurs:

AES at the Customs Office of Export notifies the Declarant/ Representative requesting information about the movement of the goods via a 'Request on Non-Exited Export' (IE582) message. At this point, the Time Limit to Receive Alternative Evidence (60 days - extendable) is initiated. Following this, the Customs Officer registers the decision to extend the Time Limit to Receive Alternative Evidence. The two following cases may occur:

1. The Customs Officer decides to Extend the Time Limit to Receive Alternative Evidence and the process 'L4-EXP-01-08-05-Extend Time Limit To Receive Alternative Evidence' is initiated. Upon the execution of this process, the flow continues and merges with the flow of point 2, after the decision of the Customs Officer to not extend the Time Limit to Receive Alternative Evidence.

2. The Customs Officer does not extend the Time Limit to Receive Alternative Evidence. The following cases may occur at this point:

2.1. AES at the Customs Office of Export receives an 'Information on Non-Exited Export' (IE583) message from the Declarant/Representative. AES validates the received message and then records it. At this point, the following may occur:

2.1.1. The validation of 'Information on Non-Exited Export' (IE583) was successful. AES records the 'Information on Non-Exited Export' (IE583) message and subsequently identifies the Enquiry Information Code declared by the Trader. Based on the Enquiry Information Code declared in 'Information on Non-Exited Export' (IE583), the following cases may occur:

2.1.1.1. The Enquiry Information Code in 'Information on Non-Exited Export' (IE583) message is 'Will not Exit'. The flow stops here. The initial process that called this process may resume.

2.1.1.2. The Enquiry Information Code in 'Information on Non-Exited Export' (IE583) message is 'Expected to Exit'. The flow continues from point 2.1.2.1.

2.1.1.3. The Enquiry Information Code is 'Exited-Alternative Evidence'. The Customs Officer registers the decision on Alternative Evidence. AES at the Customs Office of Export records the decision on Alternative Evidence. At this point the following cases may occur:

2.1.1.3.1. The provided Alternative Evidence is considered sufficient in order to certify the exit of the goods. AES at the Customs Office of Export stops the time limit to Receive Alternative Evidence and the flow stops here. The initial process that called this process may resume.

2.1.1.3.2. The provided Alternative Evidence is considered insufficient in order to certify the exit of the goods. The flow continues from point 2.1.2.

2.1.2. The validation of 'Information on Non-Exited Export' (IE583) was not successful. AES notifies the Trader via a 'Rejection from Office of Export' (IE556) message.

2.1.2.1. At this point, AES checks whether the Exit Results are available at the Customs Office of Export (this check is not applicable for the case of an Export movement followed by Transit) in which the Control

Results Code in the Exit Results is either ‘B2’ or ‘B3’ and the Time Limit to Receive Exit Results was stopped). The following may occur:
2.1.2.1.1. The Exit Results are available to the Customs Office of Export. The process ends here. The initial process that called this process may now resume.
2.1.2.1.2. The Exit Results are not available to the Customs Office of Export. The flow continues from the beginning of the process. Specifically, from the point after the Time Limit to Receive Alternative Evidence is initiated.
2.2. The time Limit to Receive Alternative Evidence Expires. AES at the Customs Office of Export records this time limit expiry and the process stops here. The initial process that called this process can now resume from that point.

Final situation:
The possible final situations for this process are the following:

- Time Limit to Receive Alternative Evidence expired;
- Exit Results Received;
- Enquiry Information Code ‘Will not Exit’;

Sufficient Alternative Evidence Available.

### 5.65.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-27 |
| Full Name | Handle Goods Movement Additional Information |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-09-Enquiry Procedure |
| Existing Specifications | DDNXA-v5.13 |

Table 5.854: Process Details

### 5.65.3. Tasks and Events

#### Task Notify Exporter/Declarant of Request for Information about Movement of Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Exporter/Declarant of Request for Information about Movement of Goods |
| Task Type | Send task |
| Description | AES notifies with a message (IE582) the Exporter/Declarant about the request for information regarding the whereabouts of the export operation for which no exit confirmation has been received, fixing a time limit for providing the response. |
| Actor | AES |
| Input Data | Enquiry Procedure Decision |

| | |
|---|---|
| | C_EXT_RES |
| Output Data | |
| Previous Objects | Enquiry Procedure was initiated by the Customs Officer and Exit Results have not been received (The movement is in state other than "Exited", "Goods Not Allowed to Exit")<br>Control Result Code in the Exit Results is either 'B2' or 'B3' |
| Information Exchange | Request On Non-Exited Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.855: Task Notify Exporter/Declarant of Request for Information about Movement of Goods

### Event Enquiry Procedure was initiated by the Customs Officer and Exit Results have not been received (The movement is in state other than "Exited", "Goods Not Allowed to Exit")

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Enquiry Procedure was initiated by the Customs Officer and Exit Results have not been received (The movement is in state other than "Exited", "Goods Not Allowed to Exit") |
| Event Type | Conditional start event |
| Description | Enquiry Procedure was initiated by the Customs Officer after the expiration of the time limit to Receive Exit results and the movement is in state other than 'Exited','Goods not Allowed to Exit'. |
| Previous Objects | |

Table 5.856: Event Enquiry Procedure was initiated by the Customs Officer and Exit Results have not been received (The movement is in state other than "Exited", "Goods Not Allowed to Exit")

### Task Register Decision to Extend the Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision to Extend the Time Limit to Receive Alternative Evidence |
| Task Type | User task |
| Description | The Customs Officer registers the decision to extend the time limit to receive alternative evidence. |
| Actor | Customs Officer |
| Input Data | Time Limit to Provide Alternative Evidence<br>Export Declaration |

| | |
|---|---|
| | Time Limit to Receive Alternative Evidence Expiry Date |
| Output Data | Time Limit to Receive Alternative Evidence Expiry Date |
| Previous Objects | Exit Results Available?<br>Start Time Limit to Receive Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0114 |
| Data Set | |
| Events | |
| State | |

Table 5.857: Task Register Decision to Extend the Time Limit to Receive Alternative Evidence

### Task Start Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Start Time Limit to Receive Alternative Evidence |
| Task Type | Service task |
| Description | A time limit (60 days - extendable) for the Trader to provide alternative evidence is calculated and set. |
| Actor | AES |
| Input Data | Time Limit to Provide Alternative Evidence<br>Export Declaration |
| Output Data | Time Limit to Provide Alternative Evidence |
| Previous Objects | Notify Exporter/Declarant of Request for Information about Movement of Goods |
| Information Exchange | |
| Requirements | AES_FUR_0047 |
| Data Set | |
| Events | |
| State | |

Table 5.858: Task Start Time Limit to Receive Alternative Evidence

### Event Control Result Code in the Exit Results is either 'B2' or 'B3'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Control Result Code in the Exit Results is either 'B2' or 'B3' |
| Event Type | Conditional start event |
| Description | The control result code in the exit results is 'B2' or 'B3' in the case of an export movement that will be followed by transit. |
| Previous Objects | |

Table 5.859: Event Control Result Code in the Exit Results is either 'B2' or 'B3'

### Task Extend Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Extend Time Limit to Receive Alternative Evidence |
| Task Type | Call activity (collapsed) |
| Description | The call activity L4-EXP-01-08-05-Extend Time Limit to Receive Alternative Evidence |
| Actor | Customs Officer |
| Input Data | |
| Output Data | |
| Previous Objects | Extend Time Limit to Receive Alternative Evidence? |
| Information Exchange | |
| Requirements | |
| Data Set | |
| Events | |
| State | |

Table 5.860: Task Extend Time Limit to Receive Alternative Evidence

### Event Receive Information on Non-Exited Export

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Receive Information on Non-Exited Export |
| Event Type | Message intermediate event (catch) |
| Description | AES at the Customs Office of Export receives additional information regarding the movement of goods. |
| Previous Objects | Extend Time Limit to Receive Alternative Evidence<br>Extend Time Limit to Receive Alternative Evidence?<br>Declarant/Representative |

Table 5.861: Event Receive Information on Non-Exited Export

### Task Validate Information on Non-Exited Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Validate Information on Non-Exited Export |
| Task Type | Service task |
| Description | AES validates the received Information on Non-Exited Export against the business rules and conditions. If the validation against the business rules and conditions fails, the Information on Non-Exited Export is rejected. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure |
| Input Data | |
| Output Data | Additional Information about Movement of Goods Validation Result |
| Previous Objects | Receive Information on Non-Exited Export |
| Information Exchange | Information About Non-Exited Export |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.862: Task Validate Information on Non-Exited Export

### Event Expiry of Time Limit to  Receive Alternative Evidence

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Expiry of Time Limit to  Receive Alternative Evidence |
| Event Type | Timer intermediate event |
| Description | The time limit to receive alternative evidence from the Trader expires. |
| Previous Objects | Extend Time Limit to Receive Alternative Evidence<br>Extend Time Limit to Receive Alternative Evidence? |

Table 5.863: Event Expiry of Time Limit to  Receive Alternative Evidence

### Task Record Expiry of Time Limit to Receive Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Expiry of Time Limit to Receive Alternative Evidence |
| Task Type | Service task |

| | |
|---|---|
| Description | AES records the timer expiry to receive alternative evidence. |
| Actor | AES |
| Input Data | MRN<br>Expiry Date to Provide Alternative Evidence |
| Output Data | Expiry Date to Provide Alternative Evidence |
| Previous Objects | Expiry of Time Limit to Receive Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.864: Task Record Expiry of Time Limit to Receive Alternative Evidence

### Event Time Limit To Receive Alternative Evidence expired

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Time Limit To Receive Alternative Evidence expired |
| Event Type | End event |
| Description | The time limit to receive Alternative Evidence has expired. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Record Expiry of Time Limit to Receive Alternative Evidence |

Table 5.865: Event Time Limit To Receive Alternative Evidence expired

### Task Record Information on Non-Exited Export

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Information on Non-Exited Export |
| Task Type | Service task |
| Description | |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure |
| Input Data | E_EXP_RSP |

| | |
|---|---|
| | Additional Information about Movement of Goods Validation Result |
| Output Data | Additional Information about Movement of Goods Validation Result E_EXP_RSP |
| Previous Objects | Information on Non-Exited Export is valid? |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.866: Task Record Information on Non-Exited Export

### Task Identify Enquiry Information Code

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Identify Enquiry Information Code |
| Task Type | Service task |
| Description | AES at the Customs Office of Export identifies the Enquiry Information Code declared in IE583 message "Information on non-Exited Export" that was sent by the Declarant/ Representative. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Input Data | E_EXP_RSP |
| Output Data | Enquiry Information Code |
| Previous Objects | Record Information on Non-Exited Export |
| Information Exchange | |
| Requirements | AES_FUR_0001 |
| Data Set | |
| Events | |
| State | |

Table 5.867: Task Identify Enquiry Information Code

### Event Enquiry Information code was 'Will not Exit'

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Enquiry Information code was 'Will not Exit' |
| Event Type | End event |
| Description | The Declarant/ Representative informed the Customs Office of Export that the goods will not exit the Customs Territory of the Union. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-25-Process Transit Release Notification |
| Previous Objects | Identify Enquiry Information Code |

Table 5.868: Event Enquiry Information code was 'Will not Exit'

### Task Register Decision on Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Register Decision on Alternative Evidence |
| Task Type | User task |
| Description | The Customs Officer registers the alternative evidence provided by the trader. The customs officers also registers if the provided alternative evidence is sufficient to prove that goods have exited. |
| Actor | Customs Officer |
| Appears also in the following processes | L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Input Data | E_EXP_RSP<br>MRN |
| Output Data | Result of Alternative Evidence Sufficient Check |
| Previous Objects | Identify Enquiry Information Code |
| Information Exchange | |
| Requirements | AES_FUR_0020 |
| Data Set | |
| Events | |
| State | |

Table 5.869: Task Register Decision on Alternative Evidence

### Event Exit Results Received

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Exit Results Received |
| Event Type | End event |
| Description | AES at the Customs Office of Export has received the Exit Results from the Customs Office of Exit. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure |
| Previous Objects | Exit Results Available? |

Table 5.870: Event Exit Results Received

### Task Record Decision Whether Alternative Evidence is Sufficient

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Decision Whether Alternative Evidence is Sufficient |
| Task Type | Service task |
| Description | AES validates and records the provided alternative evidence. If the evidence is sufficient, the certification of exit process is initiated. If the provided evidence is not sufficient, AES at the Customs Office of Export notifies the Trader via an IE556. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Input Data | MRN<br>Result of Alternative Evidence Sufficient Check |
| Output Data | Result of Alternative Evidence Sufficient Check |
| Previous Objects | Register Decision on  Alternative Evidence |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.871: Task Record Decision Whether Alternative Evidence is Sufficient

### Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Notify Declarant/Representative of Invalid Information on non-Exited Goods |
| Task Type | Send task |
| Description | When Information on non-Exited Export is found invalid, AES at the Customs Office of Export notifies the Declarant/ Representative via an IE556 rejection message. |
| Actor | AES |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Input Data | E_EXP_RSP<br>Result of Alternative Evidence Sufficient Check<br>Additional Information about Movement of Goods Validation Result |
| Output Data | |
| Previous Objects | Alternative Evidence is Sufficient?<br>Information on Non-Exited Export is valid? |
| Information Exchange | Rejection from Office of Export |
| Requirements | AES_FUR_0023 |
| Data Set | |
| Events | |
| State | |

Table 5.872: Task Notify Declarant/Representative of Invalid Information on non-Exited Goods

### Task Stop Timer for Receiving Alternative Evidence

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Stop Timer for Receiving Alternative Evidence |
| Task Type | Service task |
| Description | The timer for receiving alternative evidence is stopped. |
| Actor | AES |
| Input Data | Result of Alternative Evidence Sufficient Check<br>Time Limit to Provide Alternative Evidence<br>MRN |
| Output Data | Time Limit to Provide Alternative Evidence End Date |

| | |
|---|---|
| Previous Objects | Alternative Evidence is Sufficient? |
| Information Exchange | |
| Requirements | AES_FUR_0048 |
| Data Set | |
| Events | |
| State | |

Table 5.873: Task Stop Timer for Receiving Alternative Evidence

### Event Sufficient Alternative Evidence Available

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Sufficient Alternative Evidence Available |
| Event Type | End event |
| Description | The provided alternative evidence is considered sufficient in order to confirm the exit of the goods. |
| Appears also in the following processes | L4-EXP-01-08-Enquiry Procedure<br>L4-EXP-01-08-04-Handle Alternative Evidence provided by the Trader on his own Initiative |
| Previous Objects | Stop Timer for Receiving Alternative Evidence |

Table 5.874: Event Sufficient Alternative Evidence Available

## 5.66. Process L4-EXP-01-01-01-02-Request and Record Risk Analysis

### 5.66.1. Objective of Process

Automatic risk analysis is performed at this stage (fiscals and/or safety and security). AES interfaces with the national risk analysis systems of the Member States and ensures that risks analysis is initiated once the data is received and validated by AES. The national risk analysis systems of the Member States carry out the required risk analysis and send back the risk analysis results and control recommendation to AES.
Random risk parameters shall be used additionally.
Risk analysis shall be performed for all the goods of the export declaration. The risk analysis shall be initiated by AES and carried out by the national risk analysis application according to the rules set out by the risk management framework. The risk analysis results shall be recorded in AES in the format used for communication with other Offices/Member States, in order for them to be made available for usage in 'AER' (IE501).

### 5.66.2. Process Details

| PROCESS ATTRIBUTE NAME | PROCESS ATTRIBUTE VALUE |
|---|---|
| Process ID | L4-EXP-01-01-01-02 |

| | |
|---|---|
| Full Name | Request and Record Risk Analysis |
| Assumptions | N/A |
| Constraints | No constraints. |
| L3 Reference Models | L3-EXP-01-01 Standard Customs Declaration |
| Existing Specifications | DDNXA-v5.13 |

Table 5.875: Process Details

### 5.66.3. Tasks and Events

#### Task Request Risk Analysis for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Request Risk Analysis for AES |
| Task Type | Service task |
| Description | AES places a request to the Risk Analysis System along with the necessary information for the risk analysis to be initiated |
| Actor | |
| Input Data | |
| Output Data | Risk Analysis |
| Previous Objects | Risk Analysis for AES Initiated |
| Information Exchange | Data object |
| Requirements | AES_FUR_0019 |
| Data Set | |
| Events | |
| State | |

Table 5.876: Task Request Risk Analysis for AES

#### Event Risk Analysis for AES Initiated

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis for AES Initiated |
| Event Type | Start event |
| Description | Risk Analysis for AES has initiated |
| Previous Objects | |

Table 5.877: Event Risk Analysis for AES Initiated

### Task Record Risk Analysis Results for AES

| TASK ATTRIBUTE NAME | TASK ATTRIBUTE VALUE |
|---|---|
| Task Name | Record Risk Analysis Results for AES |
| Task Type | Service task |
| Description | AES records the Risk Analysis Results received from the Risk Analysis System. |
| Actor | |
| Input Data | Risk Analysis<br>MRN<br>LRN |
| Output Data | Risk Analysis |
| Previous Objects | Request Risk Analysis for AES |
| Information Exchange | |
| Requirements | AES_FUR_0002 |
| Data Set | |
| Events | |
| State | |

Table 5.878: Task Record Risk Analysis Results for AES

### Event Risk Analysis Results Recorded

| EVENT ATTRIBUTE NAME | EVENT ATTRIBUTE VALUE |
|---|---|
| Event Name | Risk Analysis Results Recorded |
| Event Type | End event |
| Description | Risk Analysis results have been recorded |
| Previous Objects | Record Risk Analysis Results for AES |

Table 5.879: Event Risk Analysis Results Recorded

# 6. STATE TRANSITION DIAGRAMS

## 6.1. Customs Office of Exit-Exit Summary Declaration

Model Description:

## 6.2. Customs Office of Exit-Exit Summary Declaration Invalidation

Model Description:

## 6.3. Customs Office of Exit-Export Declaration

Model Description:

## 6.4. Customs Office of Exit-Export Declaration Invalidation

Model Description:

## 6.5. Customs Office of Exit-Re-Export Notification

Model Description:

## 6.6. Customs Office of Exit-Re-Export Notification Invalidation

Model Description:

## 6.7. Customs Office of Export-After movement release

Model Description:

## 6.8. Customs Office of Export-Before movement release

Model Description:

Export Presentation Notification Invalid
Received Export Declaration Validated and no Goods Presented
Registered and Waiting for Presentation of Goods
Export Invalidation Request Valid
Cancelled
Export Invalidation Request Invalid ORExport Declaration Amendment Valid OR Export Declaration Amendment Invalid
Export Presentation Notification Valid AND Export Declaration and Export Declaration Amendment Invalid OR Timer Awaiting Export Presentation Notification Expired
Export Declaration Valid and the Goods were Presented
Export Declaration is invalid
Rejected
Export Presentation Notification Valid
Export Declaration Amendment Valid OR Export Declaration Amendment Invalid
Accepted
Decision to Control the Goods
Pre-release/Control Acknowledgement received
Pre-release/Control Acknowledgement Positive received
Decision Not to Control the Goods
Awaiting for PCO Control Decision
PCO Decision to Control the Goods
Under Control
PCO Control Results Unsatisfactory OR Control Results Unsatisfactory OR Pre-release/Control Acknowledgement Negative received
Not Released for Export
PCO Decision Not to Control the Goods OR Timer to Receive Control Notification from PCO expires
PCO Decision to Control the Goods
Goods Released for Export
PCO Decision Not to Control the Goods OR PCO Control Results Satisfactory OR PCO Results Considered Satisfactory OR Control Results Satisfactory OR Control Results Considered Satisfactory OR Timer to Receive Control Notification from PCO expires

## 6.9. Customs Office of Export-Invalidation

Model Description:

## 6.10. Customs Office of Lodgement-Exit Summary Declaration

Model Description:

## 6.11. Presentation Customs Office-Export Declaration

Model Description: